

A Double-Categorical Perspective on Type Universes

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Motivation

The *Univalence* axiom of HoTT says that for types A and B in a universe \mathcal{U} :

$$\overbrace{(A =_{\mathcal{U}} B)}^{\text{paths in } \mathcal{U} \text{ from } A \text{ to } B} \simeq \underbrace{(A \simeq B)}_{\text{functions } A \rightarrow B \text{ satisfying a predicate}}$$

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Choosing the predicate for (coherent) equivalences yields the bidirectional paths of the *identity type*.

Different choices of function predicate correspond to different path structure on \mathcal{U} .

Every path has an underlying function. We *coerce* along a path by applying that function.

Slogan: “all paths are coercible”.

Set Up

We pursue this perspective on Univalence to develop a categorical model for type-universes that is

- ▶ directed,
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We do this using the structure of double categories.

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$$A \xrightarrow{\quad \text{M} \quad} C$$

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2-dimensional squares:

$$\begin{array}{ccc} A & \xrightarrow{M} & C \\ f \downarrow & \alpha & \downarrow g \\ B & \xrightarrow{N} & D \end{array}$$

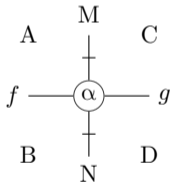
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It has:

2-dimensional squares – which we can draw using dual diagrams [Mye07]:



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(strict) composition in the arrow dimension:

The diagram shows the composition of two 2-cells in a double category. On the left, two 2-cells are stacked vertically. The top 2-cell has a source f on the left and a target g on the right, with a 2-cell α in the center. The bottom 2-cell has a source i on the left and a target j on the right, with a 2-cell γ in the center. The top boundary of the bottom 2-cell is M , and the bottom boundary of the top 2-cell is O . On the right, the composition is shown as a single 2-cell with a source $f \cdot i$ on the left and a target $g \cdot j$ on the right, with a 2-cell $\alpha \cdot \gamma$ in the center. The top boundary is M and the bottom boundary is O . The two diagrams are separated by an equals sign.

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(weak) composition in the proarrow dimension:

The diagram illustrates the composition of two 2-cells α and β in the proarrow dimension. On the left, two 2-cells α and β are shown as circles. α has a horizontal arrow f entering from the left and a horizontal arrow h exiting to the right. Above α is a vertical arrow \dagger pointing to M , and below α is a vertical arrow \dagger pointing to N . Similarly, β has a horizontal arrow h entering from the left and a horizontal arrow h exiting to the right. Above β is a vertical arrow \dagger pointing to P , and below β is a vertical arrow \dagger pointing to Q . An equals sign follows. On the right, the composition is shown as a single 2-cell $\alpha \odot \beta$ in a rounded rectangle. It has a horizontal arrow f entering from the left and a horizontal arrow h exiting to the right. Above it is a vertical arrow \dagger pointing to $M \odot P$, and below it is a vertical arrow \dagger pointing to $N \odot Q$.

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generalized associativity [DP93]:

$$\begin{array}{c} \text{M} \quad \text{P} \\ \vdots \quad \vdots \\ f \text{ --- } \alpha \text{ --- } \beta \text{ --- } h \\ \vdots \quad \vdots \\ i \text{ --- } \gamma \text{ --- } \delta \text{ --- } k \\ \vdots \quad \vdots \\ \text{O} \quad \text{R} \end{array} = (\alpha \cdot \gamma) \odot (\beta \cdot \delta) = (\alpha \odot \beta) \cdot (\gamma \odot \delta)$$

A coherence theorem allows us to ignore and recover coherators [GP99].

Globular Squares

A square with trivial boundary in some dimension is a *globe*.

$$\begin{array}{ccc} A & \xrightarrow{U} & A \\ f \downarrow & \alpha & \downarrow g \\ B & \xrightarrow{U} & B \end{array} \quad \text{or} \quad \begin{array}{c} A \\ f \text{ --- } (\alpha) \text{ --- } g \\ B \end{array} \quad \text{or} \quad \alpha : f \rightrightarrows g$$

