#### On Diophantine Complexity and Statistical Zero-Knowledge Arguments

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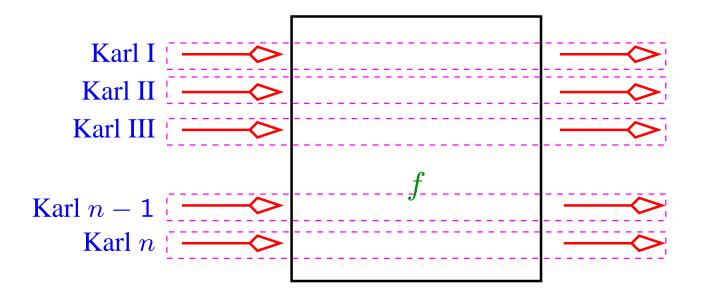
## **Overview of This Talk**

- Cryptographic protocols, limitations
- Outsourcing model
- Polynomials and integer commitment schemes
- Efficient solutions by using diophantine complexity

# Reminder: Multi-Party Computation

- All efficiently computable functions can also be computed securely
- Assume there are *n* participants, and the *i*th participant has input  $x_i$ . Assume *f* is a function  $f(x_1, \ldots, x_n) = (y_1, \ldots, y_n)$ .
- There is a way (*multi-party computation*) to compute f so that at the end of the protocol, the *i*th participant will get the know value of  $y_i$  and nothing else, except what she could compute from  $(x_i, y_i)$  herself.

## We Gotta Have Some Pictures



Assume f is any function. Karl's can compute f so that (a) Security: Karl i obtains the output he wanted to obtain, (b) Privacy: Karl i will not obtain any new information that cannot be computed from his input and output alone.

# **Applications: Voting**

- *n* voters, one tallier.
- Voter i has input  $v_i$ , her vote.
- Security: Tallier gets to know  $y_T := \sum_{i=1}^n v_i$ .
- Privacy: Tallier will not get any information that cannot be computed from  $y_T$  alone. Voters will not get any new information at all.

#### **Limitations**

- MPC: To get total privacy and security, a majority of the parties must be honest (in some settings, 2/3!)
- "Threshold trust" in voting: assume that a majority of talliers and/or voters is honest?
- Two-party computation: privacy possible, but security is possible only for one of the two parties (since he can halt as soon as he recovers his output)
- Fortunately, often one can design protocols, where halting is not a problem — but not always

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# Outsourcing model

- n individuals, 1 interested third party S, one established authority A.
- Individual i has input  $v_i$ , her financial or social choice (vote, bid, ...).
- Security: S gets to know  $y_T := f(v_1, \ldots, v_n)$  for some destination function f.
- Privacy: *S* will not get any information that cannot be computed from  $y_T$  alone. Individuals will not get any new information at all. *A* can get to know the vector  $(v_1, \ldots, v_n)$ .

## Why makes sense?

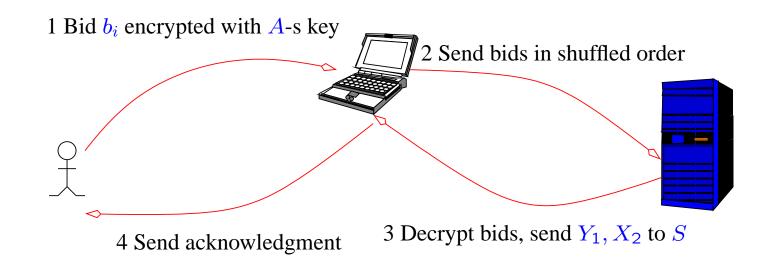
- In voting, it is better to have one tallier: in real life, very hard to have a multiple of completely independent talliers.
- Same in auctions: there is a single seller, all servers are operated by him; why should we trust *m* machines controlled by the same person more than just one machine, controlled by him?
- OTOH: *A* can be an established authority who has a reputation to take care off; often *S* is an occassional party.
- It is also possible to design the system so that we can avoid the limitations of the two-party and multi-party computations, *efficiently*

# **Example: Vickrey Auctions**

Security requirements:

- Correctness
  - $\star$  Highest bidder  $Y_1$  should win
  - $\star$  He should pay the second highest bid  $X_2$
- Privacy: S should not get any information about the bids but  $(Y_1, X_2)$
- Scheme should be secure unless both A and S are malicious

