

# ITB8832 Mathematics for Computer Science

## Lecture 4 – 23 September 2024

### Chapter Four

Sets

Sequences

Functions

Binary Relations

Finite Cardinality

# Contents

1 Sets

2 Sequences

3 Functions

4 Binary Relations

5 Finite Cardinality

# Next section

1 Sets

2 Sequences

3 Functions

4 Binary Relations

5 Finite Cardinality

# Sets

## Definition (informal)

A *set* is an aggregate of objects, called the *elements* of the set.

Sets can be given as *lists* or as *descriptions*:

$A$	$::=$	$\{2, 3, 5, 7, 11, 13, 17, 19\}$	primes smaller than 20
$B$	$::=$	$\{\{T\}, \{F\}, \{T, F\}\}$	nonempty sets of Booleans
$C$	$::=$	$\{1, 2, 3, 4, \dots\}$	positive integers
$D$	$::=$	$\{\text{Sephiroth}, \text{Bowser}, \text{Diablo}, \dots\}$	villains from video games

The symbol  $::=$  is read “is equal by definition to”, or “is defined as”.

Order and repetition *do not* matter, only elements do:

$$\begin{aligned}\{\text{Sephiroth}, \text{Bowser}, \text{Diablo}\} &= \{\text{Bowser}, \text{Diablo}, \text{Sephiroth}\} \\ \{\text{Bowser}, \text{Bowser}, \text{Bowser}\} &= \{\text{Bowser}\}\end{aligned}$$

# Elements of a set

## Notation

$x \in X$ , read “ $x$  in  $X$ ”, means “the object  $x$  is an element of the set  $X$ ”.

$x \notin X$ , read “ $x$  not in  $X$ ”, means “the object  $x$  is not an element of the set  $X$ ”.

Usually, when given generic names:

- *elements* are denoted by *uncapitalized* letters;
- *sets* are denoted by *capitalized* letters.

Examples:

- $17 \in \{2, 3, 5, 7, 11, 13, 17, 19\}$ .
- $\{T\} \in \{\{T\}, \{F\}, \{T, F\}\}$ .
- $\text{Bowser} \in \{\text{Bowser}, \text{Diablo}, \text{Sephiroth}\}$ .

Non-examples:

- $T \notin \{\{T\}, \{F\}, \{T, F\}\}$ .  
Do not confuse the *object*  $T$  with the *singleton*  $\{T\}$  whose only element is  $T$ .
- $\text{Bowser} \notin \{2, 3, 5, 7, 11, 13, 17, 19\}$ .

# Commonly used sets

Symbol <sup>1</sup>	Name	Elements
$\emptyset$	empty set	
$\mathbb{B}$	Booleans	T, F
$\mathbb{N}$	natural numbers	0, 1, 2, 3, ...
$\mathbb{Z}$	integers	..., -2, -1, 0, 1, 2, 3, ...
$\mathbb{Q}$	rational numbers	0, 1, -1, $\frac{1}{2}$ , $-\frac{3}{7}$ , 17, ...
$\mathbb{R}$	real numbers	0, 1, -1, $\frac{1}{2}$ , $-\frac{3}{7}$ , 17, $\sqrt{2}$ , $\pi$ , ...
$\mathbb{C}$	complex numbers	$i$ , $\frac{1}{2}$ , 17, $1 + i\sqrt{2}$ , $e^{i\pi} + 1$ , ...
$\mathbb{Z}^+$	positive integers	1, 2, 3, ..., 17, ...
$\mathbb{R}^+$	positive reals	1, e, $\pi$ , 17, $10^{10^{100}}$ , ...
$\mathbb{R}^{\geq 0}$	non-negative reals	0, 1, e, $\pi$ , 17, $10^{10^{100}}$ , ...
$\mathbb{Z}^-$	negative integers	-1, -2, -3, ..., -17, ...
$\mathbb{R}^-$	negative reals	-1, -e, - $\pi$ , -17, $-10^{10^{100}}$ , ...

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<sup>1</sup>The font of the letters  $\mathbb{N}$ ,  $\mathbb{Z}$ , etc. is called “blackboard bold”.

