Diagrammatic sets and rewriting in weak higher categories

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arXiv:1909.07639

There is a draft, but I am rewriting it from scratch. Some definitions have changed.

Some results I will mention do not hold with the old definitions.

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The new version should be out before the end of the month.

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- \rightsquigarrow Segal spaces, complicial sets... pick your favourite.

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Bialgebra equation



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An interaction of *planar* (2d) diagrams, producing a transformation of 3d diagrams (a 4d diagram)

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An interaction of *planar* (2d) diagrams, producing a transformation of 3d diagrams (a 4d diagram)

How do we interpret this?

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The foundation of diagrammatic reasoning is a **pasting theorem**:

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The foundation of diagrammatic reasoning is a **pasting theorem**:

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There is a lack of pasting theorems for models of weak higher categories.

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 1987: Ross Street's The algebra of oriented simplexes is out, sparking an interest in the combinatorics of higher-dimensional categorical diagrams.

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Then several works on the combinatorics of *pasting diagrams* and their *pasting theorems* in strict *n*-categories:

- **1988**: John Power
- **1989**: Michael Johnson
- 1991: Ross Street, John Power
- 1993: Richard Steiner

Directed complexes

We can associate to a cell complex its face poset...



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Directed complexes

We can associate to a cell complex its face poset...



and to a pasting diagram its oriented face poset.

Technical interlude #1: Directed complexes

An orientation on a finite poset P is an edge-labelling
 o : HP₁ → {+, -} of its Hasse diagram.

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- An oriented graded poset is a finite graded poset with an orientation.
- If $U \subseteq P$ is (downward) closed, $\alpha \in \{+, -\}$, $n \in \mathbb{N}$,

 $\begin{aligned} \Delta_n^{\alpha} U &:= \{ x \in U \,|\, \dim(x) = n \text{ and if } y \in U \text{ covers } x, \text{ then } o(y \to x) = \alpha \}, \\ \partial_n^{\alpha} U &:= \operatorname{cl}(\Delta_n^{\alpha} U) \cup \{ x \in U \,|\, \text{for all } y \in U, \text{ if } x \leq y, \text{ then } \dim(y) \leq n \}, \\ \Delta_n U &:= \Delta_n^+ U \cup \Delta_n^- U, \qquad \partial_n U &:= \partial_n^+ U \cup \partial_n^- U. \end{aligned}$

If U is a closed subset of P, then U is a *molecule* if either

- U has a greatest element, in which case we call it an *atom*, or
- there exist molecules U_1 and U_2 , both properly contained in U, and $n \in \mathbb{N}$ such that $U_1 \cap U_2 = \partial_n^+ U_1 = \partial_n^- U_2$ and $U = U_1 \cup U_2$.

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An oriented graded poset P is a *directed complex* if, for all $x \in P$ and $\alpha, \beta \in \{+, -\}$, if $n = \dim(x)$,

1 $\partial^{\alpha}x$ is a molecule, and

$$\partial^{\alpha}(\partial^{\beta}x) = \partial^{\alpha}_{n-2}x.$$

Steiner 1993 (rephrased)

Every molecule in a directed complex is the oriented face poset of a pasting diagram.

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Under certain conditions, the pasting diagram can be uniquely reconstructed from its oriented face poset.

Steiner 1993 (rephrased)

Every molecule in a directed complex is the oriented face poset of a pasting diagram.

Under certain conditions, the pasting diagram can be uniquely reconstructed from its oriented face poset.

All directed complexes present ω -categories fewer present polygraphs, that is, ω -categories that are freely generated by some of their cells.

We can give it an orientation as in the *tensor product of chain complexes*.

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The product of two directed complexes is still a directed complex $P \otimes Q$, the (lax) Gray product of P and Q.

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If P has dim n and Q has dim k, $P \otimes Q$ has dim n + k.

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The product of two directed complexes is still a directed complex $P \otimes Q$, the (lax) Gray product of P and Q.

If P has dim n and Q has dim k, $P \otimes Q$ has dim n + k.

A variant of this was used to define the Gray product of ω -categories (Steiner 2004, Ara-Maltsiniotis 2017)

Gray products and diagrammatic algebra



2d + 2d = 4d

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Around this time, I start seeing Gray products everywhere in diagrammatic algebra
Gray products and diagrammatic algebra



2d + 2d = 4d

Around this time, I start seeing Gray products everywhere in diagrammatic algebra (Fortunately I was not the only one)

Example: Biunitary equations

Used by Jamie Vicary and Mike Stay to unify quantum and encrypted communication protocols. They are models of a Gray product of 2-categories.



