

A relational proof system for information flow security of unstructured bytecode

Lennart Beringer

Lehrstuhl für Theoretische Informatik
Ludwig-Maximilians-Universität München

IoC Tallinn, December 11th, 2007

What is information flow security?

Design choice: object identity is observable (I do that) vs extensional object identity (equality of dynamic class and fields)

Informal definition

A system is called (information flow) secure if an outside attacker cannot obtain knowledge about internal secret information by interacting with the system (i.e. by repeatedly applying some input and observing the output).

Precise notions of terms attacker, public/private, information, system vary.

Concrete notions exist for various modeling frameworks:

- Automata/ state machines (Goguen/Meseguer, Rushby)
- Process calculi
- **Programming languages**

Information flow analysis in programming languages

- Classify data (or variables, objects, locations, . . .) according to **security levels** L/H (or lattice)
- **Non-interference**: initial differences in high data are not semantically observable at the low domain
- Example (imperative language):

$$C \text{ secure} \iff \forall s s'. s =_L s' \Rightarrow \llbracket C \rrbracket s \approx_L \llbracket C \rrbracket s'$$
- Various possibilities for semantics $\llbracket C \rrbracket$: terminal states, termination sensitive. . .
- Examples for $s =_L s' \iff s(l) = s'(l)$ and

$$\llbracket C \rrbracket s \approx_L \llbracket C \rrbracket s' \iff \forall t t'. s \xrightarrow{C} t \Rightarrow s' \xrightarrow{C} t' \Rightarrow t =_L t'$$
 - $l := h$ (insecure; direct flow) vs. $h := l$ (secure)
 - **if** $h = 0$ **then** $l := 1$ **else** $l := 2$ (insecure; indirect flow)
 - **if** $h = 0$ **then** $l := 1$ **else** $l := 1$ and $l := h$; $l := 1$ (secure, but rejected by many (static) verification techniques)

Static analysis: type system by Volpano/Smith/Irvine

- Types τ = security levels (*high/low*), with $low \sqsubseteq high$
- Typing rules prevent assignments $l := e$ if e depends on a high variable, or if a surrounding conditional (or loop guard) depends on a high boolean expression
- Γ associates (fixed) types to variables
- Typing judgements:
 - $\vdash e : \tau$: τ is a **upper** bound on the variables occurring in e ,
i.e. e does not depend on any variable x with $\Gamma(x) \sqsupset \tau$
 - $\tau \vdash C$: τ is a **lower** bound on the variables assigned to in C ,
i.e. variables x with $\Gamma(x) \sqsubseteq \tau$ remain unchanged

$$\frac{\vdash e : \tau \quad \Gamma(x) = \tau}{\tau \vdash x := e} \quad \frac{\tau \vdash C \quad \tau \vdash D}{\tau \vdash C; D} \quad \frac{\vdash b : \tau \quad \tau \vdash C_i}{\tau \vdash \mathbf{if } b \mathbf{ then } C_1 \mathbf{ else } C_2}$$

... plus subtyping rules.

Theorem

If $low \vdash C$ then C is secure.

Evaluation of Volpano/Smith/Irvine

- Soundness result ensures that programs with direct (example $l := h$) or indirect flows (example **if** $h = 0$ **then** $l := 1$ **else** $l := 2$) are eliminated, while e.g. $h := l$ is rightly admitted
- Shortcoming I: subprograms of well-typed programs are required to be secure, e.g. $l := h; l := 1$ is rejected
- Shortcoming II: no direct compatibility with program transformations. E.g. **if** $h = 0$ **then** $l := 1$ **else** $l := 1$ and **if** $h = 0$ **then** $l := 1; x := 2$ **else** $x := 2; l := 1$ where $x : low$ are rejected
- Generalisation to flow-sensitivity (Hunt & Sands) removes some of the shortcomings

Our aim

Present better type system, for low-level language (PCC motivation)

Non-interference for bytecode

Bytecode: low-level (virtual machine level) programming language

- Components of states: operand stack, store, heap
- Code: load/store between store and operand stack, arithmetic operations, object creation and manipulation, method calls, **unstructured control flow** (concurrency, exceptions, . . .)

Current type systems are essentially bytecode-level versions of VSI:

- require essentially structured control flow: employ a *pc*-type in order to ensure **no low assignments (or method returns) under a high branch** discipline (or CDR, . . .)
- cannot directly exploit if a program transformations preserve non-interference

Goal: present a proof system for unstructured bytecode that does not flatly reject all low assignments (or returns) in high branches, and is compatible with program transformations.