# Comparisons between sets

## Definition

A set  $X$  is a **subset** of a set  $Y$  if every object which is an element of  $X$  is also an element of  $Y$ .

In this case, we write:  $X \subseteq Y$ , and read: “ $X$  subset of  $Y$ ”.

If  $X \subseteq Y$  but some elements of  $Y$  are not elements of  $X$ , we may write  $X \subset Y$ .

Examples:

- $\emptyset \subseteq X$  for every set  $X$ .  
Otherwise, there would exist  $z \in \emptyset$  such that  $z \notin X \dots$
- $\mathbb{Z}^+ \subseteq \mathbb{N} \subseteq \mathbb{Z} \subseteq \mathbb{Q} \subseteq \mathbb{R} \subseteq \mathbb{C}$ .
- $\{2, 3, 5\} \subset \{2, 3, 5, 7\}$ .
- $\{2, 3, 5\} \not\subseteq \{2, 3, 7\}$ . But  $\{2, 3, 7\} \not\subseteq \{2, 3, 5\}$  either.

# Set construction: Union

## Definition 4.1.1.

The *union* of the sets  $X$  and  $Y$  is the set  $X \cup Y$ , read “ $X$  union  $Y$ ”, such that:

$$x \in X \cup Y \text{ iff } x \in X \text{ or } x \in Y$$

Examples:

- $\{2, 3, 5\} \cup \{2, 3, 7\} = \{2, 3, 5, 7\}$ .
- $\{2, 3, 5\} \cup \{\text{Bowser, Sephiroth}\} = \{2, 3, 5, \text{Bowser, Sephiroth}\}$ .
- $X \cup \emptyset = \emptyset \cup X = X$  whatever the set  $X$  is.  
In particular:  $\emptyset \cup \emptyset = \emptyset$ .



# Set construction: Intersection

## Definition 4.1.1. (cont)

The *intersection* of the sets  $X$  and  $Y$  is the set  $X \cap Y$ , read “ $X$  intersection  $Y$ ”, such that:

$$x \in X \cap Y \text{ iff } x \in X \text{ and } x \in Y$$

Examples:

- $\{2, 3, 5\} \cap \{2, 3, 7\} = \{2, 3\}$ .
- $\{2, 3, 5\} \cap \{\text{Bowser, Sephiroth}\} = \emptyset$ .
- $X \cap \emptyset = \emptyset \cap X = \emptyset$  whatever the set  $X$  is.  
In particular:  $\emptyset \cap \emptyset = \emptyset$ .

# Set construction: Difference

## Definition 4.1.1. (cont)

The *difference* of the sets  $X$  and  $Y$  is the set  $X - Y$ , read “ $X$  minus  $Y$ ”, such that:

$$x \in X - Y \text{ iff } x \in X \text{ and not}(x \in Y)$$

Examples:

- $\{2, 3, 5\} - \{2, 3, 7\} = \{5\}$ .
- $\{2, 3, 5\} - \{\text{Bowser, Sephiroth}\} = \{2, 3, 5\}$ .
- $X - \emptyset = X$  and  $\emptyset - X = \emptyset$  whatever the set  $X$  is.  
In particular:  $\emptyset - \emptyset = \emptyset$ .
- If  $X$  and  $Y$  are any two sets, then:

$$\begin{aligned} X &= (X \cap Y) \cup (X - Y) \\ X \cup Y &= (X \cap Y) \cup (X - Y) \cup (Y - X) \end{aligned}$$

# Set construction: Complement

For this construction, it is necessary that a *domain*  $D$  be defined, such that every object which is element of any set is also an element of  $D$ .

## Definition

The *complement* of the set  $X$  with respect to the domain  $D$  is the difference set

$$\overline{X} = D - X$$

Read: “ $X$  complement”, “ $X$  overline”, “ $X$  bar”.

Examples:

- If  $D = \mathbb{Z}$  then  $\overline{\mathbb{N}} = \mathbb{Z}^-$ .
- If  $D = \{\text{Bowser, Diablo, Sephiroth}\}$  then  $\overline{\{\text{Bowser, Sephiroth}\}} = \{\text{Diablo}\}$ .

