

# Computers Without Batteries?

## Rewriting Cellular Automata into Block Automata

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June 5, 2009

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# Introduction

- Cellular automata (CA) are schematics for digital circuits
- Intrinsic of CA is the use of 1-to- $N$  signal replication
- Physically, this entails employing free energy
- Question:

It is possible to achieve the same  
global functional behavior  
without draining the power supply of free energy?

- Possible drawback: a more complex structure

# Outline of the talk

- Physical issues in locally-defined models of computation
- Kari's theorem on reversible 1D CA
- Our result for 1D non-surjective CA
- Conclusions and suggestions for higher-dimensional CA

# Bibliography

- Toffoli, T. and Margolus, N. (1990) Invertible cellular automata: a review. *Physica D* **45**, 229–253.
- Kari, J. (1996) Representation of reversible cellular automata with block permutations. *Math. Syst. Th.* **29**, 47–61.
- Kari, J. (1999) On the circuit depth of structurally reversible cellular automata. *Fundam. Inform.* **38**, 93–107.
- Toffoli, T., Capobianco, S., and Mentrasti, P. (2008) When—and how—can a cellular automaton be rewritten as a lattice gas? *Theor. Comp. Sci.* **403**, 71–88.
- Capobianco, S. (2008) Multidimensional cellular automata and generalization of Fekete's lemma. *Disc. Math. Theor. Comp. Sci.* **10(3)**, 95–104.

# A recipe for CA

Ingredients:

- an integer **dimension**  $d \geq 1$
- a finite set  $Q$  of **states**
- a **neighborhood index**  $\mathcal{N} : \{1, \dots, N\} \rightarrow \mathbb{Z}^d$
- a **local map**  $f : Q^N \rightarrow Q$

“Bake” the **global map**

$$c_x^{t+1} = f \left( c_{x+\mathcal{N}(1)}^t, \dots, c_{x+\mathcal{N}(n)}^t \right)$$

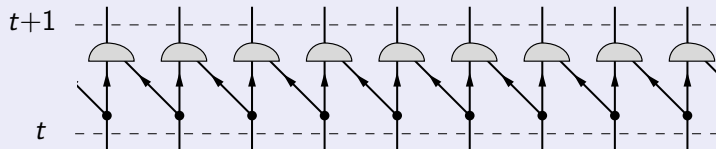
Enjoy!

The shift map

$$\sigma(c)_x = c_{x+1} \quad \forall c \in Q^{\mathbb{Z}}, x \in \mathbb{Z}$$

# CA (thermo)dynamics

## Space-time diagram of a CA



## Heat balance of CA

- Many-to-one discipline increases entropy—produces **heat**
- Fanout nodes perform signal replication—require **power**
- Heat must be dissipated via a heat sink
- **Power must be supplied by an external source**

## Three classes of CA

Reversible (r.e.)	Properly Surjective	Non-Surjective (r.e.)
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- $\mathcal{C}^+$ —there exists a CA modeling the inverse dynamics
- $\mathcal{C}^0$ —each configuration has a preimage, some have more
- $\mathcal{C}^-$ —some finite blocks have no preimage

### Hedlund's theorem

$$\mathcal{C}^+ = \{F \in C(Q^{\mathbb{Z}}, Q^{\mathbb{Z}}) \mid \exists F^{-1} \text{ and } F \circ \sigma = \sigma \circ F\}$$

# Block automata

A “watertight compartments” computation

- Space is partitioned into equally-shaped **blocks**
- Each block updates **at the same time**
- Each block updates **independently** of the others

Block automata (BA) may be thought of as

zero-range, coarse-grained CA



# Lattice gases: A two-steps discipline

## Collision

- Strictly pointwise process
- Same **number** for inputs and outputs
- Same **types** for inputs and outputs