- 1 Stack-based language
- 2 Objects and methods (current work)

Stack-based language

- Simple programs: no heap, no methods.
- Big-step operational semantics: $\mathcal{P} \vdash ([], \sigma), \ell \Downarrow v$

(Termination-insensitive) Non-interference for bytecode

Program label ℓ is non-interferent for $\mathcal{S} : \mathcal{X} \rightarrow \mathcal{L}$, if $\mathcal{P} \vdash ([], \sigma), \ell \Downarrow v$ and $\mathcal{P} \vdash ([], \tau), \ell \Downarrow w$ implies $v \sim_{low} w$ whenever $\sigma \sim_{\mathcal{S}} \tau$.

Idea

- 1 Non-interference is a special case of similarity:

Similarity

Labels ℓ and ℓ' are (low) similar for $\alpha \in \mathcal{L}^*$ and \mathcal{S} , notation $\ell \sim_{(\alpha, \mathcal{S})} \ell'$, if $\mathcal{P} \vdash (\mathcal{O}, \sigma), \ell \Downarrow v$ and $\mathcal{P} \vdash (\mathcal{O}', \tau), \ell' \Downarrow w$ implies $v \sim_{low} w$ whenever $\sigma \sim_{\mathcal{S}} \tau$ and $\mathcal{O} \sim_{\alpha} \mathcal{O}'$.

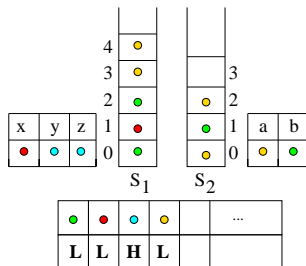
- 2 Generalise pairs (α, \mathcal{S}) to *relational shape descriptions* β , such that identity of values may be traced (**not: formal variable dependencies**) through both computations
- 3 Derive *relational proof system* where abstractions β play the role of types (applying to the initial states)

Relational shape descriptions (RSD's)

\mathcal{C} : infinite set of **colours**, ranged over by γ .

Definition

A RSD is a structure $\beta = ((S, \Gamma), N, (T, \Delta))$ where $S, T \in \mathcal{C}^*$, $\Gamma, \Delta \in \mathcal{X} \rightarrow_{fin} \mathcal{C}$ and $N \in \mathcal{C} \rightarrow_{fin} \mathcal{L}$, such that $cod\ S \cup cod\ T \cup cod\ \Gamma \cup cod\ \Delta \subseteq dom\ N$.



Interpretation of RSD's (informal)

Definition

The interpretation of γ in a state \mathcal{O}, σ with respect to (S, Γ) (where $|\mathcal{O}| = |S|$) is the set of values at the positions containing γ :

$$\llbracket \gamma \rrbracket_{(S, \Gamma)}((\mathcal{O}, \sigma)) = \{\mathcal{O}(n) \mid S(n) = \gamma\} \cup \{\sigma(x) \mid \Gamma(x) = \gamma\}$$

Definition

States (\mathcal{O}_1, σ) and (\mathcal{O}_2, τ) are indistinguishable with respect to β , notation $(\mathcal{O}_1, \sigma) \sim_{\beta} (\mathcal{O}_2, \tau)$, if for all $\gamma \in \text{dom } N$,

- $\llbracket \gamma \rrbracket_{(S, \Gamma)}((\mathcal{O}, \sigma))$ and $\llbracket \gamma \rrbracket_{(T, \Delta)}((\mathcal{O}', \sigma'))$ are either empty or singleton sets
- If $\llbracket \gamma \rrbracket_{(S, \Gamma)}((\mathcal{O}, \sigma)) = \{v\}$ and $\llbracket \gamma \rrbracket_{(T, \Delta)}((\mathcal{O}', \sigma')) = \{w\}$ and $N(\gamma) = \text{low}$ then $v = w$

Similarity

Definition

Code points ℓ and ℓ' are (β, ρ) -similar if $v \sim_\rho w$ holds whenever $\mathcal{P} \vdash s, \ell \Downarrow v$ and $\mathcal{P} \vdash t, \ell' \Downarrow w$ and $s \sim_\beta t$.

Now derive proof system for (β, ρ) -similarity.