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An *arrow adjunction* in a double category is formed by arrows $f : A \rightarrow B$ and $g : B \rightarrow A$ and arrow globes $\eta : \text{id}(A) \rightrightarrows f \cdot g$ and $\varepsilon : g \cdot f \rightrightarrows \text{id}(B)$ such that:

$$\begin{array}{c}
 \begin{array}{c}
 \textcircled{\eta} \text{---} f \\
 \text{---} g \text{---} \textcircled{\varepsilon} \\
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 \end{array}
 \cong
 f \text{---} f
 \quad \text{and} \quad
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 g \text{---} \textcircled{\varepsilon} \\
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Companion Structure

In a double category, parallel arrow $f : A \rightarrow B$ and proarrow $M : A \rightrightarrows B$ are *companions* [GP04] if there are *connection squares*

$$\begin{array}{ccc} A & \xrightarrow{M} & B \\ f \downarrow & \lrcorner f \lrcorner & \downarrow \text{id} \\ B & \xrightarrow{U} & B \end{array} \quad \text{and} \quad \begin{array}{ccc} A & \xrightarrow{U} & A \\ \text{id} \downarrow & \lrcorner f \lrcorner & \downarrow f \\ A & \xrightarrow{M} & B \end{array}$$

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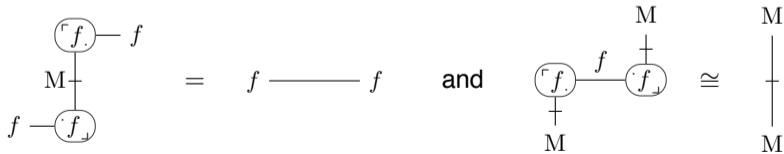


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satisfying the *companion laws*:



Companionship Properties

When they exist, companion morphisms are unique up to canonical isoglobes.
So for arrow $f : A \rightarrow B$ we write “ $\hat{f} : A \rightarrow B$ ” for its companion proarrow.

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For arrow globe $\alpha : f \leftrightarrow g$ with companionable boundary, its *companion* proarrow globe $\hat{\alpha} : \hat{g} \rightarrow \hat{f}$ is:

$$\begin{array}{c} \hat{g} \\ \vdots \\ \textcircled{\hat{\alpha}} \\ \vdots \\ \hat{f} \end{array} := \begin{array}{c} \hat{g} \\ \vdots \\ \textcircled{g} \\ \vdots \\ \textcircled{f} \end{array} \xrightarrow{\alpha} \begin{array}{c} \hat{f} \\ \vdots \\ \textcircled{f} \\ \vdots \\ \hat{f} \end{array}$$

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$$\widehat{\alpha} := \widehat{f} \xrightarrow{f} \alpha \xrightarrow{g} \widehat{g}$$

Companionship also respects globe composition structure (contravariantly).

There are $(0, 2)$ -full sub-double categories of companionable arrow- and proarrow globes, which are equivalent as bicategories.

Paths as Proarrows

Start with a 2-category whose 0-, 1-, and 2-cells interpret types, functions and function homotopies.

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Every proarrow is companionable, so every path is coercible.

If $\llbracket P \rrbracket = \hat{f} : \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$ then $\llbracket \text{coe } P \rrbracket = f : \llbracket A \rrbracket \rightarrow \llbracket B \rrbracket$.

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This gives us the following Univalence-like principle for types A and B in a universe \mathcal{U} :

directed paths in \mathcal{U} from A to B

$$\overbrace{(A \rightsquigarrow B)} \simeq \underbrace{(f : A \rightarrow B \mid \text{comp } f)}_{\text{companionable functions from } A \text{ to } B}$$

Kan Structure

Companion structure on a double category interprets a form of Kan structure for the universe.

For arbitrary path and composable companionable function:

$$\begin{array}{ccc} A & & D \\ f \downarrow & & \downarrow \text{id} \\ B & \xrightarrow{N} & D \end{array} \quad \text{and} \quad \begin{array}{ccc} A & \xrightarrow{M} & C \\ \text{id} \downarrow & & \downarrow g \\ A & & D \end{array}$$

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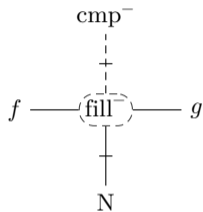
$$\begin{array}{ccc}
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 \vdots & & \vdots \\
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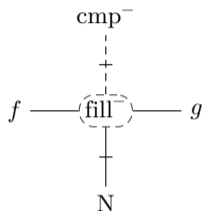
Contravariant Paths

In order to fill the following “cubical horn” we need a path corresponding to the function g “backwards”:



Contravariant Paths

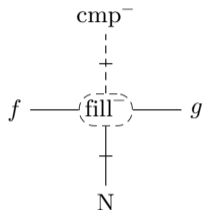
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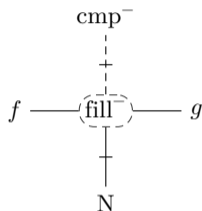
In double categories, conjoiners are dual to companions by reflecting one dimension.

