ITB8832 Mathematics for Computer Science Lecture 2 – 5 September 2022

Chapter One

Proofs by Contradiction

Chapter Two

Well Ordering Proofs

Factorization into Primes

Well Ordered Sets

Chapter Three

Ambiguity in Human Language

Propositions from Propositions

Propositional Logic in Computer

Science

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Law of Non-Contradiction

It is impossible that something and its negation are both true at the same time.

In formula:

not(A and not(A))

This is possibly the most important principle of logic.

Law of the Excluded Middle

Given anything and its negation, one of the two is true.

In formula:

A or not(A)

This is another fundamental principle of *classical* logic.

- However, there are other logics where the Law of the Excluded Middle is not valid.
- This happens, for example, in Martin-Löf type theory, where a proof of A is a witness that A is true.
- In this context, a witness of A implies B is a "black box" that transforms witnesses of A into witnesses of B; that is, a *function* from A to B.
- Then not(A) is *defined* as A implies \bot , where \bot is a type that has no witnesses.
- It is always possible to construct a witness of not(not(A)) from a witness of A.
- But in general, it is not possible to construct a witness of A starting from a witness of not(not(A)).

Proof by Contradiction

Suppose we have a proposition P, of which we don't know whether it is true or false.

- **1** Assume the contrary, that is, suppose *P* is *false*.
- 2 Taking not(P) as a hypothesis, construct a proof of not(Q), where Q is a proposition which we know to be *true*.
- Since it is impossible to prove a false statement by starting from true hypotheses and reasoning correctly, P cannot be false:
 By the law of excluded middle, it must be true.

Claim

 $\sqrt{2}$ is irrational.

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Step 1: Assume the Contrary

Suppose integers *m* and *n* exist such that $\sqrt{2} = \frac{m}{n}$.

Claim

 $\sqrt{2}$ is irrational.

Step 2: Construct a Proof of a False Fact

- We may suppose $m, n \ge 1$ and gcd(m, n) = 1.
- By squaring and multiplying by n^2 we get $m^2 = 2n^2$.
- As m^2 is even, so must be m.
- Let m = 2k. Then $4k^2 = 2n^2$, hence $2k^2 = n^2$.
- As *n*² is even, so must be *n*.
- So m and n are two relatively prime integers, both even.

Claim

 $\sqrt{2}$ is irrational.

Step 3: Conclude that the Original Proposition is True

- If the square root of 2 is rational, then there are two relatively prime integers which are both even.
- But two relatively prime integers cannot be both even.
- Therefore, the square root of 2 cannot be rational: it must be irrational.

Proof by Contradiction vs Proof by Negation

Suppose we have a proposition P, of which we don't know whether it is true or false.

- 1 Suppose *P* is true.
- 2 Taking P as a hypothesis, construct a proof of not(Q), where Q is a predicate which we know to be true.
- 3 Since it is impossible to prove a false statement by starting from true hypotheses and reasoning correctly, P cannot be true: it must be false.

Proof by Contradiction vs Proof by Negation

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Is this the same kind of argument as proof by contradiction?

Proof by Contradiction vs Proof by Negation

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- 1 Suppose *P* is true.
- 2 Taking P as a hypothesis, construct a proof of not(Q), where Q is a predicate which we know to be true.
- 3 Since it is impossible to prove a false statement by starting from true hypotheses and reasoning correctly, P cannot be true: it must be false.

Is this the same kind of argument as proof by contradiction?

Yes and no:

An argument by contradiction has the form:

If not(A), then contradiction; thus, A.

This new argument, however, has the form:

If A, then contradiction; thus, not(A).

This is more correctly called a *proof by negation*, rather than by contradiction.

We could apply proof by negation to not(A), but we would get:

If not(A), then contradiction; thus, not(not(A)).

But to conclude with A, we still need "if not(not(A)), then A": which is (another form of) the law of the excluded middle!

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Every *nonempty* set of *nonnegative* integers has a *smallest* element.

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Revisiting an old example

We saw a proof of the following:

Theorem

 $\sqrt{2}$ is irrational.

At one point, the proof went:

• We may suppose $m, n \ge 1$ and gcd(m, n) = 1.

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We saw a proof of the following:

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Question: why could we suppose so?

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Theorem

 $\sqrt{2}$ is irrational.

At one point, the proof went:

• We may suppose $m, n \ge 1$ and gcd(m, n) = 1.

Question: why could we suppose so?

Answer: because of the well ordering principle!

Suppose there exist positive integers m, n such that the fraction $\frac{m}{n}$ cannot be written in lowest terms.

