

Termination of Mobile Processes

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Introduction

A π -calculus

Weight-based type systems

Termination using logical relations

Combining the two approaches

An impure π -calculus

Termination by pruning

Also in the λ -calculus

Termination

Sequential Case

- ▶ A program terminates if every execution is finite.
- ▶ Useful property: in itself, prerequisite for soundness, lock-freedom.
- ▶ Termination is well-studied:
 - ▶ for functional programs (type systems, realisability),
 - ▶ for imperative programs (abstract interpretation, loop analyses),
 - ▶ for rewriting systems (rewriting orderings, polynomial interpretations).

Concurrent case

- ▶ More challenging: topology evolving at run-time (creation of new services, sharing of informations).
- ▶ Existing results for the shared memory setting: Terminator by Cook and al.

Starting point

We focus on the message passing setting.
Two different approaches to ensure termination.

Using weights

- ▶ [DengSangiorgi06]
- ▶ Assigning levels to service calls.
- ▶ Defining a notion of weight for a system.
- ▶ Ensuring that the weight of the system decreases at each transition.

Using logical relations

- ▶ [Sangiorgi06] and [YoshidaBergerHonda04].
- ▶ Considering only functional (immutable, replicated) services.
- ▶ Assigning types to these functional services.
- ▶ Using logical relations to ensure termination.

Contributions of my thesis

Details

- ▶ Complexity of type inference of weight-based type systems for termination in the π -calculus (TGC'07).
- ▶ Increasing the expressiveness of the weight-based type systems, developing an hybrid analysis (IFIP/TCS'08).
- ▶ Termination of the higher-order concurrent systems (FSEN'09).
- ▶ Termination in impure languages (CONCUR'10).
- ▶ Implicit complexity (work in progress with Ugo Dal Lago – Bologna)

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Syntax

$P ::= \mathbf{0} \mid (P \mid P) \mid (\nu a) P \mid \bar{a}\langle v \rangle.P \mid a(x).P.$

- ▶ Names (or channels) a, b, c, x, y
- ▶ $\mathbf{0}$ is the inactive process.
- ▶ $a(x).P$: waits for channel x on channel a , then performs P (x bound in P).
- ▶ $\bar{a}\langle v \rangle.P$: outputs channel v on channel a , then perform P .
- ▶ $P_1 \mid P_2$: parallel composition.
- ▶ $(\nu a) P$: restriction of a in P .
- ▶ Structural congruence \equiv : \mid is symmetric, associative and has $\mathbf{0}$ for neutral element + scope extrusion:
 $(\nu a) (P \mid Q) \equiv ((\nu a) P) \mid Q$ if a is not free in Q .

Semantics

$$\frac{}{a(x).P_1 \mid \bar{a}\langle v \rangle.P_2 \rightarrow P_1\{v/x\} \mid P_2} \quad \frac{P_1 \rightarrow P'_1}{(P_1 \mid P_2) \rightarrow (P'_1 \mid P_2)}$$
$$\frac{P \equiv Q \quad Q \rightarrow Q' \quad Q' \equiv P'}{P \rightarrow P'}$$

Example

- ▶ $P_1 = \bar{a}\langle c \rangle.\mathbf{0} \mid a(x).\bar{b}\langle x \rangle.\mathbf{0} \mid a(y).y(z).\mathbf{0} \mid \bar{c}\langle v \rangle.\mathbf{0}$
- ▶ **either** $\rightarrow a(x).\bar{b}\langle x \rangle.\mathbf{0} \mid c(z).\mathbf{0} \mid \bar{c}\langle v \rangle.\mathbf{0} \rightarrow a(x).\bar{b}\langle x \rangle.\mathbf{0}$
- ▶ **or** $\rightarrow \bar{b}\langle c \rangle.\mathbf{0} \mid a(y).y(z).\mathbf{0} \mid \bar{c}\langle v \rangle.\mathbf{0}$

Two prefixes consumed at each reduction: terminating calculus.

$P ::= \mathbf{0} \mid (P \mid P) \mid (\nu a) P \mid \bar{a}\langle v \rangle.P \mid a(x).P \mid !a(x).P.$

Replicated inputs

- ▶ $!a(x).P$: replicated input on a , persistent.
- ▶ Associated semantics:

$$\frac{}{!a(x).P_1 \mid \bar{a}\langle v \rangle.P_2 \rightarrow !a(x).P_1 \mid P_1\{v/x\} \mid P_2}$$

- ▶ Source of divergence.

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- ▶ Source of divergence.

Removing trailing occurrences of $\mathbf{0}$

Notation for CCS-like channels: $!a.\bar{b}$.

Divergence

Definition

P *diverges* if there exists an infinite reduction sequence starting from P .

