

Integer Functions

ITT9131 Konkreetne Matemaatika

Chapter Three

Floors and Ceilings

Floor/Ceiling Applications

Floor/Ceiling Recurrences

'mod': The Binary Operation

Floor/Ceiling Sums



Contents

- 1 Floors and Ceilings
- 2 Floor/Ceiling Applications
- 3 Floor/Ceiling Recurrences
- 4 'mod': The Binary Operation
- 5 Floor/Ceiling Sums



Next section

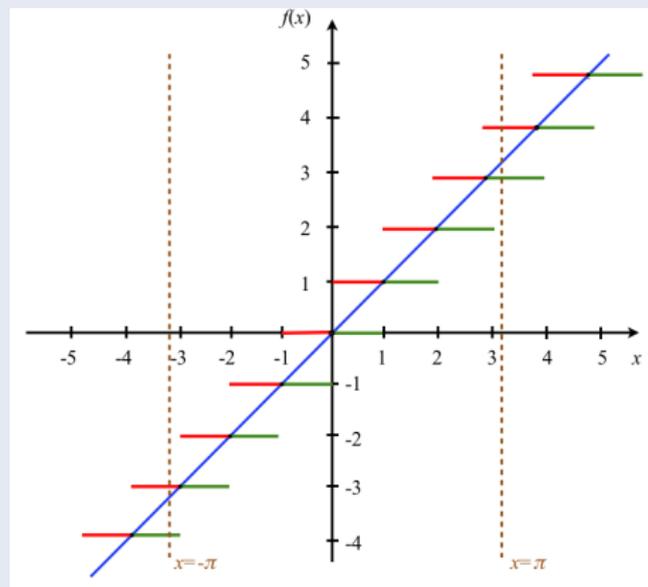
- 1 Floors and Ceilings
- 2 Floor/Ceiling Applications
- 3 Floor/Ceiling Recurrences
- 4 'mod': The Binary Operation
- 5 Floor/Ceiling Sums



Floors and Ceilings

Definition

- The **floor** $\lfloor x \rfloor$ is the greatest integer less than or equal to x ;
- The **ceiling** $\lceil x \rceil$ is the least integer greater than or equal to x .



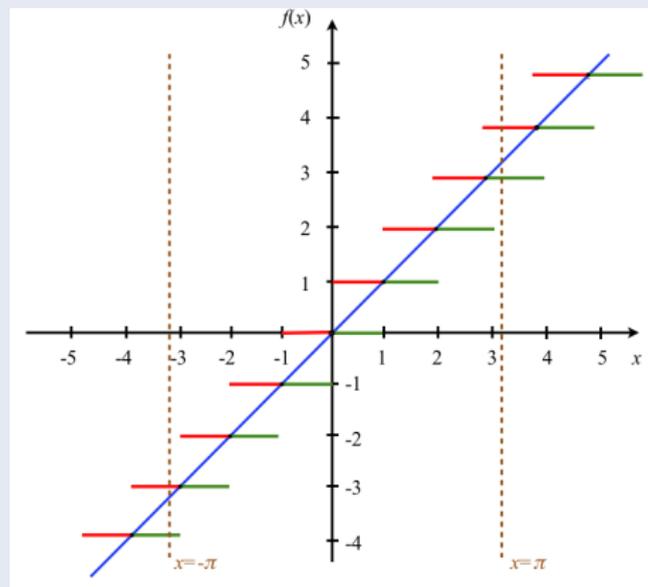
$$\begin{aligned}\lfloor \pi \rfloor &= 3 & \lceil -\pi \rceil &= -4 \\ \lceil \pi \rceil &= 4 & \lfloor -\pi \rfloor &= -3\end{aligned}$$



Floors and Ceilings

Definition

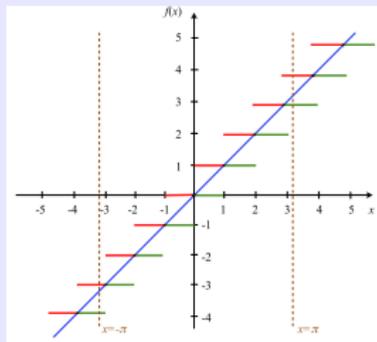
- The **floor** $\lfloor x \rfloor$ is the greatest integer less than or equal to x ;
- The **ceiling** $\lceil x \rceil$ is the least integer greater than or equal to x .



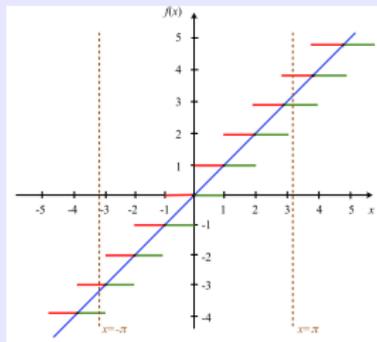
$$\begin{aligned}\lfloor \pi \rfloor &= 3 & \lceil -\pi \rceil &= -4 \\ \lceil \pi \rceil &= 4 & \lfloor -\pi \rfloor &= -3\end{aligned}$$



Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$



Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$



- ① $\lfloor x \rfloor = x = \lceil x \rceil$ iff $x \in \mathbb{Z}$
- ② $x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$
- ③ $\lfloor -x \rfloor = -\lceil x \rceil$ and $\lceil -x \rceil = -\lfloor x \rfloor$
- ④ $\lceil x \rceil - \lfloor x \rfloor = [x \notin \mathbb{Z}]$



Warmup: the generalized Dirichlet box principle

Statement of the principle

Let m and n be positive integers. If n items are stored into m boxes, then:

- At least one box will contain at least $\lceil n/m \rceil$ objects.
- At least one box will contain at most $\lfloor n/m \rfloor$ objects.