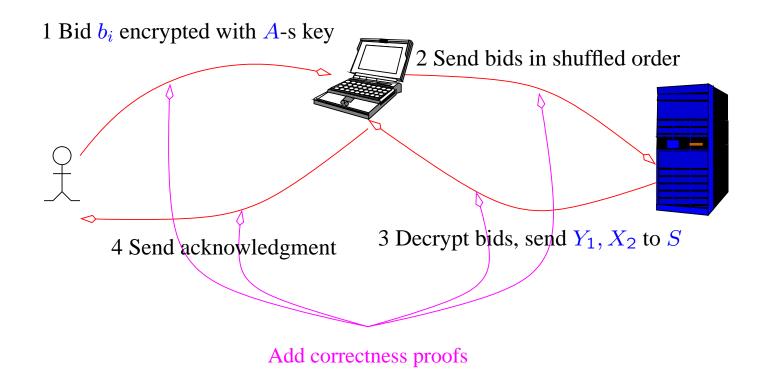
# Simple scheme



S will not get any extra information, but S can increase  $X_2$ 

 $A \rightarrow S$  interaction is quite large

### Simple scheme $\rightarrow$ complex scheme



#### Proofs of correctness

- 1. Complex: use bulletin board, argue that bid belongs to some set
- 2. Complex: combine bids, argue correctness of combination
- 3. Complex: extract  $X_2$ , argue it
- 4. Simple:  $(Y_1, X_2)$  signed by S

## Efficient Proofs of Knowledge

- 1. Bidders encode their bids by using some function  $enc(\cdot)$ , and then encrypt the result by using *A*'s key. They send the result,  $E_K(enc(b_i); r_i)$  to *S*
- 2. S multiplies the results, gets  $E_K(\sum \operatorname{enc}(b_i); \sum r_i)$ ; sends the result to A
- 3. A decrypts the result, obtains  $\sum \text{enc}(b_i)$ , applies a decoding function to it and obtains  $(b_1, \ldots, b_n)$
- 4. A computes  $o = f(b_1, \ldots, b_n)$ , sends this to S and argues that o was correctly computed

### Details!

- 1. *E* is homomorphic:  $E_K(m_1; r_1)E(m_2; r_2) = E_K(m_1 + m_2; r_1 + r_2)$ — such *E* are well-known (Paillier, ...)
- 2. There exists  $enc(\cdot)$  and  $dec(\cdot)$ , such that  $dec(\sum enc(b_i)) = (b_1, \ldots, b_n)$  for all  $b_1$  from [0, V 1] for example, take  $enc(b_i) = V^{b_i}$ ; then dec(b) returns the vector of *V*-radix positions of *b*
- 3. Thus a bidder must argue that  $c_i$  is an encryption of  $V^{b_i}$  for  $b_i \in [0, V-1]$ , and A must argue that  $o = f(\operatorname{dec}(\sum \operatorname{enc}(b_i)))$

#### Problems!

- 1. Known arguments that  $c_i = E_K(V^{\mu}; \rho) \land \mu \in [0, V 1]$  are long [DJ01,LAN02]
- 2. Efficient arguments for  $o = f(\operatorname{dec}(\sum \operatorname{enc}(b_i)))$  are known only for a very limited set of f-s
- 3. For example, in Vickrey auctions one needs to argue that  $c = E_K(\mu; \rho) \land \mu \in [0, V 1]$ ; even for this range argument, conventional arguments are too long.

#### Integer commitment schemes

- Commitment scheme:  $c = C_K(\mu; \rho)$ . Hiding: c does not give any information about  $\mu$ . Binding: hard to find  $\mu' \neq \mu$  such that  $C_K(\mu; \rho) = C_K(\mu'; \rho')$ .
- Integer: usually  $\mu' \neq \mu$  means  $\mu' \neq \mu \mod n$  for some finite *n*. In an integer commitment scheme,  $\mu' \neq \mu$  is taken over integers.

### Integer commitment schemes

• Homomorphic:

 $C_K(\mu_1 + \mu_2; \rho_1 + \rho_2) = C_K(\mu_1 + \mu_2; \rho_1)C_K(\mu_1 + \mu_2; \rho_2)$ 

• Easy to argue that

 $c_1 = C_K(\mu_1; \cdot) \land c_2 = C_K(\mu_2; \cdot) \land c_3 = C_K(\mu_1 \mu_2; \cdot)$ 

this generalizes to an argument

 $c_1 = C_K(\mu_1; \cdot) \land c_2 = C_K(\mu_2; \cdot) \land c_3 = C_K(f(\mu_1, \mu_2); \cdot)$ <br/>for for every  $f \in \mathbb{Z}[X]$ 

## **Diophantine Arguments**

- Example: how to prove that  $c = C_K(\mu; \cdot) \land \mu \ge 0$ : by Lagrange,  $\mu \ge 0 \iff (\exists_b \omega_1, \omega_2, \omega_3, \omega_4) [\mu = \omega_1^2 + \omega_2^2 + \omega_3^2 + \omega_4^2]$
- Generally: demonstrate that you know  $\omega$ , such that  $f(\mu; \omega) = 0$