Diverging processes

- ▶ $D_1 = !a.\bar{a} \mid \bar{a}$
- ▶ $D_2 = !a.\bar{b} \mid !b.\bar{a} \mid \bar{a}$
- ▶ $D_3 = c(x).!a.\bar{x} \mid \bar{a} \mid \bar{c}\langle a \rangle$

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A rewriting-based type system

Principles

- ▶ Associate a *level* to each name through types ($T ::= \mathfrak{b} \mid \#^k T$).
- ▶ **Weight of a process**: maximum level of an available output.
- ▶ Judgements $\vdash_{\Gamma} P : n$

A rewriting-based type system

Principles

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- ▶ **Weight of a process**: maximum level of an available output.
- ▶ Judgements $\vdash_{\Gamma} P : n$
- ▶ Control replications: $k > n$
- ▶ Soundness: decreasing of the multiset of the available outputs.

Typing replication

$$\frac{\vdash_{\Gamma} P : n \quad \Gamma(a) = \#^k T \quad \Gamma(x) = T \quad k > n}{\vdash_{\Gamma} !a(x).P : 0}$$

Ruling out diverging examples

- ▶ $D_1 = !a^n . \bar{a}^n \mid \bar{a}^n$
- ▶ $D_2 = !a^n . \bar{b}^m \mid !b^m . \bar{a}^n \mid \bar{a}^n$
- ▶ $D_3 = c^k(x) . !a^n . \bar{x}^m \mid \bar{a}^n \mid \bar{c}^k \langle a \rangle$.

Ruling out diverging examples

- ▶ $D_1 = !a^n.\bar{a}^n \mid \bar{a}^n$ not typable: $n > n$
- ▶ $D_2 = !a^n.\bar{b}^m \mid !b^m.\bar{a}^n \mid \bar{a}^n$
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Decreasing of the weight

- ▶ $T_1 = !a . (\bar{b} \mid \bar{b} \mid \bar{c}) \mid !b . (\bar{c} \mid \bar{c})$
- ▶ $(T_1 \mid \bar{a} \mid \bar{b}) \rightarrow (T_1 \mid \bar{b} \mid \bar{b} \mid \bar{b} \mid \bar{c}) \rightarrow \rightarrow \rightarrow \not\rightarrow$.

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Decreasing of the weight

- ▶ $T_1 = !a^3.(\bar{b}^2 \mid \bar{b}^2 \mid \bar{c}^1) \mid !b^2.(\bar{c}^1 \mid \bar{c}^1)$
- ▶ $(T_1 \mid \bar{a} \mid \bar{b}) \rightarrow (T_1 \mid \bar{b} \mid \bar{b} \mid \bar{b} \mid \bar{c}) \rightarrow \rightarrow \rightarrow \not\rightarrow$.
- ▶ $\{3, 2\} \rightarrow \{2, 2, 2, 1\} \rightarrow \{2, 2, 1, 1, 1\} \rightarrow \{2, 1, 1, 1, 1, 1\} \rightarrow \{1, 1, 1, 1, 1, 1, 1\}$

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- ▶ $\{3, 2\} \rightarrow \{2, 2, 2, 1\} \rightarrow \{2, 2, 1, 1, 1\} \rightarrow \{2, 1, 1, 1, 1, 1\} \rightarrow \{1, 1, 1, 1, 1, 1, 1\}$

Limitations

Not able to prove the standard encoding of λ_{ST} into π .

Refining the analysis

Input sequences

- ▶ Principles: considering replicated input sequence as a whole.
- ▶ In $!a(x).b(y).c(z).P$ comparing $\{a, b, c\}$ with the multiset of outputs in P .

Partial order

- ▶ Encoding of list structures $P_l = !p(a, b).a(x).(\bar{b}\langle x \rangle \mid \bar{p}\langle a, b \rangle)$ with a and b having the same type.
- ▶ Introducing partial order in the typing judgements preventing the typability of $P_l \mid \bar{p}\langle u, v \rangle \mid \bar{p}\langle v, u \rangle$.

Refining the analysis II

Hybrid analysis

- ▶ Static analysis annotating type-checked processes (which can diverge).
- ▶ Controlled execution: maintaining an order between names and aborting the reduction if the system enters a loop.
- ▶ Example: $P = c(x).!a.\bar{x} \mid (\bar{c}\langle b \rangle \mid \bar{b}) \mid (\bar{c}\langle a \rangle \mid \bar{a})$
 - ▶ Reduction with $\bar{c}\langle b \rangle$: everything is fine.
 - ▶ Reduction with $\bar{c}\langle a \rangle$: aborted execution when $[a > a]$ is reached.

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Complexity of type inference

Type inference

- ▶ For a given process P , does there exist Γ and n such that $\vdash_{\Gamma} P : n$?
- ▶ Syntactically-directed type systems: type inference = level inference.

First system

- ▶ $a > b$ comparisons.
- ▶ Construction of a domination graph: (a, b) is an edge if $a > b$.
- ▶ Topological sort: polynomial inference.

With input sequences

- ▶ $\{a_1, \dots, a_n\} > \{b_1, \dots, b_n\}$ comparisons.
- ▶ Reduction from 3SAT: NP-completeness of the inference.
- ▶ Version with algebraic sum: polynomial (linear programming).