Warmup: the generalized Dirichlet box principle

Statement of the principle

Let m and n be positive integers. If n items are stored into m boxes, then:

- At least one box will contain at least $\lceil n/m \rceil$ objects.
- At least one box will contain at most $\lfloor n/m \rfloor$ objects.

Proof

By contradiction, assume each of the m boxes contains fewer than $\lceil n/m \rceil$ objects.

Then

$$n \leq m \cdot \left(\left\lfloor \frac{n}{m} \right\rfloor - 1 \right) \text{ or equivalently, } \frac{n}{m} + 1 \leq \left\lfloor \frac{n}{m} \right\rfloor :$$

which is impossible.

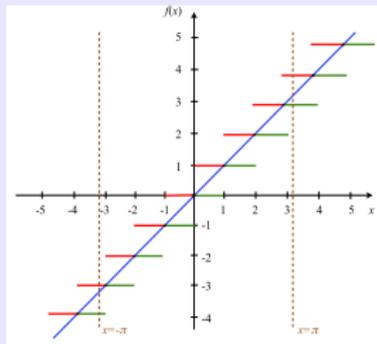
Similarly, if each of the m boxes contained more than $\lfloor n/m \rfloor$ objects, we would have

$$n \geq m \cdot \left(\left\lceil \frac{n}{m} \right\rceil + 1 \right) \text{ or equivalently, } \frac{n}{m} - 1 \geq \left\lceil \frac{n}{m} \right\rceil :$$

which is also impossible.



Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$ (cont.)



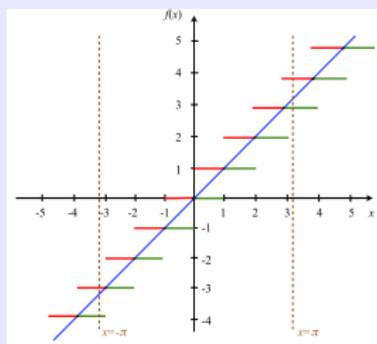
- ① $\lfloor x \rfloor = x = \lceil x \rceil$ iff $x \in \mathbb{Z}$
- ② $x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$
- ③ $\lfloor -x \rfloor = -\lceil x \rceil$ and $\lceil -x \rceil = -\lfloor x \rfloor$
- ④ $\lfloor x \rfloor - \lceil x \rceil = [x \notin \mathbb{Z}]$

In the following properties $x \in \mathbb{R}$ and $n \in \mathbb{Z}$:

- ⑤ $\lfloor x \rfloor = n$ iff $n \leq x < n + 1$
- ⑥ $\lceil x \rceil = n$ iff $x - 1 < n \leq x$
- ⑦ $\lfloor x \rfloor = n$ iff $n - 1 < x \leq n$
- ⑧ $\lceil x \rceil = n$ iff $x \leq n < x + 1$
- ⑨ $\lfloor x + n \rfloor = \lfloor x \rfloor + n$, but $\lfloor nx \rfloor \neq n \lfloor x \rfloor$



Properties of $\lfloor x \rfloor$ and $\lceil x \rceil$ (cont.)



- ① $\lfloor x \rfloor = x = \lceil x \rceil$ iff $x \in \mathbb{Z}$
- ② $x - 1 < \lfloor x \rfloor \leq x \leq \lceil x \rceil < x + 1$
- ③ $\lfloor -x \rfloor = -\lceil x \rceil$ and $\lceil -x \rceil = -\lfloor x \rfloor$
- ④ $\lceil x \rceil - \lfloor x \rfloor = \begin{cases} 0 & x \in \mathbb{Z} \\ 1 & x \notin \mathbb{Z} \end{cases}$

In the following properties $x \in \mathbb{R}$ and $n \in \mathbb{Z}$:

- ⑤ $\lfloor x \rfloor = n$ iff $n \leq x < n + 1$
- ⑥ $\lceil x \rceil = n$ iff $x - 1 < n \leq x$
- ⑦ $\lfloor x \rfloor = n$ iff $n - 1 < x \leq n$
- ⑧ $\lceil x \rceil = n$ iff $x \leq n < x + 1$
- ⑨ $\lfloor x + n \rfloor = \lfloor x \rfloor + n$, but $\lceil nx \rceil \neq n \lceil x \rceil$

More properties:

- ⑩ $x < n$ iff $\lfloor x \rfloor < n$
- ⑪ $n < x$ iff $n < \lceil x \rceil$
- ⑫ $x \leq n$ iff $\lceil x \rceil \leq n$
- ⑬ $n \leq x$ iff $n \leq \lfloor x \rfloor$



Generalization of the property #9

Theorem

$$\lfloor x+y \rfloor = \begin{cases} \lfloor x \rfloor + \lfloor y \rfloor, & \text{if } 0 \leq \{x\} + \{y\} < 1 \\ \lfloor x \rfloor + \lfloor y \rfloor + 1, & \text{if } 1 \leq \{x\} + \{y\} < 2 \end{cases}$$

where $\{x\} = x - \lfloor x \rfloor$ is the **fractional part** of x .