# **Diophantine Arguments**

- 1. Given  $\mu$ , find such  $\omega_i$  (Algorithm: Rabin-Shallit, slightly improved by us)
- 2. Commit to all  $\omega_i$ ,  $c_i = C_K(\omega_i; \rho_i)$
- 3. Argue in ZK that

 $c = C_K(\mu; \rho) \land (\land c_i = C_K(\omega_i; \rho_i)) \land f(\mu; \omega) = 0$ where  $f(\mu; \omega) = \mu - \sum \omega_i^2$ 

## **Diophantine Sets**

- We want to prove that  $\mu \in S$  for some language S. By results of Matiyasevich etc, there exists an  $R_S \in \mathbb{Z}[X]$ , s.t.  $(\exists \omega)[R_S(\mu; \omega) = 0] \iff \mu \in S$
- + We need that one can compute  $\omega$  efficiently if it exists
- +  $\omega$  must be polynomially short (in  $|\mu|$ ) when  $\mu \in S$
- On the other hand,  $\omega$  may exist even if  $\mu \notin S$ , but in this case it must be very long (nonpolynomially long)
- If such  $R_S$  exists we say  $S \in PD$

### Main results

- For all languages S in bounded arithmetic, these requirements are satisfied. In particular, if  $\mu \in S$  then  $|\omega| \leq |\mu|^2$  while if  $\mu \notin S$  then  $|\omega| \geq 2^{|\mu|}$
- Bounded arithmetic includes most of the languages that are necessary in our application domain (auctions, voting etc)
- Our proof hinges on the efficient argument for exponential relationship, presented in the paper
- Finally, we show that if one takes  $enc(b_i) = Z_V(b_i)$  for certain Lucas sequence  $Z_a(b)$ , one can build more efficient arguments than in the case of exponentiation

**Theorem** Assume  $\mu_1 > 1$ ,  $\mu_3 > 0$  and  $\mu_2 > 2$ . The exponential relation  $[\mu_3 = \mu_1^{\mu_2}]$  belongs to PD. More precisely, let  $E(\mu_1, \mu_2, \mu_3)$  be the next equation:

$$\begin{split} & [(\exists \omega_1, \omega_2, \omega_3, \omega_4, \omega_5, \omega_6)(\exists_b \omega_7, \omega_8)] \\ & [(\omega_2 = \omega_1 \mu_1 - \mu_1^2 - 1) \land (\omega_2 - \mu_3 - 1 \ge 0) \land \qquad (E1 - E2) \\ & (\mu_3 - (\mu_1 - \omega_1)\omega_7 - \omega_8 = \omega_2 \omega_3)) \land (\omega_1 - 2 \ge 0) \land \qquad (E3 - E4) \\ & ((\omega_1 - 2)^2 - (\mu_1 + 2)(\omega_1 - 2)\omega_5 - \omega_5^2 = 1) \land \qquad (E5) \\ & (\omega_1 - 2 = \mu_2 + \omega_6(\mu_1 + 2)) \land (\omega_7 \ge 0) \land (\omega_7 < \omega_8) \land \qquad (E6 - E8) \\ & (\omega_7^2 - \omega_1 \omega_7 \omega_8 - \omega_8^2 = 1) \land (\omega_7 = \mu_2 + \omega_4(\omega_1 - 2)] \ , \quad (E9 - E10) \end{split}$$

where ' $\exists_b$ " signifies a bounded quantifier in the following sense: if  $\mu_3 = \mu_1^{\mu_2}$  then  $E(\mu_1, \mu_2, \mu_3)$  is true with  $|\omega| = \Theta(\mu_2^2 \log \mu_1) = o(|\mu|^2)$ . On the other hand, if  $\mu_3 \neq \mu_1^{\mu_2}$  then either  $E(\mu_1, \mu_2, \mu_3)$  is false, or it is true but the intermediate witnesses  $\omega_7$  and  $\omega_8$  have length  $\Omega(\mu_3 \log \mu_3)$ , which is equal to  $\Omega(2^{|\mu|} \cdot |\mu|)$  in the worst case.

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### **Conclusions**

- Argued for the outsourcing model for cryptographic protocols
- No threshold trust, efficient arguments of knowledge
- Showed that most of the necessary arguements in this model can be obtained efficiently by using integer commitment schemes
- New algorithm for Lagrange representation, new polynomial for the exponential relationship
- Idea of using Lucas sequences in the zero-knowlege arguments

### **Questions?**

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