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A proof-theory-based method

Principles

- ▶ Using the encoding of λ_{ST} in π .
- ▶ Termination of a functional subset of π by logical relations:
 - ▶ Assigning types to processes. ($\vdash_{\Gamma} P : T$)
 - ▶ Defining inductively interpretation of types as set of terminating processes. ($P \in [T]$)
 - ▶ Proving that every typable process belongs to the interpretation of its type. ($\vdash_{\Gamma} P : T \Rightarrow P \in [T]$)

Limitations

- ▶ Limited “concurrent” expressiveness.
- ▶ Allowed inputs on f : $(\nu f) (!f(x).P_1 \mid P_2)$ with f not free in P_1 and no reception of f in P_2 .
- ▶ Yields a confluent calculus.

Termination of the standard π -calculus

- ▶ Difficult:
 - ▶ Symmetry of $|$.
 - ▶ No canonical types for processes.
- ▶ Simple typability of channels is not useful: $(!a.\bar{a} \mid \bar{a}$ can be simply typed).

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An hybrid solution

Principles

- ▶ Combining the two methods to reach greater expressiveness.
- ▶ Distinguish some names as functional in *hybrid* processes.
- ▶ Extending the weight system to the functional part:
Using the weight to obtain a **diverging functional process from a well-typed hybrid process**.
- ▶ Derive a contradiction with the termination of the functional calculus.

Ideas

- ▶ Papers from G.Boudol and R.Amadio on the termination of λ_{ref} (λ with references).
- ▶ Similarities between λ and π .

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Functional names

- ▶ Some names are **functional**
 - ▶ Only one (replicated) input called *definition*:
 $\text{def } f = (x).P_1 \text{ in } P_2$
 - ▶ **syntactical sugar for** $(\nu f) (!f(x).P_1 \mid P_2)$
 - ▶ No “recursion” $f \notin \text{fn}(P_1)$.
- ▶ Semantics with evaluation contexts

$\mathbf{E} = [] \mid (\mathbf{E} \mid P) \mid \text{def } f = P_1 \text{ in } \mathbf{E}$:

$$\frac{}{\mathbf{E}_1[\text{def } f = (x).P_1 \text{ in } \mathbf{E}_2[\bar{f}\langle v \rangle.P_2]] \rightarrow \mathbf{E}_1[\text{def } f = (x).P_1 \text{ in } \mathbf{E}_2[P_1\{v/x\} \mid P_2]]}$$

- ▶ **Imperative names**: $a(x).P \mid !a(x).P \mid \bar{a}\langle v \rangle$.

Typing

- ▶ Assigning a level to each name (either functional or imperative).
- ▶ Imperative replication: weight of the continuation strictly smaller.

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- ▶ Definition: weight of the continuation smaller or equal.
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Typing

- ▶ Assigning a level to each name (either functional or imperative).
- ▶ Imperative replication: weight of the continuation strictly smaller.
- ▶ Definition: weight of the continuation smaller or equal.
 $\text{def } f = \bar{a} \text{ in } !a.\bar{f} \mid \bar{a}.$
- ▶ Name typing: $T ::= b \mid \#_F^k T \mid \#_I^k T \quad (\text{and } \#_\bullet^k T)$

Type system I

$$\frac{}{\vdash_{\Gamma} \mathbf{0} : 0} \quad \frac{\vdash_{\Gamma} P : l}{\vdash_{\Gamma} (\nu a) P : l} \quad \frac{\vdash_{\Gamma} P_1 : l_1 \quad \vdash_{\Gamma} P_2 : l_2}{\vdash_{\Gamma} P_1 \mid P_2 : \max(l_1, l_2)}$$
$$\frac{\vdash_{\Gamma} P : l \quad \vdash_{\Gamma} v : \#_{\bullet}^k T \quad \vdash_{\Gamma} w : T}{\vdash_{\Gamma} \bar{v}\langle w \rangle.P : \max(k, l)}$$

- ▶ Γ : typing context.
- ▶ Two kind of outputs treated simultaneously ($v = a$ or $v = f$).
- ▶ Taking into account the outputs (either imperative or functional) in the weight.

Type system II

$$\frac{\vdash_{\Gamma} P : l \quad \vdash_{\Gamma} a : \#_I^k T \quad \vdash_{\Gamma} x : T \quad k > l}{\vdash_{\Gamma} !a(x).P : 0}$$

$$\frac{\vdash_{\Gamma} P : l \quad \vdash_{\Gamma} a : \#_I^k T \quad \vdash_{\Gamma} x : T \quad k > l}{\vdash_{\Gamma} a(x).P : 0}$$

Imperative inputs

- ▶ Replication controlled by $k > l$.
- ▶ Non-replicated imperative inputs treated as the replicated one: $\text{def } f = a(x).(\bar{x} \mid \bar{a}\langle x \rangle) \text{ in } \bar{f} \mid \bar{a}\langle f \rangle$

Type system III

$$\frac{\vdash_{\Gamma} P : l \quad \vdash_{\Gamma} a : \#_I^k T \quad \vdash_{\Gamma} x : T \quad k > l}{\vdash_{\Gamma} !a(x).P : 0}$$

$$\frac{\vdash_{\Gamma} P_1 : l \quad \vdash_{\Gamma} P_2 : l' \quad \vdash_{\Gamma} f : \#_F^k T \quad \vdash_{\Gamma} x : T \quad k \geq l \quad f \notin \text{fn}(P_1)}{\vdash_{\Gamma} \text{def } f = (x).P_1 \text{ in } P_2 : l'}$$

- ▶ Control $k \geq l$ on definition.