- one-side rules (high rules, or propagation of identifiers),
incl. rule of symmetry
- two-sided rules (low rules)
- structural rules (axioms, inject, subtyping)

One-sided rules

ι	$\beta(\iota)$	$\beta'(\iota)$	$\Phi(\iota)$
load x	$((S, \Gamma), N, (T, \Delta))$	$((\gamma :: S, \Gamma), N, (T, \Delta))$	$\Gamma \downarrow x = \gamma$
store x	$((\gamma :: S, \Gamma), N, (T, \Delta))$	$((S, \Gamma[x \mapsto \gamma]), N, (T, \Delta))$	
pop	$((\gamma :: S, \Gamma), N, (T, \Delta))$	$((S, \Gamma), N, (T, \Delta))$	
dup	$((\gamma :: S, \Gamma), N, (T, \Delta))$	$((\gamma :: \gamma :: S, \Gamma), N, (T, \Delta))$	
swap	$((\gamma_1 :: \gamma_2 :: S, \Gamma), N, (T, \Delta))$	$((\gamma_2 :: \gamma_1 :: S, \Gamma), N, (T, \Delta))$	
iconst v	$((S, \Gamma), N, (T, \Delta))$	$((\gamma :: S, \Gamma), N[\gamma \mapsto q], (T, \Delta))$	$\left\{ \begin{array}{l} \gamma \notin \text{dom } N \\ N \downarrow \gamma_2 = q_2 \\ N \downarrow \gamma_1 = q_1 \\ \gamma \notin \text{dom } N \\ q = q_1 \sqcup q_2 \end{array} \right.$
binop \oplus	$((\gamma_1 :: \gamma_2 :: S, \Gamma), N, (T, \Delta))$	$((\gamma :: S, \Gamma), N[\gamma \mapsto q], (T, \Delta))$	

$$\text{RINSTR} \frac{M(\ell) = \iota \quad \Phi(\iota) \quad G \vdash_t \ell + 1 * \ell' : \beta'(\iota) \rightarrow p}{G \vdash_f \ell * \ell' : \beta(\iota) \rightarrow p}$$

$$\text{RGOTO} \frac{M(\ell) = \text{goto } \bar{\ell} \quad G \vdash_t \bar{\ell} * \ell' : \beta \rightarrow p}{G \vdash_f \ell * \ell' : \beta \rightarrow p} \quad \text{RSYMM} \frac{G^{-1} \vdash_b \ell' * \ell : \beta^{-1} \rightarrow p}{G \vdash_b \ell * \ell' : \beta \rightarrow p}$$

$$\text{RIF} \frac{M(\ell) = \text{iftrue } \bar{\ell} \quad G \vdash_t \ell + 1 * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p \quad G \vdash_t \bar{\ell} * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p}{G \vdash_f \ell * \ell' : ((\gamma :: S, \Gamma), N, (T, \Delta)) \rightarrow p}$$

One-sided rules

ι	$\beta(\iota)$	$\beta'(\iota)$	$\Phi(\iota)$
load x	$((S, \Gamma), N, (T, \Delta))$	$((\gamma :: S, \Gamma), N, (T, \Delta))$	$\Gamma \downarrow x = \gamma$
store x	$((\gamma :: S, \Gamma), N, (T, \Delta))$	$((S, \Gamma[x \mapsto \gamma]), N, (T, \Delta))$	
pop	$((\gamma :: S, \Gamma), N, (T, \Delta))$	$((S, \Gamma), N, (T, \Delta))$	
dup	$((\gamma :: S, \Gamma), N, (T, \Delta))$	$((\gamma :: \gamma :: S, \Gamma), N, (T, \Delta))$	
swap	$((\gamma_1 :: \gamma_2 :: S, \Gamma), N, (T, \Delta))$	$((\gamma_2 :: \gamma_1 :: S, \Gamma), N, (T, \Delta))$	
iconst v	$((S, \Gamma), N, (T, \Delta))$	$((\gamma :: S, \Gamma), N[\gamma \mapsto q], (T, \Delta))$	$\left\{ \begin{array}{l} \gamma \notin \text{dom } N \\ N \downarrow \gamma_2 = q_2 \\ N \downarrow \gamma_1 = q_1 \\ \gamma \notin \text{dom } N \\ q = q_1 \sqcup q_2 \end{array} \right.$
binop \oplus	$((\gamma_1 :: \gamma_2 :: S, \Gamma), N, (T, \Delta))$	$((\gamma :: S, \Gamma), N[\gamma \mapsto q], (T, \Delta))$	

$$\text{RINSTR} \frac{M(\ell) = \iota \quad \Phi(\iota) \quad G \vdash_t \ell + 1 * \ell' : \beta'(\iota) \rightarrow p}{G \vdash_f \ell * \ell' : \beta(\iota) \rightarrow p}$$

$$\text{RGOTO} \frac{M(\ell) = \text{goto } \bar{\ell} \quad G \vdash_t \bar{\ell} * \ell' : \beta \rightarrow p}{G \vdash_f \ell * \ell' : \beta \rightarrow p} \quad \text{RSYMM} \frac{G^{-1} \vdash_b \ell' * \ell : \beta^{-1} \rightarrow p}{G \vdash_b \ell * \ell' : \beta \rightarrow p}$$

$$\text{RIF} \frac{M(\ell) = \text{iftrue } \bar{\ell} \quad \begin{array}{l} G \vdash_t \ell + 1 * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p \\ G \vdash_t \bar{\ell} * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p \end{array}}{G \vdash_f \ell * \ell' : ((\gamma :: S, \Gamma), N, (T, \Delta)) \rightarrow p}$$