Companions, conjoiners and adjunctions are linked: any two determine the third; in particular:

Given an arrow adjunction $g' \dashv g$, a proarrow is a companion to g' iff it is a conjoiner to g .

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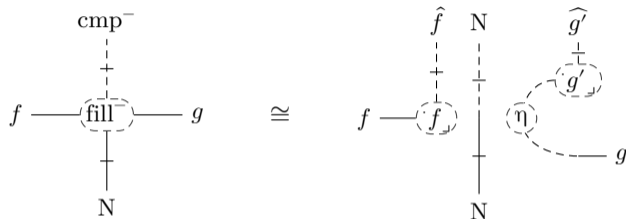
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If g is conjoinable then we can fill the square.

Path Structures on Universes

By varying which double category arrows are companionable we can model universes with various path structures.

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Some examples:

- ▶ if only identity arrows are companionable then the universe is path-discrete,
- ▶ if all arrows are companionable, then we have a directed path structure mirroring the function structure,
- ▶ if arrows of an adjoint equivalence are companionable, then all paths are bi-directional (because all companionable arrows then have companionable left adjoints).

References



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Bonus Slides

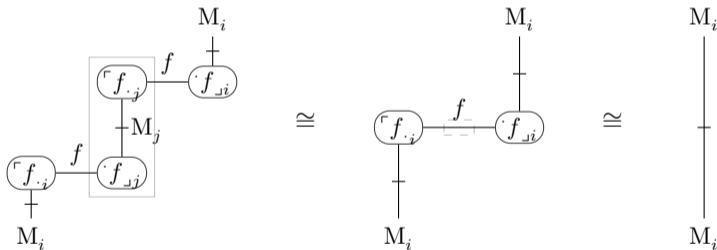
Companion Uniqueness

Theorem

If proarrows $M_0, M_1 : A \dashrightarrow B$ are each companion to arrow $f : A \rightarrow B$ then $M_0 \cong M_1$.

Proof.

For $\{i, j\} = \{0, 1\}$, we have:



So the proarrow globes $\ulcorner f_{.1} \odot \lrcorner f_{.0} : M_0 \rightarrow M_1$ and $\ulcorner f_{.0} \odot \lrcorner f_{.1} : M_1 \rightarrow M_0$ form an isomorphism. \square

Companion Compositionality

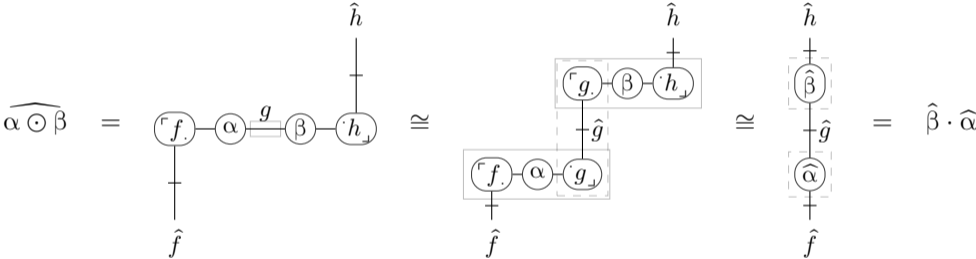
It is easily checked that the companion laws are satisfied with

$$\begin{array}{c} \widehat{f \cdot g} \\ | \\ f \cdot g \text{ --- } \textcircled{f \cdot g} \end{array} = \begin{array}{c} \hat{f} \quad \hat{g} \\ | \quad | \\ f \text{ --- } \textcircled{f} \\ | \\ g \text{ --- } \textcircled{g} \end{array}, \quad \begin{array}{c} \textcircled{f \cdot g} \text{ --- } f \cdot g \\ | \\ \widehat{f \cdot g} \end{array} = \begin{array}{c} \textcircled{f} \text{ --- } f \\ | \\ \hat{f} \\ \textcircled{g} \text{ --- } g \\ | \\ \hat{g} \end{array}$$

and

$$\begin{array}{c} \widehat{\text{id}(A)} \\ | \\ \text{id}(A) \text{ --- } \textcircled{\text{id}(A)} \end{array} = \textcircled{\text{id}^2(A)} = \begin{array}{c} \textcircled{\text{id}(A)} \text{ --- } \text{id}(A) \\ | \\ \widehat{\text{id}(A)} \end{array}$$