Proof. Let $x = \lfloor x \rfloor + \{x\}$ and $y = \lfloor y \rfloor + \{y\}$

$$\begin{aligned} \lfloor x+y \rfloor &= \lfloor \lfloor x \rfloor + \lfloor y \rfloor + \{x\} + \{y\} \rfloor \\ &= \lfloor x \rfloor + \lfloor y \rfloor + \lfloor \{x\} + \{y\} \rfloor \end{aligned}$$

and

$$\lfloor \{x\} + \{y\} \rfloor = \begin{cases} 0, & \text{if } 0 \leq \{x\} + \{y\} < 1 \\ 1, & \text{if } 1 \leq \{x\} + \{y\} < 2 \end{cases}$$

Q.E.D.



Warmup: When is $\lfloor nx \rfloor = n \lfloor x \rfloor$?

The problem

Give a necessary and sufficient condition on n and x so that

$$\lfloor nx \rfloor = n \lfloor x \rfloor$$

where n is a positive integer.



Warmup: When is $\lfloor nx \rfloor = n \lfloor x \rfloor$?

The problem

Give a necessary and sufficient condition on n and x so that

$$\lfloor nx \rfloor = n \lfloor x \rfloor$$

where n is a positive integer.

The solution

Write $x = \lfloor x \rfloor + \{x\}$. Then

$$\lfloor nx \rfloor = \lfloor n \lfloor x \rfloor + n \{x\} \rfloor = n \lfloor x \rfloor + \lfloor n \{x\} \rfloor$$

As $\{x\}$ is nonnegative, so is $\lfloor n \{x\} \rfloor$. Then

$$\lfloor nx \rfloor = n \lfloor x \rfloor \text{ if and only if } \{x\} < 1/n$$



Next section

- 1 Floors and Ceilings
- 2 Floor/Ceiling Applications**
- 3 Floor/Ceiling Recurrences
- 4 'mod': The Binary Operation
- 5 Floor/Ceiling Sums



Floor/Ceiling Applications

Theorem

The binary representation of a natural number $n > 0$ has $m = \lfloor \log_2 n \rfloor + 1$ bits.

Proof.

$$n = \underbrace{a_{m-1}2^{m-1} + a_{m-2}2^{m-2} + \dots + a_12 + a_0}_{m \text{ bits}}, \text{ where } a_{m-1} = 1$$

Thus, $2^{m-1} \leq n < 2^m$, that gives $m-1 \leq \log_2 n < m$. The last formula is valid if and only if $\lfloor \log_2 n \rfloor = m-1$. Q.E.D.

Example: $n = 35 = 100011_2$

$$m = \lfloor \log_2 35 \rfloor + 1 = \lfloor \log_2 32 \rfloor + 1 = 5 + 1 = 6$$



Floor/Ceiling Applications

Theorem

The binary representation of a natural number $n > 0$ has $m = \lfloor \log_2 n \rfloor + 1$ bits.

Proof.

$$n = \underbrace{a_{m-1}2^{m-1} + a_{m-2}2^{m-2} + \dots + a_12 + a_0}_{m \text{ bits}}, \text{ where } a_{m-1} = 1$$

Thus, $2^{m-1} \leq n < 2^m$, that gives $m-1 \leq \log_2 n < m$. The last formula is valid if and only if $\lfloor \log_2 n \rfloor = m-1$. Q.E.D.

Example: $n = 35 = 100011_2$

$$m = \lfloor \log_2 35 \rfloor + 1 = \lfloor \log_2 32 \rfloor + 1 = 5 + 1 = 6$$



Floor/Ceiling Applications (2)

Theorem

Let $f : A \subseteq \mathbb{R} \rightarrow \mathbb{R}$ be a **continuous, strictly increasing** function with the property that $f(x) \in \mathbb{Z}$ implies that $x \in \mathbb{Z}$. Then

$$\lfloor f(x) \rfloor = \lfloor f(\lfloor x \rfloor) \rfloor \quad \text{and} \quad \lceil f(x) \rceil = \lceil f(\lceil x \rceil) \rceil$$

whenever $f(x)$, $f(\lfloor x \rfloor)$, and $f(\lceil x \rceil)$ are all defined.