Two-sided and structural rules

$$\text{RCONSTCONST} \frac{M(\ell) = \text{iconst } v \quad M'(\ell') = \text{iconst } w \quad v \sim_q w \quad \gamma \notin \text{dom } N \quad G \vdash_t \ell + 1 * \ell' + 1 : ((\gamma : S, \Gamma), N[\gamma \mapsto q], (\gamma :: T, \Delta)) \rightarrow p}{G \vdash_f \ell * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p}$$

$$\text{RRETRETH} \frac{M(\ell) = \text{vreturn} \quad M'(\ell') = \text{vreturn}}{G \vdash_f \ell * \ell' : ((\gamma_1 :: S, \Gamma), N, (\gamma_2 :: T, \Delta)) \rightarrow \text{high}}$$

$$\text{RRETRET} \frac{M(\ell) = \text{vreturn} \quad M'(\ell') = \text{vreturn} \quad N \downarrow \gamma = p}{G \vdash_f \ell * \ell' : ((\gamma :: S, \Gamma), N, (\gamma :: T, \Delta)) \rightarrow p}$$

$$\text{RIFIF} \frac{M(\ell) = \text{iftrue } \bar{\ell} \quad M'(\ell') = \text{iftrue } \bar{\ell}_1 \quad N \downarrow \gamma = \text{low} \quad G \vdash_t \ell + 1 * \ell' + 1 : ((S, \Gamma), N, (T, \Delta)) \rightarrow p \quad G \vdash_t \bar{\ell} * \bar{\ell}_1 : ((S, \Gamma), N, (T, \Delta)) \rightarrow p}{G \vdash_f \ell * \ell' : ((\gamma :: S, \Gamma), N, (\gamma :: T, \Delta)) \rightarrow p}$$

$$\text{AX} \frac{G \downarrow (\ell, \ell') = (\beta, p)}{G \vdash_t \ell * \ell' : \beta \rightarrow p}$$

$$\text{SUB} \frac{G \vdash_b \ell * \ell' : \beta \rightarrow q \quad \beta <: \beta' \quad q \sqsubseteq p}{G \vdash_b \ell * \ell' : \beta' \rightarrow p}$$

Two-sided and structural rules

$$\text{RCONSTCONST} \frac{M(\ell) = \text{iconst } v \quad M'(\ell') = \text{iconst } w \quad v \sim_q w \quad \gamma \notin \text{dom } N}{G \vdash_t \ell + 1 * \ell' + 1 : ((\gamma : S, \Gamma), N[\gamma \mapsto q], (\gamma :: T, \Delta)) \rightarrow p} \rightarrow p$$

$$G \vdash_f \ell * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p$$

$$\text{RRETRETH} \frac{M(\ell) = \text{vreturn} \quad M'(\ell') = \text{vreturn}}{G \vdash_f \ell * \ell' : ((\gamma_1 :: S, \Gamma), N, (\gamma_2 :: T, \Delta)) \rightarrow \text{high}}$$

$$\text{RRETRET} \frac{M(\ell) = \text{vreturn} \quad M'(\ell') = \text{vreturn} \quad N \downarrow \gamma = p}{G \vdash_f \ell * \ell' : ((\gamma :: S, \Gamma), N, (\gamma :: T, \Delta)) \rightarrow p}$$

$$\text{RIIFIF} \frac{M(\ell) = \text{iftrue } \bar{\ell} \quad M'(\ell') = \text{iftrue } \bar{\ell}_1 \quad N \downarrow \gamma = \text{low} \quad G \vdash_t \ell + 1 * \ell' + 1 : ((S, \Gamma), N, (T, \Delta)) \rightarrow p \quad G \vdash_t \bar{\ell} * \bar{\ell}_1 : ((S, \Gamma), N, (T, \Delta)) \rightarrow p}{G \vdash_f \ell * \ell' : ((\gamma :: S, \Gamma), N, (\gamma :: T, \Delta)) \rightarrow p}$$

$$\text{AX} \frac{G \downarrow (\ell, \ell') = (\beta, p)}{G \vdash_t \ell * \ell' : \beta \rightarrow p} \quad \text{SUB} \frac{G \vdash_b \ell * \ell' : \beta \rightarrow q \quad \beta <: \beta' \quad q \sqsubseteq p}{G \vdash_b \ell * \ell' : \beta' \rightarrow p}$$