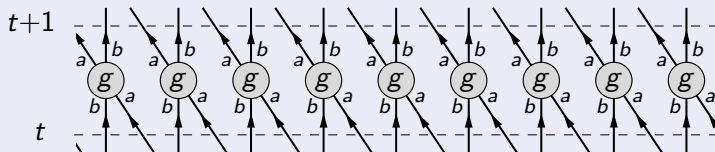
## Propagation

- Each signal to one neighbour
- No replication
- No reuse

Lattice gases are combinations of shifts and BA with one-point blocks

# Lattice gas (thermo)dynamics

## Space-time diagram of a lattice gas



## Heat balance of a lattice gas

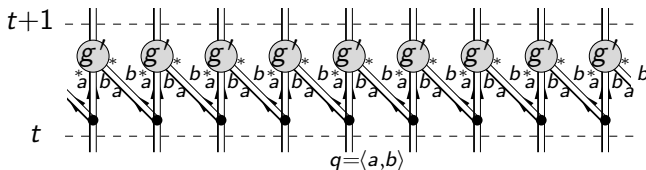
- No fanout nodes, no signal destruction.
- The energy-requiring task is skipped.
- No need to dissipate heat if collision invertible.
- **No need to provide power.**

# There...

Every BA can be re-written as a CA

- Same dimension
- Old **blocks** become new **points**
- Neighborhood reduced to the point itself

Also every LG can be re-written as a CA



...and back again?

### From $\mathcal{C}^+$ to $\mathcal{L}$

Kari, 1996

- OK in dimensions 1 and 2
- Equivalent to a conjecture on high-dimensional shift spaces for  $d \geq 3$ .

### From $\mathcal{C}^0$ to $\mathcal{L}$ ? Impossible!

In a (possibly non-homogeneous) BA or LG, the global map is [in|sur]jective iff each of the local maps is.  
(Toffoli, Capobianco and Mentrasti, Lemma 3)

### From $\mathcal{C}^-$ to $\mathcal{L}$ ?

Never really checked before as far as we know

## Kari's construction for 1D reversible CA

Let  $\mathcal{A}$  be a reversible 1D CA and let  $F$  be its global rule.

Let  $[-r, \dots, r]$  contain the neighborhoods of both  $\mathcal{A}$  and  $\mathcal{A}^{-1}$ .

Put

$$R_{\mathcal{A}} = \{(c_0, \dots, c_{2r-1}, F(c)_{-r}, \dots, F(c)_{r-1}) \mid c \in Q^{\mathbb{Z}}\}$$

$$L_{\mathcal{A}} = \{(F(c)_0, \dots, F(c)_{2r-1}, c_{-r}, \dots, c_{r-1}) \mid c \in Q^{\mathbb{Z}}\}$$

Observe that  $L_{\mathcal{A}} = R_{\mathcal{A}^{-1}}$  and vice versa.

### Lemma A

$$|R_{\mathcal{A}}| \cdot |L_{\mathcal{A}}| = |Q|^{6r}$$

*Reason why:* the two  $4r$ -tuples have a total of  $2r$  constraints, for a total of  $6r$  degrees of freedom.

## A group-theoretic note

$$\text{Put } h_+(\mathcal{A}) = \frac{|R_{\mathcal{A}}|}{|Q|^{3r}} \text{ and } h_-(\mathcal{A}) = \frac{|L_{\mathcal{A}}|}{|Q|^{3r}}.$$

This is **well-posed**: increasing  $r$  by 1 adds to each tuple 4 elements with 1 constraint.

By Lemma A,  $h_-(\mathcal{A}) \cdot h_+(\mathcal{A}) = 1$ .

### Lemma B

$$h_{\pm} \in \text{Hom}(\mathcal{C}^+, \mathbb{Q}_+). \text{ Also, } h_+(\mathcal{A}) = (h_-(\mathcal{A}))^{-1}.$$

*Reason why:*  $h_{\pm}(\mathcal{A}_1; \mathcal{A}_2) \leq h_{\pm}(\mathcal{A}_1) \cdot h_{\pm}(\mathcal{A}_2)$  and observation above.

Lift  $h_{\pm}$  to  $\text{Hom}(\Gamma, \mathbb{Q}_+)$  where

$$\Gamma = \{F \in C(Q^{\mathbb{Z}}, Q^{\mathbb{Z}}) \mid \exists F^{-1} \text{ and } \exists n \geq 1 \mid F \circ \sigma^n = \sigma^n \circ F\}$$

- Every BA is in  $\ker(h_-)$ .
- $\text{im}(h_-)$  is generated by the prime factors of  $|Q|$ .

# Two BA layers always suffice

## Kari's main lemma

Every CA in  $\ker(h_-)$  is composition of two BA.