Type system III

$$\frac{\vdash_{\Gamma} P : l \quad \vdash_{\Gamma} a : \#_I^k T \quad \vdash_{\Gamma} x : T \quad k > l}{\vdash_{\Gamma} !a(x).P : 0}$$

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- ▶ Control $k \geq l$ on definition.
- ▶ Possibility of typing the encoding of λ_{ST} with level 0 everywhere (no imperative names).

Terminating example

$$(\nu lock_1, \dots, lock_k)(lock_1 \mid \dots \mid lock_k \mid \\ \text{def } s = (c, x).\overline{c}\langle \text{enc}[c, x] \rangle \text{ in} \\ \text{def } c_1 = C_1 \text{ in } \dots \text{ def } c_k = C_k \text{ in} \\ (\overline{s}\langle c_1, \text{msg}_1 \rangle \mid \dots \mid \overline{s}\langle c_1, \text{msg}_n \rangle))$$

with $C_i = (y_i).\overline{lock_i}.\overline{s}\langle c_{i+1}, \text{dec}_i[y_i] \rangle.lock_i$

- ▶ Encryption server s
- ▶ Clients c_i into a chain data structure
- ▶ No direct access to successor (informations travel through s)
- ▶ Imperative locks.

Terminating example

$$(\nu lock_1, \dots, lock_k)(lock_1 \mid \dots \mid lock_k \mid \\ \text{def } s = (c, x).\overline{c}\langle \text{enc}[c, x] \rangle \text{ in} \\ \text{def } c_1 = C_1 \text{ in } \dots \text{ def } c_k = C_k \text{ in} \\ (\overline{s}\langle c_1, \text{msg}_1 \rangle \mid \dots \mid \overline{s}\langle c_1, \text{msg}_n \rangle))$$

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- ▶ Encryption server s
- ▶ Clients c_i into a chain data structure
- ▶ No direct access to successor (informations travel through s)
- ▶ Imperative locks.
- ▶ Typing: $lock_i^0, c_i^1, s^1$.
- ▶ Not typable with weights only (circularity s, c).
- ▶ Logical relations not usable ($lock_i$ are imperative)

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Proof by pruning

Principles

- ▶ Define a **pruning** $\text{pr}_F^P()$ of hybrid processes into functional processes.
- ▶ Obtain a simulation lemma: If $P \rightarrow P'$ then:
 1. either $\text{pr}_F^P(P) \simeq \text{pr}_F^P(P')$
 2. or $\text{pr}_F^P(P) \rightarrow \text{pr}_F^P(P')$.
- ▶ Transform a well-typed diverging hybrid process into a functional diverging process (2. happens infinitely often)
Raising a contradiction.

Maximum reduction level

- ▶ $\rightarrow_{\mathbb{F}}^n$: reduction of a definition of level n .
- ▶ $\rightarrow_{\mathbb{I}}^n$: reduction of an imperative communication on level n .

Decreasing lemma

We define $\mathbf{Os}^p(P)$ the number of available outputs of level p in P .

We prove:

- ▶ If $P \rightarrow_{\mathbb{F}}^n P'$ for $n < p$, $\mathbf{Os}^p(P) = \mathbf{Os}^p(P')$.
- ▶ If $P \rightarrow_{\mathbb{I}}^n P'$ for $n < p$, $\mathbf{Os}^p(P) = \mathbf{Os}^p(P')$.
- ▶ If $P \rightarrow_{\mathbb{I}}^p P'$, $\mathbf{Os}^p(P) > \mathbf{Os}^p(P')$.

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- ▶ If $P \rightarrow_{\mathbb{I}}^p P'$, $\mathbf{Os}^p(P) > \mathbf{Os}^p(P')$.

Maximum reduction level

- ▶ In every reduction sequence $(P_i)_{i \in \mathbb{N}}$, there exists a maximum level p such that for an infinite number of indices i ,
 $P_i \rightarrow_{\bullet}^p P_{i+1}$.
- ▶ With the previous lemma, an infinite number of times,
 $P_i \rightarrow_{\mathbb{F}}^p P_{i+1}$

Pruning

$$\text{pr}_r^p(a(x).P) = \text{pr}_r^p(!a(x).P) = \text{pr}_r^p(\mathbf{0}) = \mathbf{0} \quad \text{pr}_r^p(P_1 \mid P_2) = \text{pr}_r^p(P_1) \mid \text{pr}_r^p(P_2)$$

$$\text{pr}_r^p((\nu a)P) = \text{pr}_r^p(P) \quad \text{pr}_r^p(\bar{a}\langle v \rangle.P) = \text{pr}_r^p(P)$$

$$\text{pr}_r^p(\text{def } f^n = (x).P_1 \text{ in } P_2) = \begin{cases} \text{def } f = (x).\text{pr}_r^p(P_1) \text{ in } \text{pr}_r^p(P_2) & \text{if } n = p \\ \text{pr}_r^p(P_2) & \text{otherwise} \end{cases}$$

$$\text{pr}_r^p(\bar{f}^n\langle v \rangle.P) = \begin{cases} \bar{f}^n\langle v \rangle.P & \text{if } n = p \\ \mathbf{0} & \text{otherwise} \end{cases}$$

- ▶ Pruning *at level p*.
- ▶ Removing all **imperative parts**.
- ▶ Removing **functional parts** of level $\neq p$.