Gray products and diagrammatic algebra

Example: Distributive laws of monads

They are models in **Cat** of a Gray product of 2-categories.



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monoidal category \rightsquigarrow 2-category with one 0-cell

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monoidal category \rightsquigarrow 2-category with one 0-cell **PRO** \rightsquigarrow 2-cat with one 0-cell, one 1-generator

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Morally this should be a braided monoidal category. But in strict ω -categories, it is a commutative monoidal category. This breaks everything.

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The core of the argument relies on the fact that "doubly monoidal" degenerates to "commutative" in strict 3-categories (strict Eckmann-Hilton).

Good takeaway #1 from Kapranov-Voevodsky:

homotopy types may have **semi**strict algebraic models with weak units

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Good takeaway #1 from Kapranov-Voevodsky:

homotopy types may have **semi**strict algebraic models with weak units

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- 2017: Simon Henry and I come up independently with the regularity constraint as a way of avoiding the pitfall of strict Eckmann-Hilton

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- **2006**: André Joyal and Joachim Kock in dim 3
- 2017: Simon Henry and I come up independently with the regularity constraint as a way of avoiding the pitfall of strict Eckmann-Hilton
- **2018**: Henry proves the homotopy hypothesis for "regular ω -groupoids".

Regularity: only *n*-diagrams with spherical boundary have a composite

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These are the ones whose face poset is the face poset of a regular CW *n*-ball of the appropriate dimension

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Diagrams with spherical boundary





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An *n*-dimensional molecule U in a directed complex has spherical boundary if, for all k < n,

 $\partial_k^+ U \cap \partial_k^- U = \partial_{k-1} U.$

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A directed complex is regular if all atoms have spherical boundary.
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*simplicial nerve of poset + realisation of simplicial sets

More in general, let C be a class of molecules closed under isomorphism, boundaries, and inclusion of atoms, and included in the class S of (regular) molecules with spherical boundary.

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More in general, let C be a class of molecules closed under isomorphism, boundaries, and inclusion of atoms, and included in the class S of (regular) molecules with spherical boundary.

A *C*-directed complex is a directed complex whose atoms are all in *C*.

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...and more good ideas

Good takeaway #2 from Kapranov-Voevodsky:

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Good takeaway #2 from Kapranov-Voevodsky:

Diagrammatic sets

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...and more good ideas

Good takeaway #2 from Kapranov-Voevodsky:

Diagrammatic sets

Kapranov-Voevodsky pass from spaces to ω -categories through an intermediate notion of "spaces locally modelled on combinatorial pasting diagrams",

they call diagrammatic sets.

 2019: Kapranov-Voevodsky's equivalence of "Kan diagrammatic sets" and spaces is "morally correct"

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...except they chose the wrong class of combinatorial diagrams, not closed under most of the operations they perform.

Regular molecules with spherical boundary works.

But we take a more axiomatic approach.

A map $f: P \to Q$ of C-directed complexes is a function that satisfies

 $\partial_n^{\alpha} f(x) = f(\partial_n^{\alpha} x)$

for all $x \in P$, $n \in \mathbb{N}$, and $\alpha \in \{+, -\}$.

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A map factors essentially uniquely as a *surjection* followed by an *inclusion*.

Let $f : P \rightarrow Q$ be a map. Then f is a closed, order-preserving, dimension-non-increasing function of the underlying posets.

A C-functor $f : P \hookrightarrow Q$ of C-directed complexes is a function $f : C\ell(P) \to C\ell(Q)$ such that

1 f preserves all unions and binary intersections,

2
$$\partial_n^{\alpha} f(\operatorname{cl}\{x\}) = f(\partial_n^{\alpha} x)$$
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A class C is *algebraic* if C-functors compose. We assume that C is algebraic.

A C-functor factors e.u. as a *subdivision* followed by an *inclusion*.

Technical interlude #3a: Morphisms of directed complexes

A span of inclusions of subcategories:



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We say that C is a *convenient* if it satisfies the following axioms:

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The class S is convenient!

We fix a convenient class of molecules $\ensuremath{\mathcal{C}}.$

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We write \odot for a skeleton of the full subcategory of $DCpx^{\mathcal{C}}$ on the atoms of every dimension.

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- A diagram in X is a morphism $x : U \to X$ where U is a molecule.
- It is *composable* if $U \in C$, and a *cell* if U is an atom.

• A Kan diagrammatic set has fillers of all "horns of atoms".