Let C be the set of those positive integers that are numerators of fractions which cannot be written in lowest terms. Suppose there exist positive integers m, n such that the fraction $\frac{m}{n}$ cannot be written in lowest terms.

- Let C be the set of those positive integers that are *numerators* of fractions which cannot be written in lowest terms.
- Then C is nonempty, because it contains m:
- Let m_0 be the smallest element of C.
- Correspondingly, let n_0 be such that $\frac{m_0}{n_0}$ cannot be written in lowest terms.
- Then m_0 and n_0 must have a *common prime factor* p: Otherwise, $\frac{m_0}{n_0}$ would be a writing in lower terms.

Suppose there exist positive integers m, n such that the fraction $\frac{m}{n}$ cannot be written in lowest terms.

- Let C be the set of those positive integers that are numerators of fractions which cannot be written in lowest terms.
- We have established the following:

If
$$m_0$$
 is the smallest element of C ,
and $\frac{m_0}{n_0}$ cannot be written in lowest terms,
then m_0 and n_0 have a common prime factor p .
But $\frac{m_0/p}{n_0/p} = \frac{m_0}{n_0}$, so $\frac{m_0}{p}$ must also belong to C .
But this is impossible, because $\frac{m_0}{p} < m_0$, and m_0 is the smallest element of C .

Let P(x) be a predicate whose truth value depends on the value of variable x.

We denote by:

 $\{x \mid P(x)\}$

the set of *all and only* those x for which P(x) is true. We read: "the set of the x such that P(x)".

If E(x) is an expression that involves x, we can use the shortcut:

 $\{E(x) \mid P(x)\} ::= \{y \mid \text{there exists } x \text{ such that } P(x) \text{ and } y = E(x)\}$

- If an object x is in a set S, we write: $x \in S$.
- The empty set which has no elements at all is denoted by Ø.

A template for well ordering proofs

Call \mathbb{N} the set of the nonnegative integers.

Let P(n) be a predicate which depends on a variable *n* taking values in \mathbb{N} . We want to prove that P(n) is true for *every* $n \in \mathbb{N}$.

1 Let C be the set of counterexamples:

$$C = \{c \in \mathbb{N} \mid P(c) \text{ is false}\}$$

- 2 By contradiction, assume that C is nonempty.
- 3 By the Well Ordering Principle, C has a smallest element c_0 : This c_0 is the *smallest counterexample*.
- 4 Derive a contradiction. Some ways to do so:
 - Show that $P(c_0)$ is true: that is, c_0 is not a counterexample.
 - Show that C has an element c₁ smaller than c₀: that is, c₀ is not the smallest counterexample.
 - Use c₀ to construct a proof of not(Q) where Q is a proposition which is known to be true.
- 5 Conclude that C is empty, hence P(n) is true for every $n \in \mathbb{N}$.

Example: the sum of the first *n* positive integers

Theorem

For every positive integer
$$n$$
, $1+2+\ldots+n=\frac{n(n+1)}{2}$.

The notation on the left-hand side means: the sum of all positive integers from 1 to n.

- Let $C = \left\{ c \in \mathbb{N} \mid c > 0 \text{ and } 1 + 2 + \ldots + c \neq \frac{c(c+1)}{2} \right\}.$
- If C is nonempty, then it has a smallest element c_0 .
- We observe that c_0 cannot be 1, because $1 = \frac{1 \cdot 2}{2}$.
- Then $c_0 1 \in \mathbb{N}$, and as it is smaller than c_0 ,

$$1+2+\ldots+c_0-1=\frac{(c_0-1)c_0}{2}$$
.

But then,

$$1+2+\ldots+c_0=\frac{(c_0-1)c_0+2c_0}{2}=\frac{c_0(c_0+1)}{2}$$
: contradiction.

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The Prime Factorization Theorem

An integer $p \ge 2$ is *prime* if its only positive divisors are 1 and p itself.

Theorem 2.3.1.

Every integer $n \ge 2$ can be factored as a product of primes.

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Proof: by the Well Ordering Principle.

- Let C be the set of counterexamples to Theorem 2.3.1, that is, the integers $n \ge 2$ which *cannot* be factored as a product of primes.
- By contradiction, assume that *C* is nonempty.

Theorem 2.3.1.

Every integer $n \ge 2$ can be factored as a product of primes.

Proof: by the Well Ordering Principle.