Simulation

Simulation Lemma

- ▶ If $P \xrightarrow{\Gamma}_I^n P'$ for $n \leq p$ then $\text{pr}_{\Gamma}^p(P) \simeq \text{pr}_{\Gamma}^p(P')$.
- ▶ If $P \xrightarrow{\Gamma}_F^n P'$ for $n < p$ then $\text{pr}_{\Gamma}^p(P) \simeq \text{pr}_{\Gamma}^p(P')$.
- ▶ If $P \xrightarrow{\Gamma}_F^p P'$ then $\text{pr}_{\Gamma}^p(P) \rightarrow \text{pr}_{\Gamma}^p(P')$.

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- ▶ If $P \rightarrow_F^p P'$ then $\text{pr}_I^p(P) \rightarrow \text{pr}_I^p(P')$.

Sketch

- ▶ $P = (!a^n(x).P_1 \mid \bar{a}^n\langle v \rangle.P_2)$ with $n \leq p$.
Thus $\text{pr}_I^p(P) = \text{pr}_I^p(P_2)$.
- ▶ $P \rightarrow P' = (!a^n(x).P_1 \mid P_2 \mid P_1\{v/x\})$
Thus $\text{pr}_I^p(P') = \text{pr}_I^p(P_1\{v/x\}) \mid \text{pr}_I^p(P_2)$
- ▶ Typing $!a^n(x).P_1$ implies $\text{pr}_I^p(P_1\{v/x\}) \simeq \mathbf{0}$.

Simulation

Simulation Lemma

- ▶ If $P \rightarrow_1^n P'$ for $n \leq p$ then $\text{pr}_\Gamma^p(P) \simeq \text{pr}_\Gamma^p(P')$.
- ▶ If $P \rightarrow_{\mathbb{F}}^n P'$ for $n < p$ then $\text{pr}_\Gamma^p(P) \simeq \text{pr}_\Gamma^p(P')$.
- ▶ If $P \rightarrow_{\mathbb{F}}^p P'$ then $\text{pr}_\Gamma^p(P) \rightarrow \text{pr}_\Gamma^p(P')$.

Sketch

- ▶ $P = (!a^n(x).P_1 \mid \bar{a}^n\langle v \rangle.P_2)$ with $n \leq p$.
Thus $\text{pr}_\Gamma^p(P) = \text{pr}_\Gamma^p(P_2)$.
- ▶ $P \rightarrow P' = (!a^n(x).P_1 \mid P_2 \mid P_1\{v/x\})$
Thus $\text{pr}_\Gamma^p(P') = \text{pr}_\Gamma^p(P_1\{v/x\}) \mid \text{pr}_\Gamma^p(P_2)$
- ▶ Typing $!a^n(x).P_1$ implies $\text{pr}_\Gamma^p(P_1\{v/x\}) \simeq \mathbf{0}$.

Remember: an infinite number of times, $P_i \rightarrow_{\mathbb{F}}^p P_{i+1}$.

We derive a contradiction. Every typable process terminates

Parametricity

Principles

- ▶ Using the proof of functional calculus as an argument of the method.
- ▶ Replacing the functional π -calculus by any terminating functional calculus.

Examples

- ▶ Functional calculus with integer recursions:
$$\text{def } f = (n, x).P_1 \text{ in } P_2 \text{ typed if } f \text{ appears in } P_1 \text{ only in:}$$
$$\bar{f}\langle n - 1, v \rangle.$$
- ▶ Existential polymorphism. (No level polymorphism)
- ▶ Iterating the method. (Several functional calculi).

Introduction

A π -calculus

Weight-based type systems

Termination using logical relations

Combining the two approaches

An impure π -calculus

Termination by pruning

Also in the λ -calculus

Types & Effects

- ▶ Store divided into regions.
- ▶ Regions denoted by integers.
- ▶ Effect n : can act on the memory up to region n .
- ▶ $T \text{ ref}_n$ well-formed, $\forall N \geq n, N \notin T$.
- ▶ $T_1 \rightarrow^n T_2$ function whose body has effect n .

$$\frac{\vdash_{\Gamma} M : (T_1 \rightarrow^n T_2, m) \quad \vdash_{\Gamma} N : (T_1, k)}{\vdash_{\Gamma} M N : (T_2, \max(m, n, k))}$$

$$\frac{\vdash_{\Gamma} M : (T_2, n) \quad \Gamma(x) = T_1}{\vdash_{\Gamma} \lambda x. M : (T_1 \rightarrow^n T_2, 0)}$$

$$\frac{\vdash_{\Gamma} M : (T \text{ ref}_n, m)}{\vdash_{\Gamma} \text{deref}_n(M) : (T, \max(m, n))}$$