# Construction: Power set

## Definition

The *power set* of a set  $X$  is the set  $\text{pow}(X)$  whose elements are all and only the subsets of  $X$ .

Examples:

- $\text{pow}(\emptyset) = \{\emptyset\}$ .
- $\text{pow}(\{T, F\}) = \{\emptyset, \{T\}, \{F\}, \{T, F\}\}$ .
- $\text{pow}(\{1, 2, 3\}) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$ .

Note:

- Power sets are never empty, because  $\emptyset \in \text{pow}(X)$  for every set  $X$ .
- This means that the empty set is *both an element and a subset* of any power set.
- The context of a statement gives information of which role it has in it.

# Set builder notation

The notation

$$S ::= \{x \in X \mid P(x)\}$$

means:

$S$  is defined as the set of  
all and only those elements  $x$  of the set  $X$   
such that the predicate  $P(x)$  is true

The right-hand side is read: “the set of the  $x$  in  $X$  such that  $P(x)$ ”.

Examples:

- $D ::= \{z \in \mathbb{C} \mid \Re z = \Im z\}$ .  
This is the *main diagonal* of the complex plane.
- $E ::= \{z \in \mathbb{C} \mid \exists x, y \in \mathbb{R}. (z = x + iy \wedge x^2 + 4y^2 = 1)\}$ .  
This is the *ellipse* of width 2 and height 1.
- $\text{Primes} ::= \{x \in \mathbb{N} \mid x > 1 \wedge \forall a, b \in \mathbb{N}. ((a \leq b \wedge ab = x) \longrightarrow (a = 1 \wedge b = x))\}$ .

# A variant of the set builder notation

Let  $E(x)$  be an expression that, for every  $x \in X$ , represents an element of  $Y$ .  
Then:

$$S ::= \{E(x) \mid x \in X\}$$

means:

$S$  is defined as the set of  
all and only the objects of the form  $E(x)$   
where  $x$  is an element of  $X$ .

and defines the same set as:

$$S ::= \{y \in Y \mid \exists x \in X. y = E(x)\}$$

The right-hand side is read: “the set of the  $E(x)$  for  $x$  in  $X$ ”.  
Examples:

- $D ::= \{t + it \mid t \in \mathbb{R}\}$ .  
This is again the main diagonal of the complex plane.
- $\mathbb{N} ::= \{0\} \cup \{x + 1 \mid x \in \mathbb{N}\}$ .  
This is an example of a *recursive* definition.

# Equality between sets

## Definition

Two sets are *equal* if and only if they have the same elements.

Equivalently<sup>2</sup>:

$$X = Y \text{ iff } X \subseteq Y \text{ and } Y \subseteq X$$

Examples:

- $\emptyset = \{x \in \mathbb{N} \mid x \neq x\}.$
- $\mathbb{N} = \{x \in \mathbb{Z} \mid x \geq 0\}.$
- $\{x \in \mathbb{R} \mid x^2 - 3x + 2 < 0\} = \{x \in \mathbb{R} \mid 1 < x < 2\}.$
- $\{p \in \text{Primes} \mid p = 2 \text{ or } \exists k \in \mathbb{Z}. p = 4k + 1\} = \{p \in \text{Primes} \mid \exists a, b \in \mathbb{Z}. p = a^2 + b^2\}.$   
(This nontrivial result is due to *Pierre de Fermat*.)

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<sup>2</sup>Check that the equivalence is true!

# Proving Set Equalities

A set equality is, in its essence, an “if and only if” proposition.