- Let C be the set of counterexamples to Theorem 2.3.1, that is, the integers $n \ge 2$ which *cannot* be factored as a product of primes.
- By contradiction, assume that C is nonempty.
- Let c_0 be the least element of C, as ensured by the Well Ordering Principle.
- Then c₀ cannot be prime, because a product of a single prime is still a product of primes.
- Then c_0 has a factor a, $1 < a < c_0$. But then, $b = c_0/a$ is also such that $1 < b < c_0$.

Theorem 2.3.1. Every integer $n \ge 2$ can be factored as a product of primes.

Proof: by the Well Ordering Principle.

- Let C be the set of counterexamples to Theorem 2.3.1, that is, the integers $n \ge 2$ which *cannot* be factored as a product of primes.
- By contradiction, assume that C is nonempty.
- We just discovered that the least element c_0 of C satisfies $c_0 = a \cdot b$ where $1 < a < c_0$ and $1 < b < c_0$.
- But as a and b are smaller than c₀ and c₀ is the smallest counterexample, a and b can be written as products of primes!
- So let $a = p_1 p_2 \cdots p_m$ and $b = q_1 q_2 \cdots q_n$ be writings of a and b as products of primes.
- Then $ab = p_1p_2 \cdots p_mq_1q_2 \cdots q_n$ is a writing of c_0 as a product of primes!

Theorem 2.3.1.

Every integer $n \ge 2$ can be factored as a product of primes.

Proof: by the Well Ordering Principle.

- Let C be the set of counterexamples to Theorem 2.3.1, that is, the integers $n \ge 2$ which *cannot* be factored as a product of primes.
- By contradiction, assume that C is nonempty.
- We can summarize our findings as follows:

If there are any counterexamples to Theorem 2.3.1, then the smallest such counterexample is not a counterexample.

This is impossible, so there was no counterexample in the first place, and Theorem 2.3.1 is true.

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Well ordered sets

Definition

A set S of numbers is *well ordered* if every nonempty subset of S has a minimum element.

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The Well Ordering Principle can then be restated as follows:

The set of nonnegative integers is well ordered.

Are there other well ordered sets? Indeed:

Every *nonempty* subset of a well ordered set is well ordered.

A small, but useful, generalization

Theorem

For every $n \in \mathbb{N}$ the set of integers *no smaller than* -n is well ordered.

A small, but useful, generalization

Theorem

For every $n \in \mathbb{N}$ the set of integers *no smaller than* -n is well ordered.

We give an argument which does not depend on the specific value of n, hence holds for every n.

- Let S be a nonempty set of integers no smaller than -n.
- Then $S + n = \{m + n \mid m \in S\} \subseteq \mathbb{N}$.
- By the Well Ordering Principle, *S* + *n* has a minimum *m*₀.
- Then $m_0 n$ is the minimum of S.

Definition

A *lower bound* (resp., *upper bound*) for a set S of real numbers is a real number b such that $b \le s$ (resp., $b \ge s$) for every $s \in S$.

Corollary 1

Any nonempty set of integers with a lower bound is well ordered.

Proof: If b is a lower bound for S, and $n \ge |b|$, then S is a subset of $\{x \in \mathbb{Z} \mid x \ge -n\}$, which is well ordered. Here, $|x| = \max(x, -x)$ is the *absolute value* of x.

Corollary 2

Any nonempty set of integers with an upper bound has a greatest element.

Proof:

- If b is an upper bound for S, then -b is a lower bound for $-S = \{-s \mid s \in S\}$.
- If m is the smallest element of -S, then -m is the greatest element of S.

Another example

Let
$$\mathbb{F} = \left\{ \frac{n}{n+1} \mid n \in \mathbb{N} \right\}.$$

Lemma (2.4.7 on textbook)

 ${\mathbb F}$ is well ordered.

Proof:

Let
$$S \subseteq \mathbb{F}$$
 be nonempty.
Let $n_0 = \min\left\{n \in \mathbb{N} \mid \frac{n}{n+1} \in S\right\}$.
Then $m = \frac{n_0}{n_0 + 1}$ is the minimum of S.
This is more easily seen by writing $\frac{n}{n+1}$ as $1 - \frac{1}{n+1}$.

Another example

Let
$$\mathbb{F} = \left\{ \frac{n}{n+1} \mid n \in \mathbb{N} \right\}$$

Theorem

 $\mathbb{N} + \mathbb{F} = \{n + f \mid n \in \mathbb{N}, f \in \mathbb{F}\}$ is well ordered.

Another example

Let
$$\mathbb{F} = \left\{ \frac{n}{n+1} \mid n \in \mathbb{N} \right\}$$

Theorem

 $\mathbb{N} + \mathbb{F} = \{n + f \mid n \in \mathbb{N}, f \in \mathbb{F}\}$ is well ordered.