Transformation rules

Derivable rules, e.g.

$$\frac{M(\ell) = \text{store } x \quad M(\ell + 1) = \text{store } y \quad M'(\ell') = \text{store } y \quad M'(\ell' + 1) = \text{store } x \quad G \vdash_{\tau} \ell + 2 * \ell' + 2 : ((S, \Gamma[x \mapsto \gamma_1][y \mapsto \gamma_2]), N, (T, \Delta[y \mapsto \gamma_3][x \mapsto \gamma_4])) \rightarrow p}{G \vdash_{\tau} \ell * \ell' : ((\gamma_1 :: \gamma_2 :: S, \Gamma), N, (\gamma_3 :: \gamma_4 :: T, \Delta)) \rightarrow p}$$

Admissible rules, e.g.

$$\frac{\begin{array}{l} M(\ell) = \text{iconst } v \quad M(\ell + 1) = \text{store } x \quad M(\ell + 2) = \text{iconst } w \quad M(\ell + 3) = \text{store } y \\ M'(\ell') = \text{iconst } w \quad M'(\ell' + 1) = \text{store } y \\ M'(\ell' + 2) = \text{iconst } v \quad M'(\ell' + 3) = \text{store } x \\ \gamma_1 \neq \gamma_2 \quad \{\gamma_1, \gamma_2\} \cap \text{dom } N = \emptyset \quad G \vdash_{\tau} \ell + 4 * \ell' + 4 : \beta \rightarrow p \\ \beta = ((S, \Gamma[x \mapsto \gamma_1][y \mapsto \gamma_2]), N[\gamma_1 \mapsto q_1][\gamma_2 \mapsto q_2], (T, \Delta[y \mapsto \gamma_2][x \mapsto \gamma_1])) \end{array}}{G \vdash_{\tau} \ell * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p}$$

Rule of transitivity:

$$\frac{G \vdash_{(f,f)} \ell * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p \quad \triangleright G \quad G \vdash_{(f,f)} \ell' * \ell'' : ((T, \Delta), N, (R, \Sigma)) \rightarrow p \quad \text{dom } T \cup \text{cod } \Delta \subseteq \text{dom } N}{G \vdash_{(f,f)} \ell * \ell'' : ((S, \Gamma), N, (R, \Sigma)) \rightarrow p}$$

Transformation rules

Derivable rules, e.g.

$$\frac{M(\ell) = \text{store } x \quad M(\ell + 1) = \text{store } y \quad M'(\ell') = \text{store } y \quad M'(\ell' + 1) = \text{store } x \quad G \vdash_{\tau} \ell + 2 * \ell' + 2 : ((S, \Gamma[x \mapsto \gamma_1][y \mapsto \gamma_2]), N, (T, \Delta[y \mapsto \gamma_3][x \mapsto \gamma_4])) \rightarrow p}{G \vdash_{\tau} \ell * \ell' : ((\gamma_1 :: \gamma_2 :: S, \Gamma), N, (\gamma_3 :: \gamma_4 :: T, \Delta)) \rightarrow p}$$

Admissible rules, e.g.

$$\frac{\begin{array}{l} M(\ell) = \text{iconst } v \quad M(\ell + 1) = \text{store } x \quad M(\ell + 2) = \text{iconst } w \quad M(\ell + 3) = \text{store } y \\ M'(\ell') = \text{iconst } w \quad M'(\ell' + 1) = \text{store } y \\ M'(\ell' + 2) = \text{iconst } v \quad M'(\ell' + 3) = \text{store } x \\ \gamma_1 \neq \gamma_2 \quad \{\gamma_1, \gamma_2\} \cap \text{dom } N = \emptyset \quad G \vdash_{\tau} \ell + 4 * \ell' + 4 : \beta \rightarrow p \\ \beta = ((S, \Gamma[x \mapsto \gamma_1][y \mapsto \gamma_2]), N[\gamma_1 \mapsto q_1][\gamma_2 \mapsto q_2], (T, \Delta[y \mapsto \gamma_2][x \mapsto \gamma_1])) \end{array}}{G \vdash_{\tau} \ell * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p}$$

