

Automated fault detection for  
Autosub6000:  
What we've achieved in a year?



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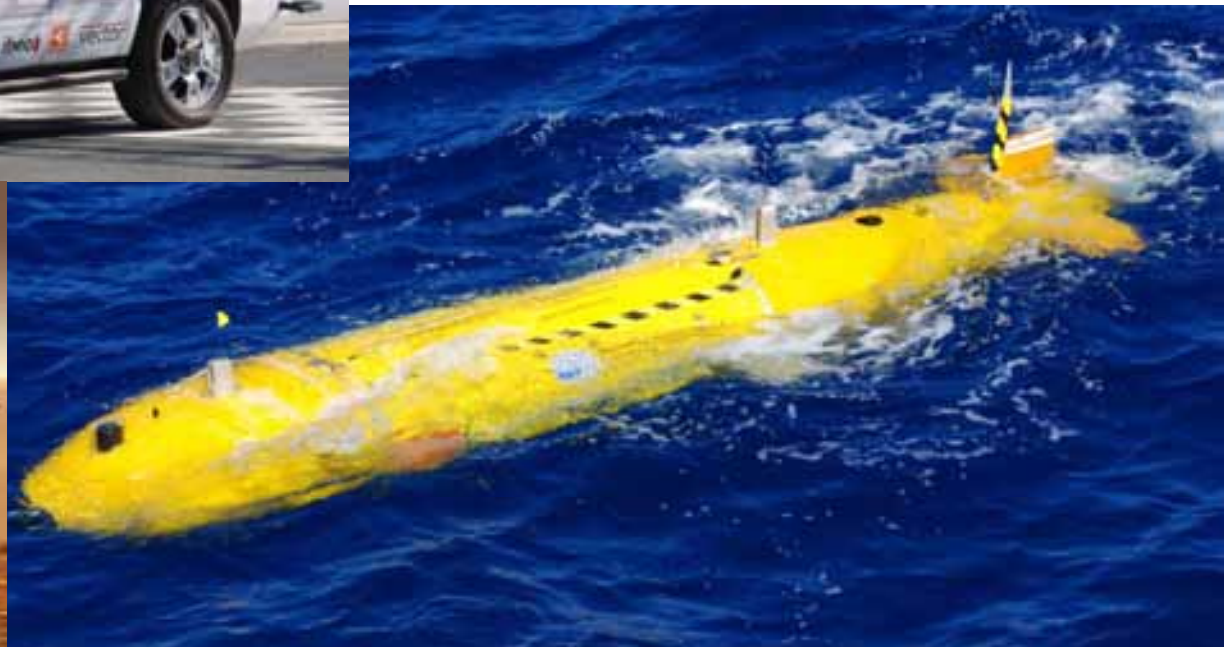
# Overview of today's talk

- Automated fault diagnosis for Autosub 6000 AUV – motivation and goals
- Overview of different diagnosis methods
- A closer look at model-based (consistency based) diagnosis
- Diagnosis and mission scripts

# Diagnosis problem



The diagnosis problem is to determine the state of a system over time given a stream of observations of that system.



# Autosub 6000 AUV

- Autonomous Underwater Vehicle (AUV)
- 2.8 m<sup>3</sup> displacement
- 0.5 m<sup>3</sup> available for scientific payload
- Communication range 7 km



