

Type checking and normalisation

James Chapman - University of Nottingham

My thesis

- Type checking in Haskell
 - Epigram language - McBride/McKinna
- Big-step normalisation for simple types, formalised in Agda
- Big-step normalisation for dependent types, partially formalised in Agda

Goal of my current work

- To write a correct-by-construction type checker for type theory in type theory
- Epigram in Epigram/Agda in Agda

A brief history of type theory

Brouwer's intuitionism

- Start again with a new mathematics
- Rejects some classical laws:
 - Excluded middle: $A \vee \neg A$
 - Proof by contradiction: $A \Leftrightarrow \neg \neg A$



BHK Interpretation

- A proof of $A \rightarrow B$ is a function which converts proofs of A into proofs of B
- A proof of $A \wedge B$ is a pair of a proof of A and a proof of B
- A proof of $A \vee B$ is either a proof of A or a proof of B
- A proof of $\exists x . P x$ means a pair of a witness x and a proof that x satisfies P



Heyting -
Intuitionistic logic

Intuitionistic Logic

Proof = Program

Proposition = Specification

Proposition \neq Type

Is intuitionistic logic enough?

We can prove theorems by writing programs:

$$d : A \wedge (B \vee C) \rightarrow (A \wedge B) \vee (A \wedge C)$$
$$d(a, \text{left } b) = \text{left } (a, b)$$
$$d(a, \text{right } c) = \text{right } (a, c)$$

But we cannot reason about proofs:

$$e : \forall p, q : A \wedge (B \vee C) \rightarrow$$
$$(d p == d q) \rightarrow (p == q)$$
$$e = ?$$

A full-scale intuitionistic system

- Can quantify over proofs of a proposition (Sigma and Pi types)
- Satisfies BHK interpretation of logic
- Started by Howard
 - “The formulae-as-types notion of construction”
- Finished by Martin-Löf
 - “an intuitionistic theory of types”

A full-scale intuitionistic system (2)

Proof = Program

Proposition = Type

Cut elimination = Normalisation

Proof checking = Type checking

Present day

- Type theory is used (and still being refined) for theorem proving, certified software and dependently typed programming
- Coq - developed at INRIA France
 - Essential for proving Four Color Theorem
 - Common Criteria certification of JavaCard
- Agda - developed at Chalmers Sweden
 - Prototype dependently typed programming language (used in this talk)

Why certify a type checker?

- Certified certification
- Introspective language design
- Intuitionistic metatheory

Certified certification

- It's the central component of systems for developing certified software. A faulty checker might accept faulty certificates
- Coq is used for industrial strength certification
- HOL-Light's 100 line type checker had a bug in it for 15 years. Uncovered by Flyspeck project
- No fixed idea about what can be implemented in type theory. E.g. Verified tactics

Introspective language design

- Sound engineering approach:
 - E.g. write a C compiler in C
 - Often first big test for a language
- Synergy between language design and implementation
 - E.g. GHC leading the development of Haskell

Intuitionistic metatheory

- A type checker includes and executable semantics for type theory
- Martin-Löf worked in an informal intuitionistic metalanguage
- Working formally gives:
 - Computer assistance
 - High assurance
- Another synergy here: E.g. induction recursion

Type checking and normalisation

Lists

```
data List (A : Set) : Set where
```

```
  nil    : List A
```

```
  cons   : A → List A → List A
```

```
app : List A → List A → List A
```

```
app nil      ws = ws
```

```
app (cons v vs) ws = cons v (app vs ws)
```

Typing constraints from definition of `app`:

```
List A = List A
```

```
List A = List A
```

Vectors

data `Vec` (`A` : Set) : Nat → Set where

`nil` : `Vec A zero`

`cons` : `A` → `Vec A n` → `Vec A (suc n)`

`app` : `Vec A m` → `Vec A n` → `Vec A (m + n)`

`app nil` `ws` = `ws`

`app (cons v vs)` `ws` = `cons v (app vs ws)`

Typing constraints from definition of `app`:

`Vec A (zero + n)` = `Vec A n`

`Vec A (suc m + n)` = `Vec A (suc (m + n))`

Well typed syntax (I)