Companion Globe Compositionality

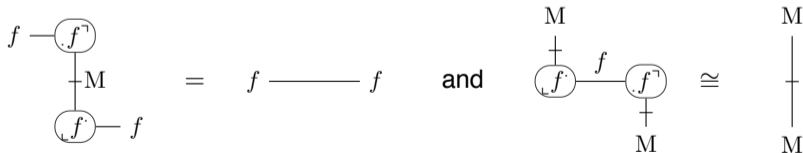


Conjoint Structure

In a double category, antiparallel arrow $f : A \rightarrow B$ and proarrow $M : B \leftrightarrow A$ are *conjoins* if there are *coconnection squares*



satisfying the *conjoint laws*:



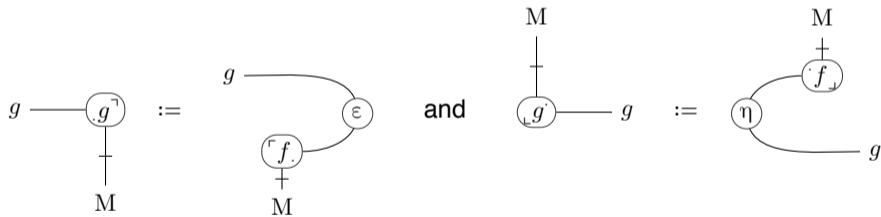
Companions, Conjoints and Adjoints

Lemma

In a double category, if arrows $f : A \rightarrow B$ and $g : B \rightarrow A$ form an arrow adjunction $f \dashv g$ then any proarrow is a companion to f just in case it is a conjoint to g .

Proof.

Suppose that proarrow $M : A \rightrightarrows B$ is a companion to f . Define:



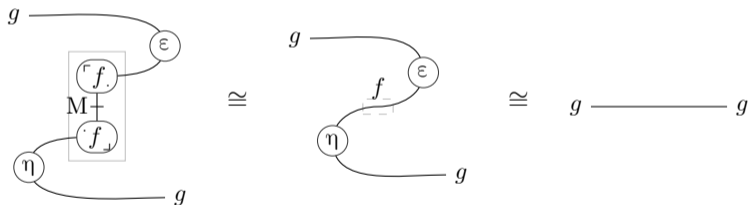
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Verify that:



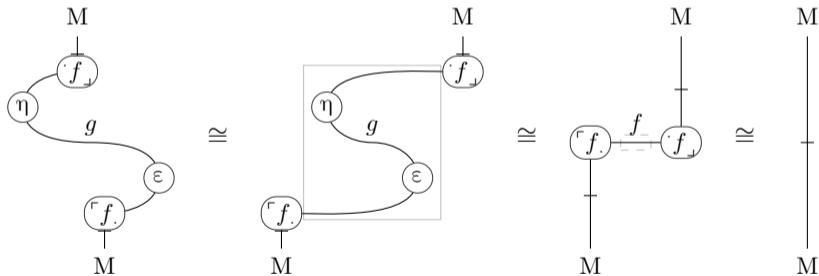
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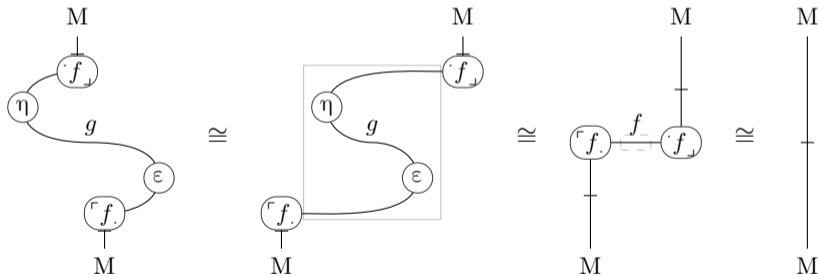
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Proof.

And that:



The reverse implication is dual.