Proof. (for the ceiling function)

- The case $x = \lceil x \rceil$ is trivial.
- Otherwise $x < \lceil x \rceil$, and $f(x) < f(\lceil x \rceil)$ since f is increasing. Hence, $\lceil f(x) \rceil \leq \lceil f(\lceil x \rceil) \rceil$ since $\lceil \cdot \rceil$ is non-decreasing.
- If $\lceil f(x) \rceil < \lceil f(\lceil x \rceil) \rceil$, as f is continuous, by the **intermediate value theorem** there exists a number y such that $y \in [x, \lceil x \rceil)$ and $f(y) = \lceil f(x) \rceil$: such y is an integer, because of f 's special property, so actually $x < y < \lceil x \rceil$.
- But there cannot be an integer strictly between x and $\lceil x \rceil$. This contradiction implies that we must have $\lceil f(x) \rceil = \lceil f(\lceil x \rceil) \rceil$.

Q.E.D.



Floor/Ceiling Applications (2a)

Example

- $\lfloor \frac{x+m}{n} \rfloor = \lfloor \frac{\lfloor x \rfloor + m}{n} \rfloor$
- $\lceil \frac{x+m}{n} \rceil = \lceil \frac{\lceil x \rceil + m}{n} \rceil$
- $\lceil \lceil \lceil x/10 \rceil / 10 \rceil / 10 \rceil = \lceil x/1000 \rceil$
- $\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$



Floor/Ceiling Applications (2a)

Example

- $\lfloor \frac{x+m}{n} \rfloor = \lfloor \frac{\lfloor x \rfloor + m}{n} \rfloor$
- $\lceil \frac{x+m}{n} \rceil = \lceil \frac{\lceil x \rceil + m}{n} \rceil$
- $\lceil \lceil \lceil x/10 \rceil / 10 \rceil / 10 \rceil = \lceil x/1000 \rceil$
- $\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$



Floor/Ceiling Applications (2a)

Example

- $\lfloor \frac{x+m}{n} \rfloor = \lfloor \frac{\lfloor x \rfloor + m}{n} \rfloor$
- $\lceil \frac{x+m}{n} \rceil = \lceil \frac{\lceil x \rceil + m}{n} \rceil$
- $\lceil \lceil \lceil x/10 \rceil / 10 \rceil / 10 \rceil = \lceil x/1000 \rceil$
- $\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$



Floor/Ceiling Applications (2a)

Example

- $\lfloor \frac{x+m}{n} \rfloor = \lfloor \frac{\lfloor x \rfloor + m}{n} \rfloor$
- $\lceil \frac{x+m}{n} \rceil = \lceil \frac{\lceil x \rceil + m}{n} \rceil$
- $\lceil \lceil \lceil x/10 \rceil / 10 \rceil / 10 \rceil = \lceil x/1000 \rceil$
- $\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$



Floor/Ceiling Applications (2a)

Example

- $\lfloor \frac{x+m}{n} \rfloor = \lfloor \frac{\lfloor x \rfloor + m}{n} \rfloor$
- $\lceil \frac{x+m}{n} \rceil = \lceil \frac{\lceil x \rceil + m}{n} \rceil$
- $\lceil \lceil \lceil x/10 \rceil / 10 \rceil / 10 \rceil = \lceil x/1000 \rceil$
- $\lfloor \sqrt{\lfloor x \rfloor} \rfloor = \lfloor \sqrt{x} \rfloor$

In contrast:

$$\lceil \sqrt{\lfloor x \rfloor} \rceil \neq \lceil \sqrt{x} \rceil$$



Floor/Ceiling Applications (3) : Intervals

For Real numbers $\alpha \neq \beta$

Interval	Integers contained	Restrictions
$[\alpha.. \beta]$	$\lfloor \beta \rfloor - \lceil \alpha \rceil + 1$	$\alpha \leq \beta$
$[\alpha.. \beta)$	$\lfloor \beta \rfloor - \lceil \alpha \rceil$	$\alpha \leq \beta$
$(\alpha.. \beta]$	$\lfloor \beta \rfloor - \lfloor \alpha \rfloor$	$\alpha \leq \beta$
$(\alpha.. \beta)$	$\lfloor \beta \rfloor - \lfloor \alpha \rfloor - 1$	$\alpha < \beta$



Floor/Ceiling Applications (3) : Spectra

Definition

The **spectrum** of a real number α is an infinite multiset of integers

$$\text{Spec}(\alpha) = \{\lfloor \alpha \rfloor, \lfloor 2\alpha \rfloor, \lfloor 3\alpha \rfloor, \dots\} = \{\lfloor n\alpha \rfloor \mid n \geq 1\}$$

Theorem

If $\alpha \neq \beta$ then $\text{Spec}(\alpha) \neq \text{Spec}(\beta)$.

Proof. For, assuming without loss of generality that $\alpha < \beta$, there's a positive integer m such that $m(\beta - \alpha) \geq 1$. Hence $m\beta - m\alpha \geq 1$, and $\lfloor m\beta \rfloor > \lfloor m\alpha \rfloor$. Thus $\text{Spec}(\beta)$ has fewer than m elements which are $\leq \lfloor m\alpha \rfloor$, while $\text{Spec}(\alpha)$ has at least m such elements. Q.E.D.