**Theorem 4.1.2.** (Distributive law for sets)

However given three sets  $A$ ,  $B$ , and  $C$ ,

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$

- 1** Translate the set equality into an “if and only if” proposition:

$$\forall x. (x \in A \cap (B \cup C) \text{ iff } x \in (A \cap B) \cup (A \cap C))$$

- 2** Prove the “if and only if” proposition: however chosen  $x$ ,

$$\begin{aligned} x \in A \cap (B \cup C) & \quad \text{iff} \quad x \in A \text{ and } (x \in B \text{ or } x \in C) \\ & \quad \text{iff} \quad (x \in A \text{ and } x \in B) \text{ or } (x \in A \text{ and } x \in C) \\ & \quad \text{iff} \quad x \in (A \cap B) \cup (A \cap C) \end{aligned}$$



# Cheat sheet for set equality

There is a good correspondence between operations on sets and operations on propositions:

Set-theoretical	Logical
$\subseteq$ , inclusion	implies , implication
$\cup$ , union	or , disjunction
$\cap$ , intersection	and , conjunction
$(\cdot)$ , complementation <sup>3</sup>	not( $\cdot$ ), negation
$=$ , equality	iff , equivalence

However, *do not* mix the two things, as they have different *types*:

- You can do an intersection of sets: not a conjunction of sets.
- You can do a conjunction of propositions: not an intersection of propositions.

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<sup>3</sup>This requires that a domain has been defined.

# Next section

1 Sets

2 Sequences

3 Functions

4 Binary Relations

5 Finite Cardinality

# Sequences

## Definition

A *sequence* of *length*  $n$  is a list of  $n$  objects

$$(x_1, x_2, \dots, x_n)$$

Where a set is a *collection*, a sequence is a *list*:

- Order counts:  
(Sephiroth, Bowser, Diablo)  $\neq$  (Bowser, Diablo, Sephiroth).
- Entry values can be repeated:  
(Bowser, Bowser, Bowser)  $\neq$  (Bowser).

As there is an empty set, so there is an *empty sequence* of length 0:  
we denote it as  $\lambda$ .

# Cartesian products

## Definition

The *Cartesian product* of the sets  $S_1, S_2, \dots, S_n$  (in this order) is the set

$$S_1 \times S_2 \times \dots \times S_n$$

of the sequences of length  $n$  where, for each  $i$  from 1 to  $n$ , the  $i$ th object is an element of  $S_i$ .

If  $S_1 = S_2 = \dots = S_n = S$  we denote the Cartesian product as  $S^n$ .

Examples:

- $\mathbb{N} \times \mathbb{B} = \{(n, b) \mid n \in \mathbb{N}, b \in \mathbb{B}\} = \{(0, T), (0, F), (1, T), (1, F), \dots\}$
- $(17, \text{Diablo}) \in \mathbb{N} \times \{\text{video game villains}\}.$
- $(1, e, \pi) \in \mathbb{R}^3.$

# Next section

1 Sets

2 Sequences

**3 Functions**

4 Binary Relations

5 Finite Cardinality

# Functions

## Definition

A *function* with *domain*  $A$  and *codomain*  $B$  is a rule  $f$  which assigns to each element  $x$  of the set  $A$  a unique element  $f(x)$  (read “ $f$  of  $x$ ”) of the set  $B$ .

Notation:

- $f : A \rightarrow B$  means:  $f$  is a function with domain  $A$  and codomain  $B$ .
- $f(a) = b$  means:  $f$  assigns *value*  $b$  to object  $a$ .

We can also say:  $b$  is the value of  $f$  at *argument*  $a$ .

# Function definition: Formula

Functions can be given by a *formula*:

- $f_1(x) ::= 1/x^2$  where  $x \in \mathbb{R}$ .

Here,  $f_1(x)$  is not defined for  $x = 0$ :  $f_1$  is a *partial* function.

- $f_2(x, y) ::= y10x$  where  $x$  and  $y$  are binary strings of finite length.

For example:  $f_2(10, 001) = 0011010$ .

- $f_3(x, n) ::=$  the length of the sequence  $(x, x, \dots, x)$  ( $n$  repetitions) where  $x \in \mathbb{R}$  and  $n \in \mathbb{N}$ .

You can think of a function with many arguments as a function with a single argument defined on a Cartesian product.

- $[P] ::=$  the truth value of  $P$  where  $P$  is a proposition.

These are sometimes called the *Iverson brackets*.

# Function definition: Look-Up Table

A function with finite domain can be defined via its *look-up table*.