Rule of transitivity:

$$\frac{G \vdash_{(f,f)} \ell * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p \quad \triangleright G \quad G \vdash_{(f,f)} \ell' * \ell'' : ((T, \Delta), N, (R, \Sigma)) \rightarrow p \quad \text{dom } T \cup \text{cod } \Delta \subseteq \text{dom } N}{G \vdash_{(f,f)} \ell * \ell'' : ((S, \Gamma), N, (R, \Sigma)) \rightarrow p}$$

Transformation rules

Derivable rules, e.g.

$$\frac{M(\ell) = \text{store } x \quad M(\ell + 1) = \text{store } y \quad M'(\ell') = \text{store } y \quad M'(\ell' + 1) = \text{store } x \quad G \vdash_{\tau} \ell + 2 * \ell' + 2 : ((S, \Gamma[x \mapsto \gamma_1][y \mapsto \gamma_2]), N, (T, \Delta[y \mapsto \gamma_3][x \mapsto \gamma_4])) \rightarrow p}{G \vdash_{\tau} \ell * \ell' : ((\gamma_1 :: \gamma_2 :: S, \Gamma), N, (\gamma_3 :: \gamma_4 :: T, \Delta)) \rightarrow p}$$

Admissible rules, e.g.

$$\frac{\begin{array}{l} M(\ell) = \text{iconst } v \quad M(\ell + 1) = \text{store } x \quad M(\ell + 2) = \text{iconst } w \quad M(\ell + 3) = \text{store } y \\ M'(\ell') = \text{iconst } w \quad M'(\ell' + 1) = \text{store } y \\ M'(\ell' + 2) = \text{iconst } v \quad M'(\ell' + 3) = \text{store } x \\ \gamma_1 \neq \gamma_2 \quad \{\gamma_1, \gamma_2\} \cap \text{dom } N = \emptyset \quad G \vdash_{\tau} \ell + 4 * \ell' + 4 : \beta \rightarrow p \\ \beta = ((S, \Gamma[x \mapsto \gamma_1][y \mapsto \gamma_2]), N[\gamma_1 \mapsto q_1][\gamma_2 \mapsto q_2], (T, \Delta[y \mapsto \gamma_2][x \mapsto \gamma_1])) \end{array}}{G \vdash_{\tau} \ell * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p}$$

Rule of transitivity:

$$\frac{G \vdash_{(f,f)} \ell * \ell' : ((S, \Gamma), N, (T, \Delta)) \rightarrow p \quad \triangleright G \quad G \vdash_{(f,f)} \ell' * \ell'' : ((T, \Delta), N, (R, \Sigma)) \rightarrow p \quad \text{dom } T \cup \text{cod } \Delta \subseteq \text{dom } N}{G \vdash_{(f,f)} \ell * \ell'' : ((S, \Gamma), N, (R, \Sigma)) \rightarrow p}$$

Intermediate Summary

- Presented basic derivation systems for unstructured bytecode
- Low assignments under high branches admitted
- compatibility with and extensibility by transformation rules (implementation interpretes labels ℓ and ℓ' w.r.t. possibly different programs/methods)
- Soundness w.r.t. termination-insensitive non-interference
- Co-termination \rightsquigarrow termination-sensitive non-interference

Can this be extended to objects and methods?

Current work: Objects and methods

- Instructions: $\text{getf } C.f$, $\text{putf } C.f$, $\text{new } C$, $\text{invStat } C.M$
- Extension of states by heap component:

$$\begin{aligned}
 l &\in \mathbb{L} \\
 v \in \mathcal{V} &::= \mathbf{int } i \mid \mathbf{loc } a \mid \mathbf{null} \\
 h \in \text{Heap} &::= \mathbb{L} \rightarrow_{\text{fin}} \text{Obj} \\
 \text{Obj} &= \text{Classnames} \times (\mathcal{F} \rightarrow_{\text{fin}} \mathcal{V}) \\
 \text{States} \ni s &= (\mathcal{O}, \sigma, h)
 \end{aligned}$$

- Operational rules, e.g.:

$$\frac{M(\ell) = (\text{getf } C.f) \quad h \downarrow a = (C, F) \quad F \downarrow f = v}{\ell, (\mathbf{loc } a :: \mathcal{O}, \sigma, h) \rightarrow \ell + 1, (v :: \mathcal{O}, \sigma, h)}$$

$$\frac{M(\ell) = \text{invStat } C.m \quad \mathcal{P}\mathcal{O}(C.m) = (\text{params}, \text{code}, \ell_0) \quad (\text{params}, \text{code}, \ell_0), \ell_0, ([], \tau, h) \downarrow h', v \quad \text{Frame}(\mathcal{O}, \text{params}, \tau, \mathcal{O}')}{\ell, (\mathcal{O}, \sigma, h) \rightarrow \ell + 1, (v :: \mathcal{O}', \sigma, h')}$$