Example.

$$\begin{aligned}\text{Spec}(\sqrt{2}) &= \{1, 2, 4, 5, 7, 8, 9, 11, 12, 14, 15, 16, 18, 19, 21, 22, 24, \dots\} \\ \text{Spec}(2 + \sqrt{2}) &= \{3, 6, 10, 13, 17, 20, 23, 27, 30, 34, 37, 40, 44, 47, 51, \dots\}\end{aligned}$$



Floor/Ceiling Applications (3a) : Spectra

The number of elements in $\text{Spec}(\alpha)$ that are $\leq n$:

$$\begin{aligned}N(\alpha, n) &= \sum_{k>0} [\lfloor k\alpha \rfloor \leq n] \\&= \sum_{k>0} [\lfloor k\alpha \rfloor < n+1] \\&= \sum_{k>0} [k\alpha < n+1] \\&= \sum_k [0 < k < (n+1)/\alpha] \\&= \lceil (n+1)/\alpha \rceil - 1\end{aligned}$$



Floor/Ceiling Applications (3b) : Spectra

Let's compute (for any $n > 0$):

$$\begin{aligned}N(\sqrt{2}, n) + N(2 + \sqrt{2}, n) &= \left\lfloor \frac{n+1}{\sqrt{2}} \right\rfloor - 1 + \left\lfloor \frac{n+1}{2+\sqrt{2}} \right\rfloor - 1 \\&= \left\lfloor \frac{n+1}{\sqrt{2}} \right\rfloor + \left\lfloor \frac{n+1}{2+\sqrt{2}} \right\rfloor \\&= \frac{n+1}{\sqrt{2}} - \left\{ \frac{n+1}{\sqrt{2}} \right\} + \frac{n+1}{2+\sqrt{2}} - \left\{ \frac{n+1}{2+\sqrt{2}} \right\} \\&= (n+1) \underbrace{\left(\frac{1}{\sqrt{2}} + \frac{1}{2+\sqrt{2}} \right)}_{=1} - \underbrace{\left(\left\{ \frac{n+1}{\sqrt{2}} \right\} + \left\{ \frac{n+1}{2+\sqrt{2}} \right\} \right)}_{=1} \\&= n+1 - 1 = n\end{aligned}$$

Corollary

The spectra $\text{Spec}(\sqrt{2})$ and $\text{Spec}(2 + \sqrt{2})$ form a **partition** of the positive integers.



Floor/Ceiling Applications (3b) : Spectra

Let's compute (for any $n > 0$):

$$\begin{aligned}N(\sqrt{2}, n) + N(2 + \sqrt{2}, n) &= \left\lfloor \frac{n+1}{\sqrt{2}} \right\rfloor - 1 + \left\lfloor \frac{n+1}{2 + \sqrt{2}} \right\rfloor - 1 \\&= \left\lfloor \frac{n+1}{\sqrt{2}} \right\rfloor + \left\lfloor \frac{n+1}{2 + \sqrt{2}} \right\rfloor \\&= \frac{n+1}{\sqrt{2}} - \left\{ \frac{n+1}{\sqrt{2}} \right\} + \frac{n+1}{2 + \sqrt{2}} - \left\{ \frac{n+1}{2 + \sqrt{2}} \right\} \\&= (n+1) \underbrace{\left(\frac{1}{\sqrt{2}} + \frac{1}{2 + \sqrt{2}} \right)}_{=1} - \underbrace{\left(\left\{ \frac{n+1}{\sqrt{2}} \right\} + \left\{ \frac{n+1}{2 + \sqrt{2}} \right\} \right)}_{=1} \\&= n+1 - 1 = n\end{aligned}$$

Corollary

The spectra $\text{Spec}(\sqrt{2})$ and $\text{Spec}(2 + \sqrt{2})$ form a **partition** of the positive integers.



Next section

- 1 Floors and Ceilings
- 2 Floor/Ceiling Applications
- 3 Floor/Ceiling Recurrences**
- 4 'mod': The Binary Operation
- 5 Floor/Ceiling Sums



Floor/Ceiling Recurrences: Examples

The Knuth numbers:

$$K_0 = 1;$$
$$K_{n+1} = 1 + \min(2K_{\lfloor n/2 \rfloor}, 3K_{\lfloor n/3 \rfloor}) \quad \text{for } n \geq 0.$$

The sequence begins as

$$K = \langle 1, 3, 3, 4, 7, 7, 7, 9, 9, 10, 13, \dots \rangle$$



Floor/Ceiling Recurrences: Examples

The Knuth numbers:

$$K_0 = 1;$$
$$K_{n+1} = 1 + \min(2K_{\lfloor n/2 \rfloor}, 3K_{\lfloor n/3 \rfloor}) \quad \text{for } n \geq 0.$$

The sequence begins as

$$K = \langle 1, 3, 3, 4, 7, 7, 7, 9, 9, 10, 13, \dots \rangle$$

Merge sort $n = \lceil n/2 \rceil + \lfloor n/2 \rfloor$ records, number of comparisons:

$$f_1 = 0;$$
$$f_{n+1} = f(\lfloor n/2 \rfloor) + f(\lceil n/2 \rceil) + n - 1 \quad \text{for } n > 1.$$