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There is a realisation of Kan diagrammatic sets that is surjective on homotopy types, together with natural isomorphisms between the homotopy groups of a pointed Kan diagrammatic set and those of its realisation.
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This started the French school of rewriting with polygraphs (Yves Lafont, Philippe Malbos, Yves Guiraud, Samuel Mimram...) and related work on ω -categories (François Métayer, Georges Maltsiniotis, Dimitri Ara...)

which brought me to Paris.

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polygraphs and CW complexes, "presented ω -categories" and "presented spaces".

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polygraphs and CW complexes, "presented ω -categories" and "presented spaces".

This analogy is limited by the fact that strict ω -categories do not model all spaces.

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 Better combinatorial grip on rewriting operations like substitution, surgery of diagrams, etc

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- 2 "Essential" separation between diagrams and cells
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- 4 Diagrams can be interpreted in models of all homotopy types, for rewriting homotopies

5 Gray products and joins are easily defined and computed

A suggestion: rewriting in diagrammatic sets



The smash product of pointed diagrammatic sets produces this equation, the way it should.

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 There is a natural coinductive definition of equivalence diagram in a diagrammatic set.

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 its "weak composite" —
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If C = S, we can interpret *every regular diagram* and compose *every diagram with spherical boundary*. "Stuff" a diagram with units and it becomes regular.

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If $(x_1, x_2) \Rightarrow \lfloor x_1, x_2 \rfloor$ exhibits $\lfloor x_1, x_2 \rfloor$ as a weak composite:



And this equivalence should be witnessed by **3-dimensional** equivalence diagrams...

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And this equivalence should be witnessed by **3-dimensional** equivalence diagrams...

whose definition involves 4-dimensional equivalence diagrams, etc

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• All *degenerate* composable diagrams are equivalences.

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• Equivalences are closed under higher equivalence.

- All *degenerate* composable diagrams are equivalences.
- Equivalences are closed under higher equivalence.
- The relation " $x \simeq y$ iff there is an equivalence $e : x \Rightarrow y$ " is an equivalence relation.

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- Equivalences coincide with *weakly invertible* diagrams.
- Morphisms of diagrammatic sets preserve equivalences.
- In a Kan diagrammatic set, all composable diagrams are equivalences.



the two functors preserve the set Γ of colimit diagrams containing the initial object and all pushouts of inclusions.

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Set is equivalent to the category $PSh_{\Gamma}(DCpx_{fun}^{\mathcal{C}})$ of Γ -continuous presheaves on $DCpx^{\mathcal{C}}$.

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of restriction functors, where $\mathbf{Pol}^{\mathcal{C}} \coloneqq \mathrm{PSh}_{\Gamma}(\mathbf{DCpx}_{in}^{\mathcal{C}})$ and $\omega \mathbf{Cat}_{nu}^{\mathcal{C}} \coloneqq \mathrm{PSh}_{\Gamma}(\mathbf{DCpx}_{fun}^{\mathcal{C}})$.



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- **Pol**^C is a category of "combinatorial C-polygraphs" (only faces, no units or compositions)
- ωCat^C_{nu} is a category of "non-unital C-ω-categories" (only faces and compositions, no units)

Units and compositions interact nicely separately with faces. If they are let to interact fully with each other, they produce strict Eckmann-Hilton.

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Units and compositions interact nicely separately with faces. If they are let to interact fully with each other, they produce strict Eckmann-Hilton.

Idea: put them together with only a modicum of interaction.



• Ocat, Oset, ωCat^C_{nu} are all Eilenberg-Moore categories of finitary monads on Pol^C, and all the restriction functors have left adjoints.

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- The underlying diagrammatic set of a diagrammatic ω-category has weak composites.

Idea: take a unit on a composable diagram, and fully compose the boundary only on one side.

Say that C is *algebraically free* if all C-directed complexes present polygraphs.

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If C is algebraically free, then ω **Cat** embeds as a full subcategory into \bigcirc **Cat**.

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Two conjectures

 Conjecture: If X is a diagrammatic set with weak composites, its inclusion in the free diagrammatic ω-category on X is a weak equivalence.

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Two conjectures

- **1** Conjecture: If X is a diagrammatic set with weak composites, its inclusion in the free diagrammatic ω -category on X is a weak equivalence.
- 2 Conjecture: Every convenient class C is algebraically free.

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The appearance of smash products in diagrammatic algebra seems to me another piece of a puzzle.

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My hope is that diagrammatic sets can make the link between rewriting and homotopy theory tighter, on our way to figuring out what the right notions are.

Work in progress: a model of computation in diagrammatic sets based on a "directed homotopy extension property".

Thanks for listening!

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