### Relative Monads and Relative Adjunctions

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(joint work with Thorsten Altenkirch and Tarmo Uustalu)

### This talk does not contain Kan extensions

### Plan

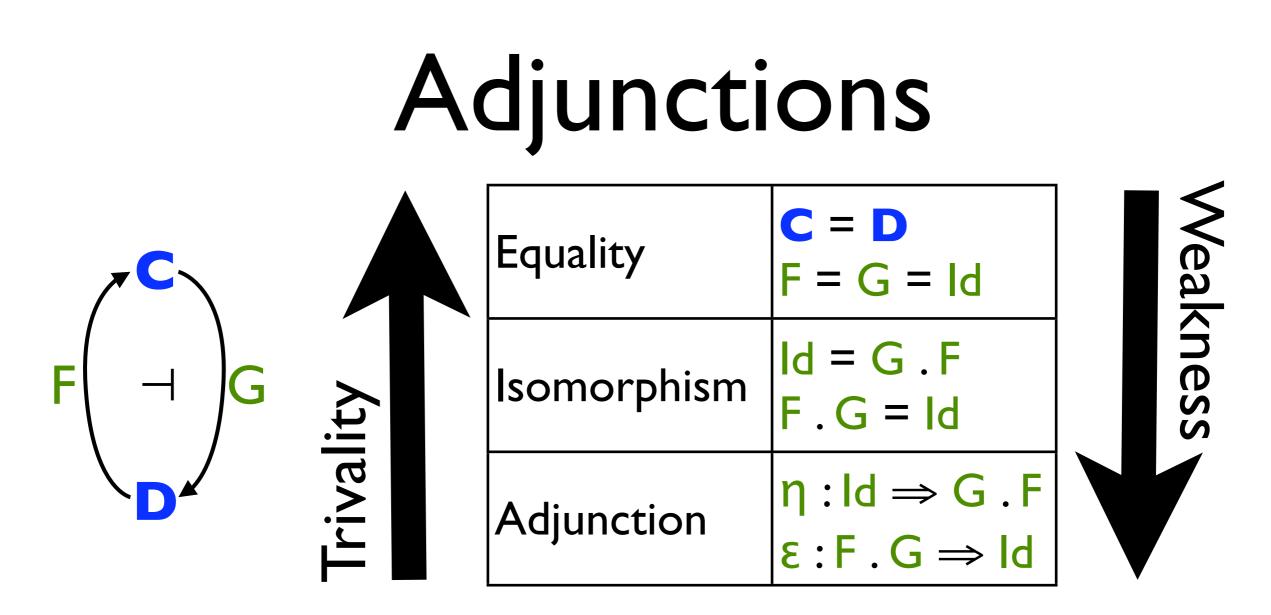
- Ordinary adjunctions
- Ordinary monads
- Ordinary monad examples
- Relative adjunctions
- Relative monads
- Relative monad examples

### Background/Motivation

- Monads are a very successful abstraction in functional programming
- Mathematics is strewn with adjunctions
- Monads and (the intimately related) adjunctions are perhaps the central topic in (basic) category theory
- But! Some persuasive examples don't quite fit:
  - Monad-like but not an endofunctor
    - often an embedding is involved
  - Satisfy the monad laws but not the structure!

# All you need to know about category theory in one slide

- A category is like a set: it has elements called (objects) but also morphisms/arrows between them
- A functor is a morphism between categories
- A natural transformation is a morphism between functors



Adjunctions can be given by F, G with  $\eta$  and  $\epsilon$  or:

F, G, and a bijective map:  $\Phi : (F X \rightarrow Y) \leftrightarrow (X \rightarrow G Y)$  which is natural in X and Y

### Adjunctions

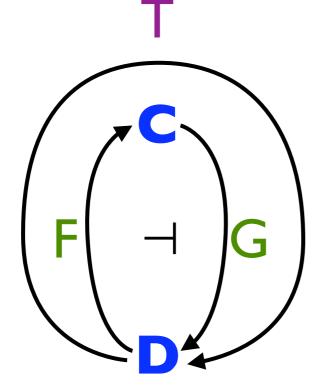
"Adjoint functors arise everywhere" - Saunders Mac Lane

- An example from logic/functional programming:
  - $\land$  is left adjoint to  $\Rightarrow$
  - put another way:

uncurry 
$$(A \times B) \rightarrow C$$
  
 $A \rightarrow (B \rightarrow C)$  curry

• so (- x B) is left adjoint to (B  $\rightarrow$  -)

### Monads from Adjunctions



 $T = G \cdot F$ and the monad operations are derived from the operations of the adjunction

The inverse is also true: every monad can be split into an adjunction in several canonical ways (Kleisli and EM).

### Monads

- Monads are given by the following data:
  - $\mathsf{T}: \mathsf{C} \to \mathsf{C}$
  - $\eta: X \to T X$
  - $(-)^*: (X \to TY) \to (TX \to TY)$
- Every adjunction gives rise to a monad where
  - $T = G \cdot F$
  - η = η
  - $(-)^* = G \cdot \Phi^{-1}$

### Ordinary monad example

- data Maybe A : Set where
   Just : A → Maybe A
  - Nothing : Maybe A

$$T = Maybe$$
  

$$\eta = \lambda \ a \rightarrow Just \ a$$
  

$$(-)^* : (A \rightarrow Maybe \ A) \rightarrow (Maybe \ A \rightarrow Maybe \ B)$$
  

$$(-)^* = \lambda \ k \ x \rightarrow case \ x \ of$$
  

$$Nothing \rightarrow Nothing$$
  

$$Just \ x \ \rightarrow k \ x$$

### example (cont.)

-- type inferrer (might fail)
infer : Program → Maybe Type
infer p = ...