The sequence begins as

$$f = \langle 0, 1, 3, 5, 8, 11, 14, 17, 21, 25, 29, 33 \dots \rangle$$



Floor/Ceiling Recurrences: More Examples

The Josephus problem numbers:

$$\begin{aligned} J(1) &= 1; \\ J(n) &= 2J(\lfloor n/2 \rfloor) - (-1)^n \quad \text{for } n > 1. \end{aligned}$$

The sequence begins as

$$J = \langle 1, 1, 3, 1, 3, 5, 7, 1, 3, 5, \dots \rangle$$



Generalization of Josephus problem

Josephus problem in general: from n elements, every q -th is circularly eliminated. The element with number $J_q(n)$ will survive.

Theorem

$$J_q(n) = qn + 1 - D_k$$

where k is as small as possible such that $D_k > (q-1)n$ and D_k is computed using the following recurrent relation:

$$D_0 = 1;$$
$$D_n = \left\lceil \frac{q}{q-1} D_{n-1} \right\rceil \quad \text{for } n > 0.$$

For example, if $q = 5$ and $n = 12$

$$D = \langle 1, 2, 3, 4, 5, 7, 9, 12, 15, 19, 24, 30, 38, 48, 60, 75, \dots \rangle$$

Then $(q-1)n = 4 \cdot 12 = 48$, the proper D_k is $D_{14} = 60$, and

$$J_5(12) = 5 \cdot 12 + 1 - D_{14} = 60 + 1 - 60 = 1$$



Generalization of Josephus problem

Josephus problem in general: from n elements, every q -th is circularly eliminated. The element with number $J_q(n)$ will survive.

Theorem

$$J_q(n) = qn + 1 - D_k$$

where k is as small as possible such that $D_k > (q-1)n$ and D_k is computed using the following recurrent relation:

$$D_0 = 1;$$
$$D_n = \left\lceil \frac{q}{q-1} D_{n-1} \right\rceil \quad \text{for } n > 0.$$

For example, if $q = 5$ and $n = 12$

$$D = \langle 1, 2, 3, 4, 5, 7, 9, 12, 15, 19, 24, 30, 38, 48, 60, 75, \dots \rangle$$

Then $(q-1)n = 4 \cdot 12 = 48$, the proper D_k is $D_{14} = 60$, and

$$J_5(12) = 5 \cdot 12 + 1 - D_{14} = 60 + 1 - 60 = 1$$



Proof of the Theorem

Whenever a person is passed over, we can assign a new number, as in the example below for $n = 12, q = 5$

1	2	3	4	5	6	7	8	9	10	11	12
13	14	15	16		17	18	19	20		21	22
23	24		25		26	27	28			29	30
31	32				33	34	35			36	
37	38				39	40				41	
42	43				44					45	
46	47				48						
49	50				51						
52					53						
54					55						
56											
57											
58											
59											
60											

Denoting by N and N' succeeding elements in a column, we get

$$N = \left\lfloor \frac{N' - n - 1}{q - 1} \right\rfloor + N' - n$$



Proof of the Theorem (2)

Denoting by $D = qn + 1 - N$ and $D' = qn + 1 - N'$, we obtain for the formula

$$N = \left\lfloor \frac{N' - n - 1}{q - 1} \right\rfloor + N' - n$$

another form:

$$qn + 1 - D = \left\lfloor \frac{qn + 1 - D' - n - 1}{q - 1} \right\rfloor + qn + 1 - D' - n$$

Let us transform this:

$$\begin{aligned} D &= qn + 1 - \left\lfloor \frac{qn + 1 - D' - n - 1}{q - 1} \right\rfloor - qn - 1 + D' + n \\ &= D' + n - \left\lfloor \frac{n(q - 1) - D'}{q - 1} \right\rfloor \\ &= D' + n - \left\lfloor n - \frac{D'}{q - 1} \right\rfloor \\ &= D' - \left\lfloor \frac{-D'}{q - 1} \right\rfloor \\ &= D' + \left\lceil \frac{D'}{q - 1} \right\rceil \\ &= \left\lceil \frac{q}{q - 1} D' \right\rceil \end{aligned}$$



Next section

- 1 Floors and Ceilings
- 2 Floor/Ceiling Applications
- 3 Floor/Ceiling Recurrences
- 4 'mod': The Binary Operation**
- 5 Floor/Ceiling Sums



'mod': The Binary Operation

If n and m are positive integers

Write $n = q \cdot m + r$ with $q, r \in \mathbb{N}$ and $0 \leq r < m$. Then:

$$q = \lfloor n/m \rfloor \quad \text{and} \quad r = n - m \cdot \lfloor n/m \rfloor = n \bmod m$$

If x and y are real numbers

We follow the same idea and set:

$$x \bmod y = x - y \cdot \lfloor x/y \rfloor \quad \forall x, y \in \mathbb{R}, y \neq 0$$