- Suppose  $f_4(P, Q)$ , where  $P$  and  $Q$  are Boolean variables, has the following look-up table:

$P$	$Q$	$f_4(P, Q)$
T	T	T
T	F	F
F	T	T
F	F	T

The look-up table above is the truth table of implication, so:

$$f_4(P, Q) = [P \text{ implies } Q]$$



# Function definition: Procedure

Let  $x$  vary in the binary strings and let  $f_5$  return the length of a left-to-right search on  $x$  until the first 1 is found.

That is:

$$f_5(x) ::= \begin{cases} 1 & \text{if } x = 1y, \\ 1 + f_5(y) & \text{if } x = 0y. \end{cases}$$

Then:

$$\begin{aligned} f_5(100) &= 1 \\ f_5(00111) &= 3 \\ f_5(00000) &= ??? \end{aligned}$$

So this is a partial function too. *Exercise:* how to make it total?

# Image of a set by a function

## Definition

If  $f : A \rightarrow B$  and  $S \subseteq A$ , then:

$$f(S) ::= \{b \in B \mid \exists a \in S. f(a) = b\}$$

is the *image* of  $S$  under  $f$ .

Examples:

- If  $S = [1, 2] = \{x \in \mathbb{R} \mid 1 \leq x \leq 2\}$ , then  $f_1(S) = [1/4, 1]$ .
- If  $S = \mathbb{R}$ , then  $f_1(S) = \mathbb{R}^+$ .
- If  $S = \{(T, T), (F, T), (F, F)\}$ , then  $f_4(S) = \{T\}$ .
- If  $S = \{100, 00111, 0010, 00000\}$ , then  $f_5(S) = \{1, 3\}$ .

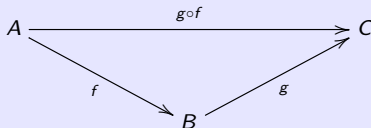
# Function composition

## Definition 4.3.1.

If  $f : A \rightarrow B$  and  $g : B \rightarrow C$ , the *composition* of  $g$  and  $f$  (in this order) is defined as:

$$(g \circ f)(x) ::= g(f(x))$$

(read: *g after f*) at every  $x \in A$  such that  $f$  is defined on  $x$  and  $g$  is defined on  $f(x)$ .



Order matters:

- Wearing first your socks, then your shoes is not the same as wearing first your shoes, then your socks.
- If  $A = B = C = \mathbb{R}$ ,  $f(x) = x^2 + 1$ , and  $g(x) = 3x + 2$ , then  $g(f(x)) = 3(x^2 + 1) + 2 = 3x^2 + 5$ , but  $f(g(x)) = (3x + 2)^2 + 1 = 9x^2 + 12x + 5$ .

# Next section

1 Sets

2 Sequences

3 Functions

4 Binary Relations

5 Finite Cardinality

# Binary relations

## Definition 4.4.1.

A *binary relation* with *domain*  $A$ , *codomain*  $B$ , and *graph*  $R$  is a subset of the Cartesian product  $A \times B$ .

- A relation is “a function without the unique image requirement”.
- If the domain and codomain are given, we may identify the relation with its graph.
- $R : A \rightarrow B$  means: “ $R$  is a relation from  $A$  to  $B$ ”.
- If  $a \in A$  and  $b \in B$ , then  $a R b$  means: “ $a$  is in relation  $R$  with  $b$ ”.

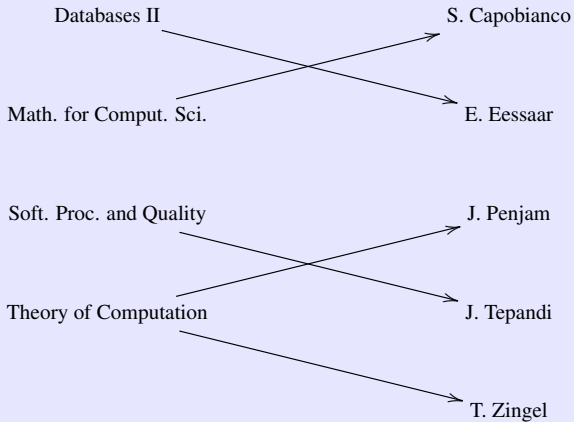
# Relation diagrams

A binary relation  $R : A \rightarrow B$  can be represented as two columns linked by arrows, where:

- The first column contains a list of elements of  $A$ .
- The second column contains a list of elements of  $B$ .
- There is an arrow from  $a \in A$  to  $b \in B$  if and only if  $aRb$ .