Annotated reductions

Store δ :

- ▶ $(\mathbf{E}[\lambda x.M_1 V], \delta) \mapsto^n_{\mathbf{F}}(\mathbf{E}[M_1\{V/x\}], \delta)$ if $(\lambda x.M_1 V)$ effect n .
- ▶ $(\mathbf{E}[\text{deref}_n(u)], \delta\langle u \rightsquigarrow V \rangle) \mapsto^n_{\mathbf{I}}(V, \delta\langle u \rightsquigarrow V \rangle)$.
- ▶ ...

Proof by pruning

Similar principles:

- ▶ Removing imperative parts.
- ▶ Removing functional parts of level $\neq p$.
- ▶ Proving a simulation.
- ▶ Deriving a contradiction.

Pruning

- ▶ Subterm M related to the level p if:
 - ▶ Type of M contains $N \geq p$,
 - ▶ or M has effect $\geq p$.

Pruning

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Pruning

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- ▶ We cannot prune subterms to $\mathbf{0}$ here:
 - ▶ We introduce V_T : generic value of type T .
- ▶ How to prune $\text{deref}_n(M)$?
 - ▶ M can diverges.
 - ▶ We use $\Pi^{(1,2)} = \lambda x.\lambda y.x$.
 - ▶ If M is of type $(T \text{ ref}_n)$, then
$$\text{pr}_T^p(\text{deref}_n(M)) = \Pi^{(1,2)} V_T \text{pr}_T^p(M).$$

Pruning II

Definition

$$\begin{array}{l} \text{If } M \text{ is not related with level } p: \quad \text{pr}_\Gamma^p(M) = \mathbf{V}_T \\ \hline \text{Otherwise:} \quad \text{pr}_\Gamma^p(M_1 \ M_2) = \text{pr}_\Gamma^p(M_1) \ \text{pr}_\Gamma^p(M_2) \\ \quad \text{pr}_\Gamma^p(\text{ref}_n \ M_1) = (\Pi^{(1,2)} \ \color{red}{()}) \ \text{pr}_\Gamma^p(M_1) \\ \quad \text{pr}_\Gamma^p(\text{deref}_n(M_1)) = (\Pi^{(1,2)} \ \color{red}{\mathbf{V}_T}) \ \text{pr}_\Gamma^p(M_1) \\ \quad \text{pr}_\Gamma^p(u) = \color{red}{()} \\ \quad \dots \end{array}$$

Pruning II

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- ▶ Pruning of types: $\text{pr}_\Gamma^p(T \text{ ref}_n) = \text{unit}$
- ▶ If $\vdash_\Gamma M : (T, n)$ then $\vdash_{\text{ST}} \text{pr}_\Gamma^p(M) : \text{pr}_\Gamma^p(T)$

Proof by pruning

Simulation

- ▶ If $(M, \delta) \mapsto_I^n (M', \delta')$ for $n \leq p$ then $\text{pr}_\Gamma^p(M) \rightarrow^* \text{pr}_\Gamma^p(M')$.
- ▶ If $(M, \delta) \mapsto_{\text{F}}^n (M', \delta')$ for $n < p$ then $\text{pr}_\Gamma^p(M) \rightarrow^* \text{pr}_\Gamma^p(M')$.
- ▶ If $(M, \delta) \mapsto_{\text{F}}^p (M', \delta')$ then $\text{pr}_\Gamma^p(M) \rightarrow^+ \text{pr}_\Gamma^p(M')$

Proof by pruning

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- ▶ If $(M, \delta) \mapsto_I^n (M', \delta')$ for $n \leq p$ then $\text{pr}_\Gamma^p(M) \rightarrow^* \text{pr}_\Gamma^p(M')$.
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- ▶ If $(M, \delta) \mapsto_F^p (M', \delta')$ then $\text{pr}_\Gamma^p(M) \rightarrow^+ \text{pr}_\Gamma^p(M')$

Proof sketch

- ▶ $M = \text{deref}_n(u)$ with $n \leq K$ and $u : T \text{ ref}_n$
thus $\text{pr}_\Gamma^p(M) = \Pi^{(1,2)} \mathbb{V}_T ()$
- ▶ $(M, \delta \langle u \rightsquigarrow V \rangle) \mapsto_I^n (M', \delta \langle u \rightsquigarrow V \rangle)$ with $M' = V$
- ▶ Typability + Well-formedness $\Rightarrow V$ not related with level p
thus $\text{pr}_\Gamma^p(V) = \mathbb{V}_T$.
- ▶ Finally $\text{pr}_\Gamma^p(M) \rightarrow \rightarrow \text{pr}_\Gamma^p(M')$.