*Reason why:*

- $\mathcal{A} \in \ker(h_-)$  means  $|R_{\mathcal{A}}| = |L_{\mathcal{A}}| = |Q|^{3r}$ .
- Let  $b_X : X_{\mathcal{A}} \rightarrow |Q|^{3r}$  be a bijection.
- With  $F$  global rule of  $\mathcal{A}$ , put

$$f_{R,\mathcal{A}}(c_0, \dots, c_{6r-1}) = (c_{4r}, \dots, c_{6r-1}, F(c)_{3r}, \dots, F(c)_{5r-1})$$

$$f_{L,\mathcal{A}}(c_0, \dots, c_{6r-1}) = (F(c)_r, \dots, F(c)_{3r-1}, c_0, \dots, c_{2r-1})$$

- Then the following are permutations of  $|Q|^{6r}$  objects:

$$\pi_1 = (b_L \circ f_{L,\mathcal{A}}) \otimes (b_R \circ f_{R,\mathcal{A}})$$

$$\pi_2 = (b_R \circ f_{L,\mathcal{A}^{-1}}) \otimes (b_L \circ f_{R,\mathcal{A}^{-1}})$$

- But  $F = p_2^{-1} \circ p_1$ , with  $p_i$  block permutation induced by  $\pi_i$ .

## Partial shifts

If  $Q = Q_1 \times Q_2 \times \dots \times Q_k$ , consider  $\sigma_i : Q^{\mathbb{Z}} \rightarrow Q^{\mathbb{Z}}$  given by

$$(\sigma_i(c)_x)_j = \begin{cases} (c_{x+1})_i & \text{iff } i = j \\ (c_x)_j & \text{iff } i \neq j \end{cases}$$

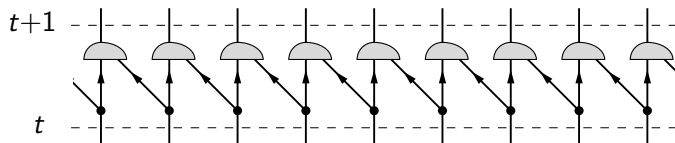
- Every partial shift is a CA.
- $Q$  can always be re-written as above, with each  $|Q_i|$  a prime that divides  $|Q|$ .
- $h_-(\sigma_i) = |Q_i|$ .

### Theorem

Every reversible 1D CA is composition of two BA and partial shifts.