# Example: What is taught by whom?

From the 2018-2019 course list:



# Arrow properties

Let  $R : A \rightarrow B$  be a binary relation. We say that  $R$  has the property:

$[\leq n \text{ in}]$	if each element of $B$	has	at most $n$	arrows	coming into	it
$[\geq m \text{ in}]$	if each element of $B$	has	at least $m$	arrows	coming into	it
$[= k \text{ in}]$	if each element of $B$	has	exactly $k$	arrows	coming into	it
$[\leq n \text{ out}]$	if each element of $A$	has	at most $n$	arrows	going out of	it
$[\geq m \text{ out}]$	if each element of $A$	has	at least $m$	arrows	going out of	it
$[= k \text{ out}]$	if each element of $A$	has	exactly $k$	arrows	going out of	it



# Arrow properties

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$[= k \text{ in}]$	if each element of $B$	has	exactly $k$	arrows	coming into	it
$[\leq n \text{ out}]$	if each element of $A$	has	at most $n$	arrows	going out of	it
$[\geq m \text{ out}]$	if each element of $A$	has	at least $m$	arrows	going out of	it
$[= k \text{ out}]$	if each element of $A$	has	exactly $k$	arrows	going out of	it

Note that this may depend on how domain and codomain are chosen:

- $xRy$  iff  $y = 1/x^2$  has both  $[= 1 \text{ in}]$  and  $[= 1 \text{ out}]$  as a binary relation on  $\mathbb{R}^+ \dots$
- ... but as a binary relation on  $\mathbb{R}$ , it has neither  $[\leq 1 \text{ in}]$ , nor  $[\geq 1 \text{ in}]$ , nor  $[\geq 1 \text{ out}]$ .

# Relation properties

## Definition 4.4.2.

Let  $R : A \rightarrow B$  be a binary relation. We say that:

$R$ is	a function	if it has	the $[\leq 1 \text{ out}]$ property
$R$ is	total	if it has	the $[\geq 1 \text{ out}]$ property
$R$ is	injective	if it has	the $[\leq 1 \text{ in}]$ property
$R$ is	surjective	if it has	the $[\geq 1 \text{ in}]$ property
$R$ is	bijective	if it has	both the $[= 1 \text{ out}]$ and the $[= 1 \text{ in}]$ property

Important:

- Bijective relations are total.
- If  $A = \emptyset$  then  $R$  is a total function:  
Otherwise, there would exist  $x \in \emptyset$  with either no outgoing arrow, or more than one outgoing arrow...
- If  $B = \emptyset$  then  $R$  is both injective and surjective:  
Otherwise, there would exist  $y \in \emptyset$  with either more than one incoming arrow, or no incoming arrow...

# Relational images

Let  $R$  be a relation with domain  $A$  and codomain  $B$ .

Definition 4.4.4.

The *image* of  $S \subseteq A$  under  $R$  is:

$$R(S) ::= \{y \in B \mid \exists x \in S. xRy\}$$

For example, let  $A = B = \mathbb{N}$  and let  $aRb$  if and only if  $b$  is a prime factor of  $a$ . Then:

- $R(\{2, 4, 6, 8, 10, 17, 26\}) = \{2, 3, 5, 13, 17\}$ .
- $R(\{0\}) = \text{Primes}$ .

Remember that  $m$  is a factor of  $n$  if and only if there exists an integer  $k$  such that  $km = n$ ; for  $n = 0$  we can choose  $k = 0$ .

# Relation composition

Composition of relations is defined similarly to composition of functions:

## Definition

If  $R : A \rightarrow B$  and  $S : B \rightarrow C$ , the *composition* of  $S$  and  $R$  (in this order) is the relation  $S \circ R : A \rightarrow C$  (read: *S after R*) defined as:

$$a(S \circ R)c \text{ iff } \exists b \in B. aRb \text{ and } bSc$$

Again, order matters:

- The mother of the father is not the father of the mother.