Proof by pruning II

Decreasing

We define the number of operators of level p of a term $\mathbf{Os}^p(M)$

- ▶ If $(M, \delta) \mapsto_{\mathbb{F}}^n (M', \delta')$ for $n < p$ then $\mathbf{Os}(M') \leq \mathbf{Os}(M)$.
- ▶ If $(M, \delta) \mapsto_{\mathbb{I}}^n (M', \delta')$ for $n < p$ then $\mathbf{Os}(M') \leq \mathbf{Os}(M)$.
- ▶ If $(M, \delta) \mapsto_{\mathbb{I}}^p (M', \delta')$ then $\mathbf{Os}(M') < \mathbf{Os}(M)$.

Proof by pruning II

Decreasing

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- ▶ If $(M, \delta) \mapsto_{\mathbb{F}}^n (M', \delta')$ for $n < p$ then $\mathbf{Os}(M') \leq \mathbf{Os}(M)$.
- ▶ If $(M, \delta) \mapsto_{\mathbb{I}}^n (M', \delta')$ for $n < p$ then $\mathbf{Os}(M') \leq \mathbf{Os}(M)$.
- ▶ If $(M, \delta) \mapsto_{\mathbb{I}}^p (M', \delta')$ then $\mathbf{Os}(M') < \mathbf{Os}(M)$.

Proof is done as in the π case.

Conclusion / Future Works

- ▶ Common refinements of the weight-based type systems (sequences, finer analyses).
- ▶ Parametricity (Iterating of the method).
- ▶ Polymorphism (Types, Regions).
- ▶ Encoding $\lambda \rightarrow \pi$ (System T , λ_{ref}).

Appendix 1: The Example

$$\begin{aligned} \text{Sys}_1 &\stackrel{\text{def}}{=} (\nu \text{lock}_1, \dots, \text{lock}_k) \\ &\quad \left(\text{lock}_1 \mid \dots \mid \text{lock}_k \mid \right. \\ &\quad \quad \text{def } s = (c, x). \bar{c} \langle \text{enc}[c, x] \rangle \text{ in} \\ &\quad \quad \text{def } c_1 = C_1 \text{ in} \\ &\quad \quad \dots \\ &\quad \quad \text{def } c_{k-1} = C_{k-1} \text{ in} \\ &\quad \quad \text{def } c_k = C_k \text{ in} \\ &\quad \quad \left. (\bar{s} \langle c_1, \text{msg}_1 \rangle \mid \dots \mid \bar{s} \langle c_1, \text{msg}_n \rangle) \right) \end{aligned}$$

with:

$$\begin{aligned} C_i &\stackrel{\text{def}}{=} (y_i). \overline{\text{lock}_i}. (\text{lock}_i \mid \bar{s} \langle c_{i+1}, \text{dec}_i[y_i] \rangle) \\ C_k &\stackrel{\text{def}}{=} (y_k). \overline{\text{lock}_k}. (\text{lock}_k \mid \bar{d} \langle \text{dec}_k[y_k] \rangle) \end{aligned}$$

Levels

$\text{lock}_i : \#_I^0 \text{ unit}$, $c_i : \#_F^1 \text{ b}$, $s : \#_F^1 (\#_F^1 \text{ b} \times \text{b})$, $\text{msg}_i : \text{b}$, $d : \#_I^1 \text{ b}$

Appendix 2: Another example

$$\begin{aligned} \text{Sys}_2 &\stackrel{\text{def}}{=} \text{def } s = (n, r, f). \\ &\quad (\text{if } f = \mathbf{tintin} \text{ then } (\nu h) (\bar{r}\langle h \rangle.\bar{h}) \\ &\quad \quad | \text{if } f = \mathbf{asterix} \text{ then } \dots \\ &\quad \quad \dots \\ &\quad \quad | \text{if } n > 0 \text{ then } \bar{s}\langle n-1, r, f \rangle) \\ &\text{in } (\nu r') (\quad \bar{s}\langle 15, r', \mathbf{tintin} \rangle \\ &\quad \quad | (\nu c) (\bar{c} \mid !c.r'(z).(\bar{c} \mid z))) \end{aligned}$$

Levels

$f, \mathbf{tintin}, \mathbf{asterix} : \mathbf{b}$, $h, z : \mathbf{b}$; $r, r' : \#_F^1 \mathbf{b}$, $s : \#_F^2 (\text{nat} \times \#_F^1 \mathbf{b} \times \mathbf{b})$, $c : \#_I^0 \mathbf{b}$

Appendix 3: Reductions for λ

$$(\beta) \frac{}{(\lambda x. M \ V, \delta) \mapsto (M\{V/x\}, \delta)}$$

$$(\text{ref}) \frac{u \notin \text{supp}(\delta) \quad \vdash_{\Gamma} V : (T, -)}{(\text{ref}_n \ V, \delta) \mapsto (u, (\delta \langle u \rightsquigarrow V \rangle))}$$

$$(\text{deref}) \frac{\delta(u) = V}{(\text{deref}_n(u), \delta) \mapsto (V, \delta)}$$

$$(\text{store}) \frac{\vdash_{\Gamma} V : (T, -)}{(u :=_n \ V, (\delta)) \mapsto ((), (\delta \langle u \rightsquigarrow V \rangle))}$$

$$(\text{context}) \frac{(M, \delta) \mapsto (M', \delta')}{(\mathbf{E}[M], \delta) \mapsto (\mathbf{E}[M'], \delta')}$$