Before we prove this, think: why so?

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Consider these statements:

- You can have the cake, or eat it.
- 2 If two and two are five, then I am the Pope.
- 3 If you can solve any exercise, then you will pass the test.
- 4 Everyone has a dream.

What do they *mean*? It might not be immediately clear. Which is not surprising, because:

Human language is ambiguous.

This is fine: or we must renounce to poetry, humour, etc. But it is inconvenient when we do mathematics ... Consider these statements:

- 1 You can have the cake, or eat it.
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This is fine: or we must renounce to poetry, humour, etc. But it is inconvenient when we do mathematics ... You can have the cake, or eat it.

- Can I have the cake and also eat it?
- Must I renounce to eat the cake if I want to have it?

If two and two are five, then I am the Pope.

- What if I am not the Pope?
- What if I am the Pope?
- What if two and two are actually five, but I am not the Pope?

If you can solve any exercise, then you will pass the test.

- Can I pass the test if I solve only one exercise?
- Do I need to solve an exercise in particular?
- Do I need to solve every single exercise?

Everyone has a dream.

- Does every single person have a dream of their own?
- Is there a single dream that everyone has?

To avoid ambiguities, mathematicians divide propositions into *atomic formulas* joined together by *logical connectives*.

The role of atomic formulas is taken by *propositional variables* which can take any of the two values T (true) and F (false).

The relation between the truth values of the variables and that of a formula can be expressed by *truth tables*.

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Truth value of $not(\cdot)$

If P is a proposition, then not(P) is also a proposition. not(P) is true iff P is false.

The connective $not(\cdot)$ is also called *negation*.

Truth table for $not(\cdot)$

Truth value of P and Q

If P and Q are propositions, then P and Q is also a proposition. P and Q is true iff both P and Q are true.

The connective and is also called *conjunction*.

Truth table for and

Truth value of P or Q

If P and Q are propositions, then P or Q is also a proposition. P or Q is true iff either P or Q is true, or both are.

The connective or is also called *disjunction*.

Truth table for or

Truth value of $P \times or Q$

If P and Q are propositions, then $P \times \text{or } Q$ is also a proposition. $P \times \text{or } Q$ is true iff either P or Q is true, but not both.

xor (ex-OR) is also called *exclusive* or , or *exclusive disjunction*.

Truth table for xor

Let P be the proposition "I can have the cake", and Q be the proposition "I can eat the cake".

Can I have the cake and also eat it?

This corresponds to P or Q.

Do I lose the cake if I eat it?

This corresponds to $P \operatorname{xor} Q$.

Truth value of P implies Q

If P and Q are propositions, then P implies Q is also a proposition. P implies Q is true iff either P is false, or Q is true.

P implies Q can be read as follows:

- If P, then Q.
- *P* is a *sufficient* condition for *Q*.
- *Q* is a *necessary* condition for *P*.

Truth table for implies

| Ρ | Q | P implies Q |
|---|---|---------------|
| Т | Т | Т |
| Т | F | F |
| F | Т | Т |
| F | F | Т |

Truth value of P implies Q

If P and Q are propositions, then P implies Q is also a proposition. P implies Q is true iff either P is false, or Q is true.

This is called the *material implication*:

"*P* implies *Q*" means "it is never the case that *P* without *Q*". Important: it is *not* necessary that *P* be a *cause* for *Q*!

Truth table for implies

| Ρ | Q | P implies Q |
|---|---|---------------|
| Т | Т | Т |
| Т | F | F |
| F | Т | Т |
| F | F | Т |

Let P be the proposition "two and two are five", and Q be the proposition "I am the Pope".

What if I am not the Pope?

Anyway, P implies Q has a false antecedent, so it is true.

What if I am the Pope?

Then P implies Q has a true consequent, so it is true.

What if two and two are actually five, but I am not the Pope?

Then P implies Q would have a true antecedent, and a false consequent: so it would be false.

Truth value of P iff Q

If P and Q are propositions, then P iff Q is also a proposition. P iff Q is true iff P and Q are either both true, or both false.

That is:

"P iff Q" means "P and Q have the same truth value".