# Inverse relations and inverse images

Let  $R : A \rightarrow B$  be a binary relation.

Definitions 4.4.5 and 4.4.6.

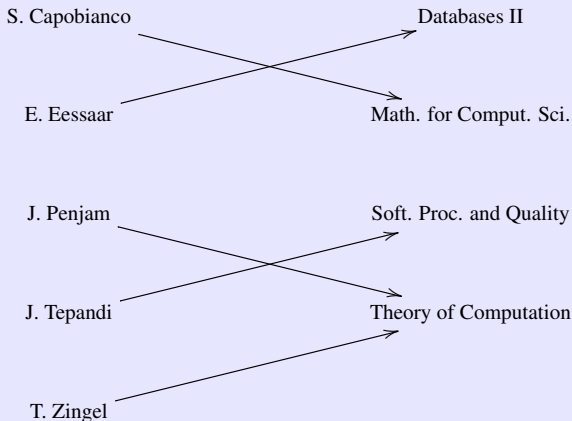
The *inverse* of  $R$  is the binary relation  $R^{-1} : B \rightarrow A$  defined by:

$$yR^{-1}x \text{ iff } xRy$$

The *inverse image* of  $T \subseteq B$  according to  $R$  is then its image under the inverse relation:

$$R^{-1}(T) = \{x \in A \mid \exists y \in T. xRy\}$$

## Example: Who teaches what?



# The empty relation

Let  $E : A \rightarrow B$  be the *empty relation* such that  $\text{not}((x, y) \in E)$  for any  $x \in A$  and  $y \in B$ .

- $E$  is a *function*:

$E$  clearly has the  $[= 0 \text{ in}]$  property, so it has the  $[\leq 1 \text{ in}]$  property too.

- $E$  is *injective*:

$E$  clearly has the  $[= 0 \text{ out}]$  property, so it has the  $[\leq 1 \text{ out}]$  property too.

- $E$  is *total if and only if  $A = \emptyset$* :

If  $A$  is nonempty then  $E$  doesn't have the  $[\geq 1 \text{ out}]$  property.

If  $E$  wasn't total with  $A = \emptyset$ , there would exist  $x \in \emptyset$  such that  $\text{not}((x, y) \in E)$  for any  $y \in B$ ; but there is no  $x \in \emptyset$ .

- $E$  is *surjective if and only if  $B = \emptyset$* :

If  $B$  is nonempty then  $E$  doesn't have the  $[\geq 1 \text{ in}]$  property.

If  $E$  wasn't surjective with  $B = \emptyset$ , there would exist  $y \in \emptyset$  such that  $\text{not}((x, y) \in E)$  for any  $x \in A$ ; but there is no  $y \in \emptyset$ .

# Next section

1 Sets

2 Sequences

3 Functions

4 Binary Relations

**5 Finite Cardinality**



# The cardinality of a finite set

## Definition 4.5.1.

If  $A$  is a finite set, the *cardinality* of  $A$  is the number  $|A|$  of its elements.

Examples:

- $|\{\text{Sephuroth, Bowser, Diablo}\}| = 3.$
- $|\{p \in \text{Primes} \mid p \leq 20\}| = 8.$
- $|\emptyset| = 0.$

# Functions between finite sets

Let  $A$  and  $B$  be finite sets and  $R$  a relation from  $A$  to  $B$ .  
Suppose the relation diagram of  $R$  has  $n$  arrows.

- 1 If  $R$  is a function, then it has the  $[\leq 1 \text{ out}]$  property, so  $|A| \geq n$ .
- 2 If  $R$  is surjective, then it has the  $[\geq 1 \text{ in}]$  property, so  $n \geq |B|$ .

We conclude that:

If  $A$  and  $B$  are finite sets and  $f : A \rightarrow B$  is a surjective function, then  $|A| \geq |B|$ .

# Surjectivity, injectivity, bijectivity

## Definition 4.5.2.

Given any two (finite or infinite) sets  $A$  and  $B$ , we write:

- $A \text{ surj } B$  iff there exists a *surjective function* from  $A$  to  $B$ ;
- $A \text{ inj } B$  iff there exists a *total injective relation* from  $A$  to  $B$ ;
- $A \text{ bij } B$  iff there exists a bijection from  $A$  to  $B$ .

