

# **Transfinite Semantics in the form of Greatest Fixpoint**

Härmel Nestra

Institute of Computer Science

University of Tartu

e-mail: harmel.nestra@ut.ee

# **Transfinite semantics**

## **Transfinite semantics**

Transfinite semantics: program execution can continue after completing an infinite subcomputation.

- Studied during the last decade.
- Can entail:
  - \* transfinite traces of execution steps in the case of iteration;
  - \* fractal traces of execution steps in the case of recursion.
- Useful in formalizing program slicing to avoid semantic anomaly.

**Program slicing: example**

```
0sum := 0;  
1prod := 1;  
2i := 0;  
while 3i < n do  
(  
  4i := i + 1;  
  5sum := sum + i;  
  6prod := prod * i  
);  
7
```

→

```
0sum := 0;  
  
2i := 0;  
while 3i < n do  
(  
  4i := i + 1;  
  5sum := sum + i;  
);  
7
```

Criterion: {(7, sum)}.

**Semantic anomaly: example**

If the original program loops then we might have slices which assign to interesting variables more times than the original program:

<pre>0 <b>while</b> true <b>do</b> skip; 1 x := 0; 2</pre>	→	<table border="1"><tr><td><pre>1 x := 0 2</pre></td></tr></table>	<pre>1 x := 0 2</pre>
<pre>1 x := 0 2</pre>			

Criterion:  $\{(2, x)\}$ .

# **Greatest Fixpoint**

**Goal: greatest fixpoint form**

We represent transfinite semantics in the form of greatest fixpoint of a monotone operator on complete lattices.

**Subgoals**

- Express transfinite semantics in a standard framework.
  - Express both transfinite and standard semantics in a uniform algebraic way.
- Provide an exhaustive definition of infinitely deep recursion semantics.
- As a plan for future: build a Cousot's hierarchy.



### **Epiphenomenons**

- Usual traces must be replaced by either fractional traces or trees.
- Explicit determinism is lost.

## **Fractional semantics**

**Fractional traces**

In the case of fractional traces, computation steps are indexed by rational numbers from a fixed interval.

- The interval of rationals within which an execution of a statement of the program falls does not depend on the initial state.
- Traces grow into depth rather than into length.

**Example: swap**

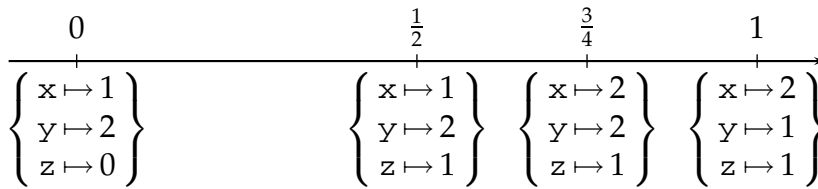
The fractional trace of the execution of program

$$z := x; (x := y; y := z)$$

at initial state

$$\left\{ \begin{array}{l} x \mapsto 1 \\ y \mapsto 2 \\ z \mapsto 0 \end{array} \right\}$$

is



**Example: infinite loops**

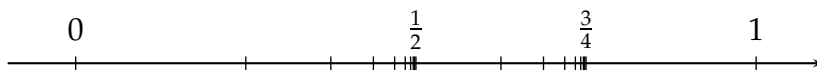
If

 $S_1 = S_2 = \mathbf{while\ true\ do\ skip}$  $S_3 = x := 1$ 

then the domain of the execution trace of statement

 $S_1 ; (S_2 ; S_3)$ 

is depicted in the following figure:



## **Tree semantics**

## **Trees**

In tree semantics, an execution is depicted in the form of tree.

- The tree structure reflects the proof of that execution within a deduction system.

**Example: swap**

Here is the tree of the execution of the swap program

$$z := x; (x := y; y := z)$$

at the same initial state as before:

$$\begin{array}{c}
 \frac{\frac{\frac{\left\{ \begin{array}{l} x \mapsto 1 \\ y \mapsto 2 \\ z \mapsto 0 \end{array} \right\} \rightarrow \left\{ \begin{array}{l} x \mapsto 1 \\ y \mapsto 2 \\ z \mapsto 1 \end{array} \right\}}{\left\{ \begin{array}{l} x \mapsto 1 \\ y \mapsto 2 \\ z \mapsto 1 \end{array} \right\} \rightarrow \left\{ \begin{array}{l} x \mapsto 2 \\ y \mapsto 2 \\ z \mapsto 1 \end{array} \right\}} \quad \frac{\frac{\left\{ \begin{array}{l} x \mapsto 2 \\ y \mapsto 2 \\ z \mapsto 1 \end{array} \right\} \rightarrow \left\{ \begin{array}{l} x \mapsto 2 \\ y \mapsto 1 \\ z \mapsto 1 \end{array} \right\}}{\left\{ \begin{array}{l} x \mapsto 1 \\ y \mapsto 2 \\ z \mapsto 1 \end{array} \right\} \rightarrow \left\{ \begin{array}{l} x \mapsto 2 \\ y \mapsto 1 \\ z \mapsto 1 \end{array} \right\}}}{\left\{ \begin{array}{l} x \mapsto 1 \\ y \mapsto 2 \\ z \mapsto 0 \end{array} \right\} \rightarrow \left\{ \begin{array}{l} x \mapsto 2 \\ y \mapsto 1 \\ z \mapsto 1 \end{array} \right\}}
 \end{array}$$