Example: Simply typed lambda calculus

Types are base ι or $\sigma \rightarrow \tau$, contexts are lists of types

data Tm : $Con \rightarrow Ty \rightarrow Set$ where

var : $Var \Gamma \sigma \rightarrow Tm \Gamma \sigma$

app : $Tm \Gamma (\sigma \rightarrow \tau) \rightarrow Tm \Gamma \sigma$

$Tm \Gamma \tau$

λ : $Tm (\Gamma , \sigma) \tau \rightarrow Tm \Gamma (\sigma \rightarrow \tau)$

We express the syntax and the type system in one

Well typed syntax (2)

Well scoped nameless variables (de Bruijn indices)

data $\text{Var} : \text{Con} \rightarrow \text{Ty} \rightarrow \text{Set}$ where

$\text{top} : \text{Var } (\Gamma, \sigma) \sigma$

$\text{pop} : \text{Var } \Gamma \sigma \rightarrow (\tau : \text{Ty}) \rightarrow$

$\text{Var } (\Gamma, \tau) \sigma$

Never have to deal with dangling variables

Big-step normalisation

- Define a partial function $\text{nf} : \text{Tm} \rightarrow \text{Nf}$ such that it satisfies the following properties:
 - termination: $\forall t. \exists n. \text{nf } t \Downarrow n$
 - completeness: $\text{emb } (\text{nf } t) \cong t$
 - soundness: $t \cong u \rightarrow \text{nf } t = \text{nf } u$

BSN compared

- Traditional small-step normalisation
 - Not how you'd implement it
 - Doesn't work well with $\beta\eta$ -equality
- Normalisation-by-evaluation (NBE)
 - Practical approach to $\beta\eta$ -normalisation
 - Everything at once, higher order
- Big-step normalisation (BSN)
 - A variation on NBE
 - Separates computation and termination, first order

Big-step normalisation for Abadi, Cardelli and Curien's λ^σ -calculus

Joint work with Thorsten Altenkirch

λ^σ syntax

We have a well typed syntax of terms and substitutions

-- conventional lambda and application

λ : $\text{Tm } (\Gamma, \sigma) \tau \rightarrow \text{Tm } \Gamma (\sigma \rightarrow \tau)$

app : $\text{Tm } \Gamma (\sigma \rightarrow \tau) \rightarrow \text{Tm } \Gamma \sigma \rightarrow \text{Tm } \Gamma \tau$

-- variables and expl. substitution

top : $\text{Tm } (\Gamma, \sigma) \sigma$

$_[_]$: $\text{Tm } \Delta \sigma \rightarrow \text{Sub } \Gamma \Delta \rightarrow \text{Tm } \Gamma \sigma$

-- explicit weakening

\uparrow^σ : $\text{Sub } (\Gamma, \sigma) \Gamma$

Equational theory

We can write down the laws of the equational theory as an inductively defined relation on terms and substitutions

$$\text{subid} \quad : \quad t \text{ [id] } \cong t$$

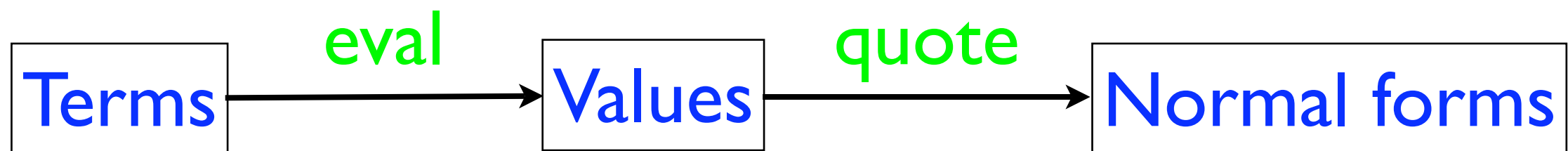
$$\beta \quad : \quad \text{app } (\lambda t) \ u \cong t \text{ [id , u]}$$

$$\text{proj} \quad : \quad \text{top [ts , t] } \cong t$$

$$\text{compid} \quad : \quad \text{ts } \bullet \text{ id } \cong \text{ts}$$

Implementing the normaliser

We do this in two stages:



Values

are either lambda closures or neutral (stuck) terms

data `Val` : `Con` → `Ty` → `Set` where

`λv` : `Tm` (`Δ` , `σ`) `τ` → `Env` `Γ` `Δ` →
`Val` `Γ` (`σ` → `τ`)

`ne` : `Ne` `Γ` `τ` → `Val` `Γ` `τ`

Evaluation (I)

We define the following operations mutually:

$eval$: $Tm \ \Delta \ \sigma \rightarrow Env \ \Gamma \ \Delta \rightarrow Val \ \Gamma \ \sigma$
 $seval$: $Sub \ \Delta \ E \rightarrow Env \ \Gamma \ \Delta \rightarrow Env \ \Gamma \ E$
 $_@@_$: $Val \ \Gamma \ (\sigma \rightarrow \tau) \rightarrow$
 $Val \ \Gamma \ \sigma \rightarrow Val \ \Gamma \ \tau$

Afterwards we prove that they terminate

Evaluation (2)

$eval : Tm \Delta \sigma \rightarrow Env \Gamma \Delta \rightarrow Val \Gamma \sigma$

$eval (\lambda t) \quad vs = \lambda v \ t \ vs$

$eval (app \ t \ u) \quad vs =$
 $(eval \ t \ vs) \ @ \ (eval \ u \ vs)$

$eval \ top \quad (vs, v) = v$

$eval (t [\ ts \]) \ vs =$
 $eval \ t \ (seval \ ts \ vs)$

$_ @ _ : Val \Gamma (\sigma \rightarrow \tau) \rightarrow Val \Gamma \sigma \rightarrow Val \Gamma \tau$

$\lambda v \ t \ vs \ @ \ v = eval \ t \ (vs, v)$

$ne \ n \ @ \ v = ne \ (app \ n \ a)$

β -normal η -long forms

are either lambda-abstraction or neutral
embedded at base type

data Nf : $Con \rightarrow Ty \rightarrow Set$ where

λn : $Nf (\Delta , \sigma) \tau \rightarrow Nf \Gamma (\sigma \rightarrow \tau)$

ne : $Ne \Gamma \iota \rightarrow Nf \Gamma \iota$

Quote

is defined by recursion on types.

```
quote : Val  $\Gamma$   $\sigma$   $\rightarrow$  Nf  $\Gamma$   $\sigma$ 
```

```
-- quote the components
```

```
quotel (ne n) = ne (nquote n)
```

```
-- perform eta expansion
```

```
quote( $\sigma \rightarrow \tau$ ) f =  
   $\lambda$ n (quote $\tau$  (wk f @@ top))
```

The normaliser

Having defined `eval` and `quote` we can define:

$$\text{nf} : \text{Tm } \Gamma \ \sigma \rightarrow \text{Nf } \Gamma \ \sigma$$
$$\text{nf } t = \text{quote}_\sigma (\text{eval } t \ \text{id}_\Gamma)$$

which is:

- terminating (proof using strong computability)
- sound (proof using logical relations)
- and complete (simple induction on big-step relation)

BSN Summary

- Write a partial normaliser function
- Prove termination over graph (big-step semantics) of partial function
- Combine function and termination proof using Bove-Capretta technique to get a total function
- Note: Termination proof doesn't add computational content, computational behaviour remains the same as the partial function

Dependent types

- Can extend this approach to dependent types but equational theory is now mutually defined with the syntax:

$$\frac{\begin{array}{l} t : \text{Tm } \Gamma \ \sigma \\ p : \sigma \cong \sigma' \end{array}}{\text{coe } t \ p : \text{Tm } \Gamma' \ \sigma'} \quad \text{conversion rule}$$

- Also contexts, types, terms and substitutions must be mutually defined

Related work

- Simple types
 - NBE for λ^σ -calculus - C. Coquand
- Dependent types
 - Coq-in-Coq - Barras
 - Internal Type Theory - Dybjer
 - NBE for Martin-Löf's logical framework - Danielsson

Conclusion

- Dependent typed languages are suited to implementing languages and much more
- I want to verify a type checker for higher assurance, and to explore both dependently typed programming and intuitionistic metatheory
- Big-step normalisation is a practical, scalable approach to normalisation