Appendix 4: Typability for λ

$$\text{(App)} \frac{\vdash_{\Gamma} M : (T_1 \rightarrow^n T_2, m) \quad \vdash_{\Gamma} N : (T_1, k)}{\vdash_{\Gamma} M N : (T_2, \max(m, n, k))}$$

$$\text{(Abs)} \frac{\vdash_{\Gamma} M : (T_2, n) \quad \Gamma(x) = T_1}{\vdash_{\Gamma} \lambda x. M : (T_1 \rightarrow^n T_2, 0)}$$

$$\text{(Ref)} \frac{\vdash_{\Gamma} M : (T_1, m)}{\vdash_{\Gamma} \text{ref}_n M : (T_1 \text{ ref}_n, \max(n, m))}$$

$$\text{(Var)} \frac{\Gamma(x) = T_1}{\vdash_{\Gamma} x : (T_1, 0)}$$

$$\text{(Uni)} \frac{}{\vdash_{\Gamma} () : (\text{unit}, 0)}$$

$$\text{(Add)} \frac{}{\vdash_{\Gamma} u_{(n, T_1)} : (T_1 \text{ ref}_n, 0)}$$

$$\text{(Asg)} \frac{\vdash_{\Gamma} M : (T_1 \text{ ref}_n, m) \quad \vdash_{\Gamma} N : (T_1, k)}{\vdash_{\Gamma} M :=_n N : (\text{unit}, \max(m, n, k))}$$

$$\text{(Drf)} \frac{\vdash_{\Gamma} M : (T \text{ ref}_n, m)}{\vdash_{\Gamma} \text{deref}_n(M) : (T, \max(m, n))}$$

$$\text{(Emp)} \frac{}{\vdash_{\Gamma} \emptyset}$$

$$\text{(Sto)} \frac{\vdash_{\Gamma} \delta \quad \vdash_{\Gamma} V : (T, 0)}{\vdash_{\Gamma} \delta \langle u_{(n, T)} \rightsquigarrow V \rangle}$$

Appendix 5: Pruning for λ

If M is not related to p :

$$\text{pr}_\Gamma^p(M) = \mathbf{V}_T$$

Otherwise:

$$\text{pr}_\Gamma^p(M_1 M_2) = \text{pr}_\Gamma^p(M_1) \text{pr}_\Gamma^p(M_2)$$

$$\text{pr}_\Gamma^p(x) = x$$

$$\text{pr}_\Gamma^p(\lambda x. M_1) = \lambda x. \text{pr}_\Gamma^p(M_1)$$

$$\text{pr}_\Gamma^p(\text{ref}_n M_1) = (\Pi^{(1,2)} ()) \text{pr}_\Gamma^p(M_1)$$

$$\text{pr}_\Gamma^p(\text{deref}_n(M_1)) = (\Pi^{(1,2)} \mathbf{V}_T \text{pr}_\Gamma^p(M_1))$$

$$\text{pr}_\Gamma^p(M_1 :=_n M_2) = (\Pi^{(1,3)} ()) \text{pr}_\Gamma^p(M_1) \text{pr}_\Gamma^p(M_2)$$

$$\text{pr}_\Gamma^p(u_{(n, T_1)}) = ()$$

Appendix 6: 2 Lemmas for λ

Dividing evaluation contexts

Let \mathbf{E} be an evaluation context and p an integer.

1. Either for all M , $\text{pr}_\Gamma^p(\mathbf{E}[M]) = \text{pr}_\Gamma^p(\mathbf{E})[\text{pr}_\Gamma^p(M)]$
2. Or there exists \mathbf{E}_1 and $\mathbf{E}_2 \neq []$ s.t. $\mathbf{E} = \mathbf{E}_1[\mathbf{E}_2]$ and, for all M :
 - 2.1 If M has effect $\geq p$, then
$$\text{pr}_\Gamma^p(\mathbf{E}[M]) = \text{pr}_\Gamma^p(\mathbf{E}[M]) = \text{pr}_\Gamma^p(\mathbf{E})[\text{pr}_\Gamma^p(M)].$$
 - 2.2 If M has effect $< p$, then $\text{pr}_\Gamma^p(\mathbf{E}[M]) = \text{pr}_\Gamma^p(\mathbf{E}_1)[v_{T''}]$
(where T'' is the type of \mathbf{E}_2).

Context reduction

If $\vdash_\Gamma \mathbf{E}_2 : (T'', m)$ and \mathbf{E}_2 is not related with level p , for all terms M, M' ,

1. $\text{pr}_\Gamma^p(\mathbf{E}_2)[(\Pi^{(1,2)} v_T M)] \rightarrow^+ v_{T''}$;
2. $\text{pr}_\Gamma^p(\mathbf{E}_2)[(\Pi^{(1,3)} v_T M M')] \rightarrow^+ v_{T''}$.