**Example generalized**

For any program of form  $S_1 ; (S_2 ; S_3)$ , the tree grows as follows:

$$\begin{array}{c}
 \vdots \\
 \hline
 s_0 \rightarrow s_{\frac{1}{2}} \\
 \hline
 \vdots \qquad \qquad \qquad \vdots \\
 \hline
 \begin{array}{cc}
 s_{\frac{1}{2}} \rightarrow s_{\frac{3}{4}} & s_{\frac{3}{4}} \rightarrow s_1 \\
 \hline
 s_{\frac{1}{2}} \rightarrow s_1
 \end{array} \\
 \hline
 s_0 \rightarrow s_1
 \end{array}$$

## **The framework and results**

## Language

- Statements:

$$\begin{aligned} Stmt \rightarrow & Var := Expr \\ & | Stmt ; Stmt \\ & | \mathbf{if} Expr \mathbf{then} Stmt \mathbf{else} Stmt \\ & | \mathbf{while} Expr \mathbf{do} Stmt \\ & | \mathbf{call} Proc(Var, \dots, Var) \end{aligned}$$

- Modules:

$$\begin{aligned} Module \rightarrow & \mathbf{proc} Proc(Var, \dots, Var) \mathbf{is} Stmt \\ & | Module ; Module \end{aligned}$$

### **Kinds of semantics**

We have considered the following kinds:

	Finite	Standard	Transfinite
Integral trace	$\vec{+}$	$\vec{\omega}$	$\vec{\alpha}$
Fractional trace	$\tilde{+}$	$\tilde{\omega}$	$\tilde{\alpha}$
Tree	$\hat{+}$	$\hat{\omega}$	$\hat{\alpha}$

**Domains**

$\text{Val}$  the set of values

$\text{State} = \text{Var} \rightarrow \text{Val}$

$\text{Dom}_\kappa$  the set of individual semantic objects (traces, trees etc.)

$\text{Env}_\kappa = \text{Proc} \rightarrow (\text{State} \rightarrow \text{Val}^*) \rightarrow \wp(\text{Dom}_\kappa)$

The semantic domains  $\wp(\text{Dom}_\kappa)$  are equipped with inclusion order, lifted componentwise to functions.

## Signatures

- Statement level.

$$\mathcal{F}_\kappa \in \text{Env}_\kappa \rightarrow (\text{Stmt} \rightarrow \wp(\text{Dom}_\kappa)) \rightarrow (\text{Stmt} \rightarrow \wp(\text{Dom}_\kappa))$$

$$\mathcal{S}_\kappa \in \text{Env}_\kappa \rightarrow (\text{Stmt} \rightarrow \wp(\text{Dom}_\kappa))$$

$$\mathcal{S}_\kappa(S)(e) = \text{gfp}(\mathcal{F}_\kappa(e))(S)$$

- Module level.

$$\mathcal{G}_\kappa \in (\text{Module} \rightarrow \text{Env}_\kappa) \rightarrow (\text{Module} \rightarrow \text{Env}_\kappa)$$

$$\mathcal{T}_\kappa \in \text{Module} \rightarrow \text{Env}_\kappa$$

$$\mathcal{T}_\kappa(M) = \text{gfp}(\mathcal{G}_\kappa)(M)$$

## Correctness

- The functions  $\mathcal{F}_\kappa(e)$  and  $\mathcal{G}_\kappa$  are monotone.
  - By Tarski's theorem, the greatest fixpoint always exists and the definition is correct.
- The functions  $\mathcal{F}_\kappa(e)$  and  $\mathcal{G}_\kappa$  are Scott-cocontinuous for  $\kappa = \tilde{\omega}$ ,  $\kappa = \hat{\omega}$ .
  - \* By Kleene's theorem, the greatest fixpoint of the transfinite semantics can be obtained by an iteration which is not transfinite!

**Example**

Let procedure  $q$  be defined by

```
proc  $q()$  is (call  $q()$  ; call  $q()$ )
```

The iteration of its semantics goes as follows:





**Remarks**

**The choice of the kind of semantics**

Why do we need the fractional traces or trees? Why couldn't we use transfinite sequences?

- It is not possible to express fractal structures that arise in the case of infinitely deep recursion using transfinite sequences.
- Even in the case of infinite iteration only, the greatest fixpoint of our function would contain too many traces.
  - Besides the desired traces, all traces having a desired trace as a prefix would be included.
  - But in fractional semantics, the interval  $[0;1]$  is wholly distributed between all statements occurring in the program and no space is left for garbage.

### **Connection between different kind of semantics**

Fractional traces reflect the deduction tree structure within a linear order. They have both trace and tree properties.

This way, fractional semantics is an intermediate level between trace and tree semantics.

**Non-determinism**

The price we pay in this approach is that explicit determinism is lost.

- It is not clear whether the execution trace of a program at an initial state is unique.
- It is not clear whether there exists an execution trace after all!
  - \* What would the absence of execution traces mean?

Under some natural restrictions, it can be proven that non-determinism can be introduced by infinitely deep recursion only.