Note that, with this definition:

$$\begin{array}{llll} 5 \bmod 3 & = & 5 - 3 \cdot \lfloor 5/3 \rfloor & = & 5 - 3 \cdot 1 & = & 2 \\ 5 \bmod -3 & = & 5 - (-3) \cdot \lfloor 5/(-3) \rfloor & = & 5 + 3 \cdot (-2) & = & -1 \\ -5 \bmod 3 & = & -5 - 3 \cdot \lfloor -5/3 \rfloor & = & -5 - 3 \cdot (-2) & = & 1 \\ -5 \bmod -3 & = & -5 - (-3) \cdot \lfloor -5/(-3) \rfloor & = & -5 + 3 \cdot 1 & = & -2 \end{array}$$

For $y = 0$ we want to respect the general rule that $x - (x \bmod y) \in y\mathbb{Z} = \{yk \mid k \in \mathbb{Z}\}$.
This is done by:

$$x \bmod 0 = x$$



'mod': The Binary Operation

If n and m are positive integers

Write $n = q \cdot m + r$ with $q, r \in \mathbb{N}$ and $0 \leq r < m$. Then:

$$q = \lfloor n/m \rfloor \quad \text{and} \quad r = n - m \cdot \lfloor n/m \rfloor = n \bmod m$$

If x and y are real numbers

We follow the same idea and set:

$$x \bmod y = x - y \cdot \lfloor x/y \rfloor \quad \forall x, y \in \mathbb{R}, y \neq 0$$

Note that, with this definition:

$$\begin{array}{lclclcl} 5 \bmod 3 & = & 5 - 3 \cdot \lfloor 5/3 \rfloor & = & 5 - 3 \cdot 1 & = & 2 \\ 5 \bmod -3 & = & 5 - (-3) \cdot \lfloor 5/(-3) \rfloor & = & 5 + 3 \cdot (-2) & = & -1 \\ -5 \bmod 3 & = & -5 - 3 \cdot \lfloor -5/3 \rfloor & = & -5 - 3 \cdot (-2) & = & 1 \\ -5 \bmod -3 & = & -5 - (-3) \cdot \lfloor -5/(-3) \rfloor & = & -5 + 3 \cdot 1 & = & -2 \end{array}$$

For $y = 0$ we want to respect the general rule that $x - (x \bmod y) \in y\mathbb{Z} = \{yk \mid k \in \mathbb{Z}\}$.
This is done by:

$$x \bmod 0 = x$$



'mod': The Binary Operation

If n and m are positive integers

Write $n = q \cdot m + r$ with $q, r \in \mathbb{N}$ and $0 \leq r < m$. Then:

$$q = \lfloor n/m \rfloor \quad \text{and} \quad r = n - m \cdot \lfloor n/m \rfloor = n \bmod m$$

If x and y are real numbers

We follow the same idea and set:

$$x \bmod y = x - y \cdot \lfloor x/y \rfloor \quad \forall x, y \in \mathbb{R}, y \neq 0$$

Note that, with this definition:

$$\begin{array}{lclclcl} 5 \bmod 3 & = & 5 - 3 \cdot \lfloor 5/3 \rfloor & = & 5 - 3 \cdot 1 & = & 2 \\ 5 \bmod -3 & = & 5 - (-3) \cdot \lfloor 5/(-3) \rfloor & = & 5 + 3 \cdot (-2) & = & -1 \\ -5 \bmod 3 & = & -5 - 3 \cdot \lfloor -5/3 \rfloor & = & -5 - 3 \cdot (-2) & = & 1 \\ -5 \bmod -3 & = & -5 - (-3) \cdot \lfloor -5/(-3) \rfloor & = & -5 + 3 \cdot 1 & = & -2 \end{array}$$

For $y = 0$ we want to respect the general rule that $x - (x \bmod y) \in y\mathbb{Z} = \{yk \mid k \in \mathbb{Z}\}$.
This is done by:

$$x \bmod 0 = x$$



Properties of the mod operation

$$x = \lfloor x \rfloor + x \bmod 1$$

For $y = 1$ it is $x \bmod 1 = x - 1 \cdot \lfloor x/1 \rfloor = x - \lfloor x \rfloor$.

The distributive law: $c(x \bmod y) = cx \bmod cy$

If $c = 0$ both sides vanish; if $y = 0$ both sides equal cx . Otherwise:

$$c(x \bmod y) = c(x - y \lfloor x/y \rfloor) = cx - cy \lfloor cx/cy \rfloor = cx \bmod cy$$



Warmup: Solve the following recurrence

$$\begin{aligned} X_n &= n && \text{for } 0 \leq n < m, \\ X_n &= X_{n-m} + 1 && \text{for } n \geq m. \end{aligned}$$



Warmup: Solve the following recurrence

$$\begin{aligned} X_n &= n && \text{for } 0 \leq n < m, \\ X_n &= X_{n-m} + 1 && \text{for } n \geq m. \end{aligned}$$

Solution

We plot the first values when $m = 4$:

n	0	1	2	3	4	5	6	7	8	9
X_n	0	1	2	3	1	2	3	4	2	3

We conjecture that:

if $n = qm + r$ with $q, r \in \mathbb{N}$ and $0 \leq r < m$ then $X_n = q + r$:

which clearly yields $X_n = \lfloor n/m \rfloor + n \bmod m$.