Truth table for iff

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```
Consider a piece of Python code such as:
```

Can we determine if and when your code will be run?
 Can we write the if-condition in a simpler form?
 Let us consider the following propositions:
 A ::= x > 0

B ::= y > 100

We observe that $x \ll 0$ is just not(A), so:

x > 0 or $(x \le 0 \text{ and } y > 100)$ corresponds to A or (not(A) and B)

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We observe that $x \le 0$ is just not(A), so:

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x > 0 or (x \le 0 \text{ and } y > 100)
corresponds to A or (not(A) \text{ and } B)
```

Equivalent formulas

Definition

Let α and β be formulas in the variables P_1, \ldots, P_n . α and β are *equivalent* if each assignment of truth values to P_1, \ldots, P_n makes α and β either both true, or both false.

Equivalent formulas

Definition

Let α and β be formulas in the variables P_1, \ldots, P_n . α and β are *equivalent* if each assignment of truth values to P_1, \ldots, P_n makes α and β either both true, or both false.

Examples:

- $\alpha ::= P$ or Q and $\beta ::= not(not(P) and not(Q))$.
- $\alpha ::= P$ implies (Q implies P) and $\beta ::= R$ or not(R).

Claim

A or (not(A) and B) is equivalent to A or B.

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We start with the basics of the table:

| Α | В | A or | (not(A) | and <i>B</i>) | A or B |
|---|---|------|---------|----------------|--------|
| Т | Т | | | | |
| Т | F | | | | |
| F | Т | | | | |
| F | F | | | | |

Claim

A or (not(A) and B) is equivalent to A or B.

We fill the rightmost column, and take a note of the values:

| Α | В | A or | (not(A) | and <i>B</i>) | A or B |
|---|---|------|---------|----------------|--------|
| Т | Т | | | | Т |
| Т | F | | | | Т |
| F | Т | | | | Т |
| F | F | | | | F |

Claim

A or (not(A) and B) is equivalent to A or B.

We convert A into not(A), and take note of the values:

| Α | В | A or | (not(A) | and <i>B</i>) | A or B |
|---|---|------|---------|----------------|--------|
| Т | Т | | F | | Т |
| Т | F | | F | | Т |
| F | Т | | Т | | Т |
| F | F | | Т | | F |

Claim

A or (not(A) and B) is equivalent to A or B.

We now determine the values of (not(A) and B):

| Α | В | A or | (not(A) | and <i>B</i>) | A or B |
|---|---|------|---------|----------------|--------|
| Т | Т | | F | F | Т |
| Т | F | | F | F | Т |
| F | Т | | Т | Т | Т |
| F | F | | Т | F | F |

Claim

A or (not(A) and B) is equivalent to A or B.

Finally, we determine the values of A or (not(A) and B):

| Α | В | A or | (not(A) | and <i>B</i>) | A or B |
|---|---|------|---------|----------------|--------|
| Т | Т | Т | F | F | Т |
| Т | F | Т | F | F | Т |
| F | Т | Т | Т | Т | Т |
| F | F | F | Т | F | F |

Claim

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Finally, we determine the values of A or (not(A) and B):

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|---|---|------|---------|----------------|--------|
| Т | Т | Т | F | F | Т |
| Т | F | Т | F | F | Т |
| F | Т | Т | Т | Т | Т |
| F | F | F | Т | F | F |

...and we see that they always match, proving the claim. We can then rewrite the snippet as:

```
if x > 0 or y > 100:
     %% your code here
```

We can also prove the equivalence by reasoning case by case: (and making some observations in the meantime)

- A = T A formula of the form T or Q has truth value T. If A is T, so are both A or (not(A) and B) and A or B.
- A = F A formula of the form F or Q, or of the form T and Q, has the same truth value as Q.
 If A is F, then not(A) and B has the same truth value of B, and so do A or (not(A) and B) and A or B.

In either case, A or (not(A) and B) and A or B take the same truth value on each assignment of A and B.

Why simplify?

1 To improve *readability*.

Conditions with a simple structure are more easily checked than complex ones.

- 2 To increase speed. Less complex formulas require less time to be evaluated.
- 3 To reduce *cost*.

The formula might refer to a circuit, whose realization requires materials, tools, time, and money.

| English | Symbolic |
|---------------|---------------------------|
| | |
| not(P) | $\neg P, \overline{P}$ |
| P and Q | $P \wedge Q$ |
| P or Q | $P \lor Q$ |
| P xor Q | $P\oplus Q$ |
| P implies Q | $P \longrightarrow Q$ |
| P iff Q | $P \longleftrightarrow Q$ |

Precedence

From strongest to weakest:

- 1 $not(\cdot)$
- 2 and
- 3 or
- 4 xor
- 5 implies
- 6 iff

For example,

not(A) and B or C implies D iff E xor F

is a shortcut for

((((not(A)) and B) or C) implies D) iff (E xor F)

When in doubt: use parentheses.