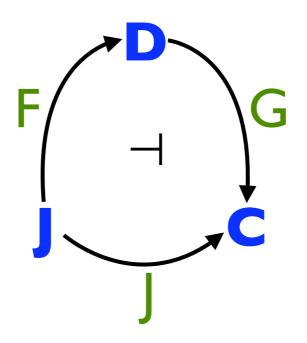
-- other function (uses monadic interface) other : {M : Monad X} ...  $\rightarrow$  M Nat other ... = do

```
type ← infer p
```

• • •

later we might improve infer by producing error messages etc. Don't need to rewrite other.

### Relative Adjunctions

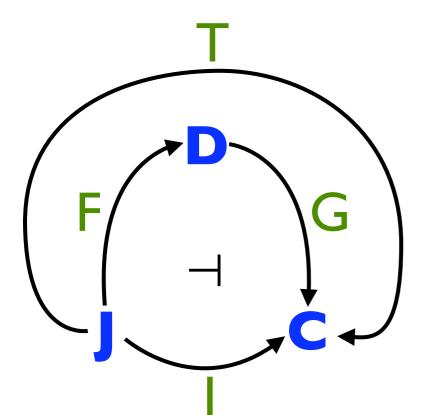


Relative adjunctions are given by F, G, J and a bijective map:

 $\Phi : (F X \rightarrow Y) \leftrightarrow (J X \rightarrow G Y)$  which is natural in X and Y

We can have a unit  $(\eta : J \Rightarrow G \cdot F)$  but no counit

### Relative Monads from Relative Adjunctions



As before, T = G . F and the (relative) monad operations are derived from the operations of the (relative) adjunction

As before, every (relative) monad can be split into an (relative) adjunction in several canonical ways (rel. Kleisli and rel. EM).

### Relative Monads

- Relative Monads are given by the following data:
  - $T: J \rightarrow C$
  - J:J → C
  - $\eta: J X \to T X$

Key idea: A monad T relative to a functor J

- $(-)^*: (J \times \to T \times) \to (T \times \to T \times)$
- Every adjunction gives rise to a monad where
  - $T = G \cdot F$
  - η = η
  - $(-)^* = G \cdot \Phi^{-1}$

#### Relative Monad example (Untyped lambda terms) data Lam : Nat → Set where var : Fin n → Lam n

- lam : Lam (suc n)  $\rightarrow$  Lam n
- app : Lam  $n \rightarrow$  Lam  $n \rightarrow$  Lam n

 $T = Lam, J = Fin, \eta = var, and$  $(-)^* : (Fin m \rightarrow Lam n) \rightarrow Lam m \rightarrow Lam n$ 

#### Provides a monadic interface for substitution

### Relative Monad example 2 (Vector spaces)

- $F : Nat \rightarrow Set$
- $F n = Fin n \rightarrow Nat -- any semiring would do$
- where T = F and J = Fin and:
- $\eta : \forall \{n\} \rightarrow Fin \ n \rightarrow F n$  $\eta a = \lambda b \rightarrow if a == b then 1 else 0$
- bind :  $\forall \{m \ n\} \rightarrow (Fin \ m \rightarrow F \ n) \rightarrow F \ m \rightarrow F \ n$ bind f v =  $\lambda$  b  $\rightarrow \Sigma$  m ( $\lambda$  a  $\rightarrow$  v a \* f a b)

### Results

- Arrows are an instance of relative monads where J is the Yoneda embedding
- Relative monads are lax monoidal objects in a lax monoidal category (with some extra conditions on J)
- With extra extra conditions the left Kan extension of a relative monad is an ordinary monad

### Self advertisement

- Paper "Monads need not be endofunctors" should/must be ready in a few days.
- Short paper "Machine assisted proofs in the theory of monads" accepted for NWPT 2009 - ongoing formalisation of monads and associated theory in Agda
- Both available at <u>http://cs.ioc.ee/~james</u>
- Both joint work with Tarmo and Thorsten

#### 

### Emergency slides

### R. Kleisli category

- For a R. Monad (T, J,  $\eta$ , (-)\*) the Kleisli cat.  $C_T$ 
  - Objects : Objects of J
  - Morphisms: Morphisms in **C** of type  $J X \rightarrow TY$
  - Identity:  $id_T = \eta$
  - composition for any f:JY → TZ and g:JX → TY
     f.<sub>T</sub> g = f\*.g

Same as for monads just delete the Js and replace J with C

### R. Kleisli Adjunction

- Left adjoint
  - on objects:  $F_T X = X$
  - on morphisms:  $F_T f = \eta \circ J f$
- Right adjoint
  - on objects:  $G_T X = T X$
  - on objects:  $G_T k = k^*$

Same as for monads just delete the J

## R. EM Category

- For a R. Monad (T, J, η, (-)\*) the Kleisli cat.
   C<sup>T</sup>
  - Objects are algebras
    - $(A, a: (J \times \rightarrow A) \rightarrow (T \times \rightarrow A))$
  - Morphisms are algebra morphisms f: (A,a) → (B,b)
     Equivalent to ordinary presentation in the case of ordinary monads by deleting the J

### R. EM Adjunction

- Left adjoint
  - on objects :  $L^T Y = (TY, \lambda f. f^*)$
  - on morphisms:  $L^T f = T f$
- Right adjoint
  - on objects:  $R^T(A, a) = A$

on morphisms: R<sup>⊤</sup> h = h
 Equivalent to ordinary presentation in the case of ordinary monads