- Induction base: True for $n = 0, 1, \dots, m-1$.
- Inductive step: Let $n \geq m$. If $X_{n'} = q' + r'$ for every $n' = q'm + r' < n = qm + r$, then:

$$X_n = X_{n-m} + 1 = X_{(q-1)m+r} + 1 = q - 1 + r + 1 = q + r$$



Next section

- 1 Floors and Ceilings
- 2 Floor/Ceiling Applications
- 3 Floor/Ceiling Recurrences
- 4 'mod': The Binary Operation
- 5 Floor/Ceiling Sums**



Floor/Ceiling Sums

Example: Find the sum $\sum_{0 \leq k < n} \lfloor \sqrt{k} \rfloor$ in its closed form:

$$\begin{aligned}\sum_{0 \leq k < n} \lfloor \sqrt{k} \rfloor &= \sum_{k, m \geq 0} m[k < n][m = \lfloor \sqrt{k} \rfloor] \\ &= \sum_{k, m \geq 0} m[k < n][m \leq \sqrt{k} < m+1] \\ &= \sum_{k, m \geq 0} m[k < n][m^2 \leq k < (m+1)^2] \\ &= \underbrace{\sum_{k, m \geq 0} m[m^2 \leq k < (m+1)^2 \leq n]}_{=S_1} \\ &\quad + \underbrace{\sum_{k, m \geq 0} m[m^2 \leq k < n < (m+1)^2]}_{=S_2}\end{aligned}$$



Floor/Ceiling Sums (2)

Example continues ...

Case $n = a^2$, for a value $a \in \mathbb{N}$

- $S_2 = 0$

- $$\begin{aligned} S_1 &= \sum_{k, m \geq 0} m[m^2 \leq k < (m+1)^2 \leq a^2] \\ &= \sum_{m \geq 0} m((m+1)^2 - m^2)[m+1 \leq a] \\ &= \sum_{m \geq 0} m(2m+1)[m < a] \\ &= \sum_{m \geq 0} (2m(m-1) + 3m)[m < a] \\ &= \sum_{m \geq 0} (2m^2 + 3m^1)[m < a] = \sum_0^a (2m^2 + 3m^1) \delta m \\ &= \left(\frac{2}{3} m^3 + \frac{3}{2} m^2 \right) \Big|_0^a = \frac{2}{3} a(a-1)(a-2) + \frac{3}{2} a(a-1) \\ &= \frac{2}{3} a^3 - \frac{1}{2} a^2 - \frac{1}{6} a \end{aligned}$$



Floor/Ceiling Sums (3)

Example continues ...

Case $n \neq b^2$, for any integer b ; let $a = \lfloor \sqrt{n} \rfloor$

- For $0 \leq k < a^2$ we get $S_1 = \frac{2}{3}a^3 - \frac{1}{2}a^2 - \frac{1}{6}a$ and $S_2 = 0$, as before;
- For $a^2 \leq k < n$, it is valid that $S_1 = 0$ and

$$\begin{aligned} S_2 &= \sum_{k, m \geq 0} m[m^2 \leq k < n < (m+1)^2] \\ &= \sum_k a[a^2 \leq k < n] \\ &= a \sum_k [a^2 \leq k < n] \\ &= a(n - a^2) = an - a^3 \end{aligned}$$

To summarize:

$$\begin{aligned} \sum_{0 \leq k < n} \lfloor \sqrt{k} \rfloor &= \frac{2}{3}a^3 - \frac{1}{2}a^2 - \frac{1}{6}a + an - a^3 \\ &= an - \frac{1}{3}a^3 - \frac{1}{2}a^2 - \frac{1}{6}a, \quad \text{where } a = \lfloor \sqrt{n} \rfloor \end{aligned}$$



Floor/Ceiling Sums (3)

Example continues ...

Case $n \neq b^2$, for any integer b ; let $a = \lfloor \sqrt{n} \rfloor$

- For $0 \leq k < a^2$ we get $S_1 = \frac{2}{3}a^3 - \frac{1}{2}a^2 - \frac{1}{6}a$ and $S_2 = 0$, as before;
- For $a^2 \leq k < n$, it is valid that $S_1 = 0$ and

$$\begin{aligned} S_2 &= \sum_{k, m \geq 0} m[m^2 \leq k < n < (m+1)^2] \\ &= \sum_k a[a^2 \leq k < n] \\ &= a \sum_k [a^2 \leq k < n] \\ &= a(n - a^2) = an - a^3 \end{aligned}$$

To summarize:

$$\begin{aligned} \sum_{0 \leq k < n} \lfloor \sqrt{k} \rfloor &= \frac{2}{3}a^3 - \frac{1}{2}a^2 - \frac{1}{6}a + an - a^3 \\ &= an - \frac{1}{3}a^3 - \frac{1}{2}a^2 - \frac{1}{6}a, \quad \text{where } a = \lfloor \sqrt{n} \rfloor \end{aligned}$$