Read:  $A \text{ surject } B$ ,  $A \text{ inject } B$ ,  $A \text{ biject } B$ .

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Read:  $A \text{ surj } B$ ,  $A \text{ inject } B$ ,  $A \text{ biject } B$ .

Examples:

- If  $A$  is the set of video games and  $B = \{\text{Bowser, Diablo, Sephiroth}\}$ , then  $A \text{ surj } B$ :

$v$	Super Mario	Diablo II	Final Fantasy VII	Tetris	Diablo III	...
$f(v)$	Bowser	Diablo	Sephiroth	<i>undefined</i>	Diablo	...

where  $f(v)$  is the Big Bad Evil Guy of video game  $v$ , is a surjective function (but neither total nor injective).

- If  $A \subseteq B$ , then  $A \text{ inj } B$ :  
 $f(x) = x$  for every  $x \in A$  is injective and total (and also a function).
- If  $A = \{p \in \text{Primes} \mid p \leq 20\}$  and  $B = \{n \in \mathbb{N} \mid 1 \leq n \leq 8\}$ , then  $A \text{ bij } B$ :

$p$	2	3	5	7	11	13	17	19
$f(p)$	1	2	3	4	5	6	7	8

# Surjectivity, injectivity, bijectivity

## Definition 4.5.2.

Given any two (finite or infinite) sets  $A$  and  $B$ , we write:

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Read:  $A \text{ surject } B$ ,  $A \text{ inject } B$ ,  $A \text{ biject } B$ .

Important note:

- If  $B = \emptyset$  then  $A \text{ surj } B$  whatever  $A$  is:  
In this case, the empty relation is a surjective function.
- If  $A = \emptyset$  then  $A \text{ inj } B$  whatever  $B$  is:  
In this case, the empty relation is total and injective.

# Finite sets and arrow properties

## Lemma 4.5.3

Let  $A$  and  $B$  be *finite* sets. Then:

- 1 If  $A \text{ surj } B$ , then  $|A| \geq |B|$ .
- 2 If  $A \text{ inj } B$ , then  $|A| \leq |B|$ .
- 3 If  $A \text{ bij } B$ , then  $|A| = |B|$ .

Proof:

- 1 We proved this on the second slide of the section.
- 2 If  $R : A \rightarrow B$  is injective and total, then  $R^{-1}$  is a surjective function, so  $|B| \geq |A|$ .  
*Bonus:* prove that  $A \text{ inj } B$  iff  $B \text{ surj } A$ .
- 3 If  $f : A \rightarrow B$  is a bijection, then it is a total function which is both injective and surjective.

# Function and arrow properties: Summary

## Theorem 4.5.4

Let  $A$  and  $B$  be finite sets. Then:

- 1  $|A| \geq |B|$  iff there exists a surjective function from  $A$  to  $B$ .
- 2  $|A| \leq |B|$  iff there exists an injective total relation from  $A$  to  $B$ .
- 3  $|A| = |B|$  iff there exists a bijection from  $A$  to  $B$ .

# How Many Subsets of a Finite Set?

## Theorem

A finite set with  $n$  elements has  $2^n$  subsets.

Proof:

- 1 The thesis is true for the empty set, so let  $n \geq 1$ .
- 2 Let  $a_1, \dots, a_n$  be the elements of the set  $A$ .
- 3 Let  $B$  be the set of *binary strings* of length  $n$ .
- 4 Define  $f : \text{pow}(A) \rightarrow B$  so that the  $i$ th bit of  $f(S)$  is 1 if and only if  $a_i \in S$ .
- 5 Then  $f$  is a bijection, because subsets with the same image have the same elements, and each string describes a subset.

Alternatively:  $f$  is a bijection, because it is a total function whose inverse:

$$g(s) = \{a_i \in A \mid b_i = 1\} \text{ where } s = b_1 b_2 \dots b_n$$

is also a total function.

- 6 Since there are  $2^n$  binary strings of length  $n$ , the thesis follows.