## The AND CA on two neighbours

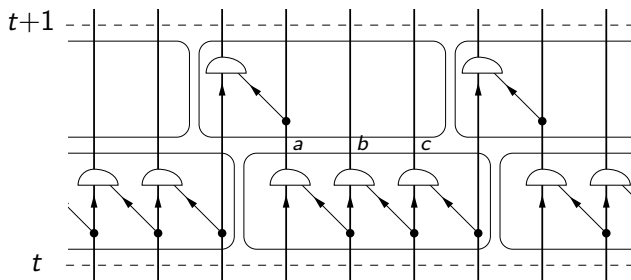


101 is not reachable:

```
... 1 0 1 . ...  
... 1 1 1 1 ...  
... . 1 . . ...
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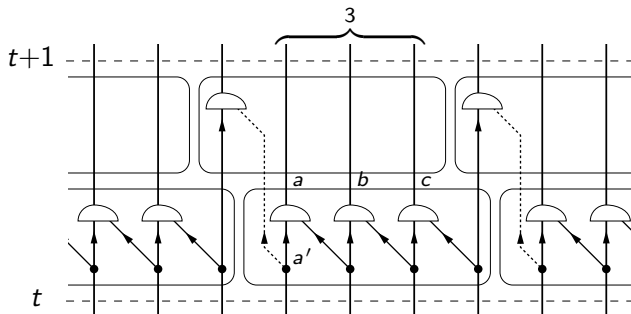
## Second attempt: Add another layer



### Problems

- This is a composition of BA...
- ...but its dynamics is **wrong**

## Third attempt: Add a duplication channel



### Problems

- This has the correct dynamics...
- ...but **violates** the input-output constraint!

What is left?

# Exploiting information loss

## Variety

$\nu_{\mathcal{A}}(n)$  = nr. of patterns on  $\{0, \dots, n-1\}$  obtainable by applying the CA rule.

$$V(n) = \log_{|Q|} \nu(n)$$

The CA is in  $\mathcal{C}^-$  iff  $V(n) < n$  for some  $n$ .

## In our case

$n$	$\nu(n)$	$V(n)$	$n - V(n)$	$n$	$\nu(n)$	$V(n)$	$n - V(n)$
1	2	1	0	5	21	4.39	0.61
2	4	2	0	6	37	5.21	0.79
3	7	2.81	0.19	7	65	6.02	0.98
4	12	3.58	0.42	<b>8</b>	<b>114</b>	<b>6.83</b>	<b>1.17</b>

**Idea:** use that free bit to encode the **boundary**



# Rewriting AND as a LG—“text only” version

Input: a non-surjective CA

- 1 Find  $R$  so that  $R + N$  signals can be compressed into  $R$
- 2 Partition the space into blocks of  $R + N$  cells each
- 3 Compute as many points as possible
- 4 Add another layer
- 5 Replicate the signal entering the neighboring block
- 6 Encode the output and replicated signal into  $R$  bits
- 7 Send information from first layer to second
- 8 Decode the compressed output-and-replication
- 9 Compute the remaining points

But: Can we **always** perform step 1?

Yes, we can!

### Fekete's lemma

If  $f : \{1, 2, \dots\} \rightarrow [0, +\infty)$  satisfies  $f(n + m) \leq f(n) + f(m) \forall n, m$ , then

$$\lim_{n \rightarrow \infty} \frac{f(n)}{n} = \inf_{n \geq 1} \frac{f(n)}{n}$$

Every CA in  $\mathcal{C}^-$  can be re-written with 2 BA layers (TCM'08)

- Let  $1 > \delta > \inf_{n \geq 1} V(n)/n$
- A possible output sized  $n + m$  is a junction of two sized  $n, m$   
 $\Rightarrow V$  is subadditive  
 $\Rightarrow R + N - V(R + N) \geq (R + N)(1 - \delta) > N$  for  $R$  large enough
- Use blocks of size  $R + N$



# Hints for reversible CA

## Kari's theorem in dimension 2

Every reversible 2D CA is the composition of **at most four** BA layers.

The proof is based on the following

## Lemma C

Let  $X$  and  $Y$  be shifts of finite type.

Suppose a power of  $X \times Y$  is conjugate to a full shift.

Then a power of  $X$  and a power of  $Y$  are conjugate to full shifts.

Kari proves that an analogous conjecture in dimension  $d$  is equivalent to his theorem in dimension  $d + 1$ .

## Hints for non-surjective CA

### Multivariate Fekete's lemma (Capobianco, 2008)

Let  $\mathbb{Z}_+^d = \{1, 2, \dots\}^d$  be pre-ordered by  $x \leq y$  iff  $x_i \leq y_i \forall i$ .

If  $f : \{1, 2, \dots\}^d \rightarrow [0, +\infty)$  is subadditive in each variable, then

$$\lim_{z \in \mathbb{Z}_+^d} \frac{f(x_1, \dots, x_d)}{x_1 \cdots x_d} = \inf_{z \in \mathbb{Z}_+^d} \frac{f(x_1, \dots, x_d)}{x_1 \cdots x_d}$$

### Neighborhood size is never an issue

Put  $\Lambda(r_1, \dots, r_d) = r_1 \cdots r_d - V(r_1, \dots, r_d)$ . TFAE.

- 1 The CA is non-surjective.
- 2 For every  $K, n_1, \dots, n_d \geq 0$  there exist  $t_1, \dots, t_d \in \mathbb{Z}_+$  such that, if  $r_j \geq t_j$  for every  $j$ , then

$$\Lambda(r_1, \dots, r_d) \geq (r_1 + n_1) \cdots (r_d + n_d) - r_1 \cdots r_d + K .$$

# Computation without batteries!

## State of the art

- OK for  $d = 1$
- One basic case for  $d = 2$
- Open—and promising—for  $d > 1$

## Future work

- Find construction schemes for any  $d$
- Use at most  $d + 1$  layers in dimension  $d$  (cf. Kari 1999)
- Prove or disprove Kari's conjecture about  $\mathcal{C}^+$

# Thank you for attention!

Any questions?