Heap abstractions & rule format

- Abstract Objects: $\mathcal{OBJ} \ni obj = (C, F)$ where $C : \text{Classnames}$ and $F : \mathcal{F} \rightarrow_{fin} \mathcal{C}$
- Abstract Heap: $\mathcal{H} = \mathcal{C} \rightarrow_{fin} \mathcal{OBJ}$
- Abstract states have shape (S, Γ, H)
- Administrative maps also store types: $N \in \mathcal{C} \rightarrow_{fin} Tp \times \mathcal{L}$
- Method invocation necessitates introduction of post-RSD's
- Rule format: $G \vdash_b M, \ell * M', \ell' : q, \beta \rightarrow p, \beta'$

$$\text{GETF} \frac{M(\ell) = \text{getf } C.f \quad H \downarrow \gamma = (D, F) \quad F \downarrow f = \delta \quad G \vdash_{\tau} M, (\ell + 1) * M', \ell' : q, ((\delta :: S, \Gamma, H), N, (T, \Delta, K)) \rightarrow p, \beta}{G \vdash_f M, \ell * M', \ell' : q, ((\gamma :: S, \Gamma, H), N, (T, \Delta, K)) \rightarrow p, \beta}$$

Heap abstractions & rule format

- Abstract Objects: $\mathcal{OBJ} \ni obj = (C, F)$ where $C : \text{Classnames}$ and $F : \mathcal{F} \rightarrow_{fin} \mathcal{C}$
- Abstract Heap: $\mathcal{H} = \mathcal{C} \rightarrow_{fin} \mathcal{OBJ}$
- Abstract states have shape (S, Γ, H)
- Administrative maps also store types: $N \in \mathcal{C} \rightarrow_{fin} Tp \times \mathcal{L}$
- Method invocation necessitates introduction of post-RSD's
- Rule format: $G \vdash_b M, \ell * M', \ell' : q, \beta \rightarrow p, \beta'$

$$\text{GETF} \frac{M(\ell) = \text{getf } C.f \quad H \downarrow \gamma = (D, F) \quad F \downarrow f = \delta \quad G \vdash_t M, (\ell + 1) * M', \ell' : q, ((\delta :: S, \Gamma, H), N, (T, \Delta, K)) \rightarrow p, \beta}{G \vdash_f M, \ell * M', \ell' : q, ((\gamma :: S, \Gamma, H), N, (T, \Delta, K)) \rightarrow p, \beta}$$

Allocation rules

$$\text{NEW} \frac{M(\ell) = \text{new } C \quad \beta = ((S, \Gamma, H), N, (T, \Delta, K)) \quad \gamma \notin \text{dom } N \\ \beta'' = ((\gamma :: S, \Gamma, H[\gamma \mapsto (C, [])]), N[\gamma \mapsto (C, qq)], (T, \Delta, K)) \\ G \vdash_{\text{t}} M, \ell + 1 * M', \ell' : q, \beta'' \rightarrow p, \beta'}{G \vdash_{\text{f}} M, \ell * M', \ell' : q, \beta \rightarrow p, \beta'}$$

$$\text{NEWNEW} \frac{M(\ell) = \text{new } C \quad M'(\ell') = \text{new } C \\ \beta = ((S, \Gamma, H), N, (T, \Delta, K)) \quad \gamma \notin \text{dom } N \\ \beta'' = ((\gamma :: S, \Gamma, H[\gamma \mapsto (C, [])]), N[\gamma \mapsto (C, qq)], (\gamma :: T, \Delta, K[\gamma \mapsto (C, [])])) \\ G \vdash_{\text{t}} M, \ell + 1 * M', \ell' + 1 : q, \beta'' \rightarrow p, \beta'}{G \vdash_{\text{f}} M, \ell * M', \ell' : q, \beta \rightarrow p, \beta'}$$

Observation

Since the operational semantics also stores newly created objects at **fresh** locations, we know that colours γ_1, γ_2 with $N \downarrow \gamma_i = (C_i, q_i)$ may be interpreted as **different** addresses.

Local reasoning: frame rule

Motivation: method invocation rule that allows us to reason only about the locally relevant part of the heap

Separation into two rules: invocation (here: two-sided) ...

$$\begin{array}{c}
 M(\ell) = \text{invStat } C.m \quad M'(\ell') = \text{invStat } C'.m' \\
 \mathcal{P}\mathcal{O}(C.m) = M_1 \quad \mathcal{P}\mathcal{O}(C'.m') = M'_1 \\
 M_1 = (\text{pars}_0, \text{code}_0, \ell_0) \quad M'_1 = (\text{pars}_1, \text{code}_1, \ell_1) \\
 \beta = ((S_1, \Gamma, H), N, (T_1, \Delta, K)) \quad q' \sqsubseteq q \\
 (S_1, \text{pars}_0, \Gamma_1, S) : \text{absFrame} \quad (T_1, \text{pars}_1, \Delta_1, T) : \text{absFrame} \\
 G \vdash_{\text{t}} M, \ell + 1 * M', \ell' + 1 : q', ((\gamma :: S, \Gamma, H_1), N_1, (\gamma' :: T, \Delta, K_1)) \rightarrow p, \delta \\
 \beta_1 = (([], \Gamma_1, H), N, ([], \Delta_1, K)) \\
 G \vdash_{\text{t}} M_1, \ell_0 * M'_1, \ell_1 : q, \beta_1 \rightarrow q', (([\gamma], [], H_1), N_1, ([\gamma'], [], K_1)) \\
 \hline
 G \vdash_{\text{f}} M, \ell * M', \ell' : q, \beta \rightarrow p, \delta
 \end{array}$$

... plus

$$\text{FRAME} \frac{G \vdash_{\text{b}} M, \ell * M', \ell' : q, \beta \rightarrow p, \delta \quad \beta \oplus (H, N, K) = \beta_1 \quad \delta \oplus (H, N, K) = \delta_1}{G \vdash_{\text{b}} M, \ell * M', \ell' : q, \beta_1 \rightarrow p, \delta_1}$$

Local reasoning: frame rule

Motivation: method invocation rule that allows us to reason only about the locally relevant part of the heap

Separation into two rules: invocation (here: two-sided) ...

$$\begin{array}{c}
 M(\ell) = \text{invStat } C.m \quad M'(\ell') = \text{invStat } C'.m' \\
 \mathcal{P}\mathcal{O}(C.m) = M_1 \quad \mathcal{P}\mathcal{O}(C'.m') = M'_1 \\
 M_1 = (\text{pars}_0, \text{code}_0, \ell_0) \quad M'_1 = (\text{pars}_1, \text{code}_1, \ell_1) \\
 \beta = ((S_1, \Gamma, H), N, (T_1, \Delta, K)) \quad q' \sqsubseteq q \\
 (S_1, \text{pars}_0, \Gamma_1, S) : \text{absFrame} \quad (T_1, \text{pars}_1, \Delta_1, T) : \text{absFrame} \\
 G \vdash_{\text{t}} M, \ell + 1 * M', \ell' + 1 : q', ((\gamma :: S, \Gamma, H_1), N_1, (\gamma' :: T, \Delta, K_1)) \rightarrow p, \delta \\
 \beta_1 = (([], \Gamma_1, H), N, ([], \Delta_1, K)) \\
 G \vdash_{\text{t}} M_1, \ell_0 * M'_1, \ell_1 : q, \beta_1 \rightarrow q', (([\gamma], [], H_1), N_1, ([\gamma'], [], K_1)) \\
 \hline
 G \vdash_{\text{f}} M, \ell * M', \ell' : q, \beta \rightarrow p, \delta
 \end{array}$$

... plus

$$\text{FRAME} \frac{G \vdash_{\text{b}} M, \ell * M', \ell' : q, \beta \rightarrow p, \delta \quad \beta \oplus (H, N, K) = \beta_1 \quad \delta \oplus (H, N, K) = \delta_1}{G \vdash_{\text{b}} M, \ell * M', \ell' : q, \beta_1 \rightarrow p, \delta_1}$$

Current approach

- Well-definedness of RSD's includes (some) type-correctness conditions
- Concrete heaps are required to **injectively** contain **at least** addresses for all abstract locations (and type-correct objects at these locations), but may contain additional objects
- Interpretation of judgements includes frame condition, by universally quantifying over all (separated) heap extensions

Status:

- (Multi-level) one-sided and two-sided rules derived for
 - new, getField, putfield,
 - invokeStatic, invokeVirtual
- (Two-sided) frame rule

Final slide: current & future work

Current & future work

Current work: subtyping, i.e. refinement on RSD's with heaps

- Refinement of administrative structure N : additional entries? subclassing of existing entries?
- Refinement of abstract heaps: additional abstract objects? Sublassing of existing entries? Additional abstract fields of existing objects?
- **Interaction of these choices with frame condition**

Future work

- Transformation rules involving objects
- Co-termination and termination-sensitive non-interference for objects (will require side-condition in method rules)
- Encoding of other type systems for NI and/or transformation frameworks (translation validation, Tarmo/Ando)