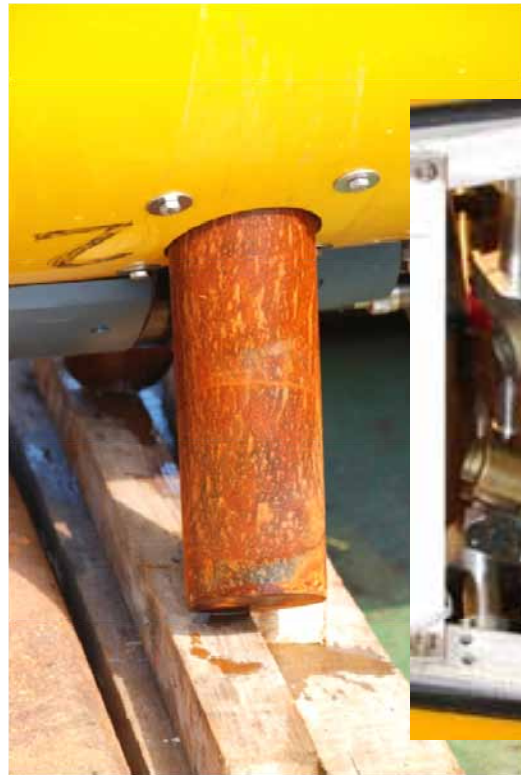
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- 5.5 m long
- Range 180 km
- Mission duration up to 60 h

# Autosub 6000 and Faults

- Autosub 6000 and its predecessors have completed > 400 missions
- There have been
  - Near losses, vehicle had to be rescued by a ROV
  - Actual loss, 17 km under 200 m thick Fimbul Ice Shelf in the Antarctic
- There is logged mission data with samples of nominal behaviour and a number of faults that have occurred
  - Knocked stern plane
  - Failure of connectors
  - Failure of servo potentiometre
- Collision with seabed is one of the primary causes of potential vehicle loss

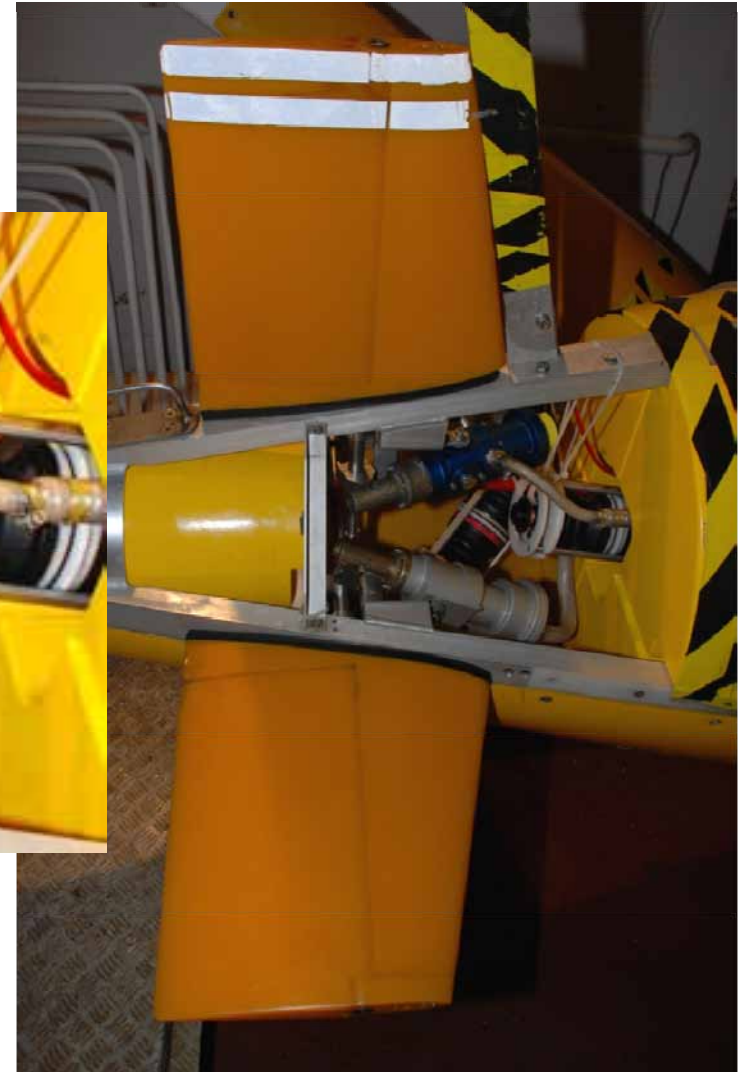
# Actuators: Motor, Rudder, Stern Plane and Abort Weights



Abort weight



Rudder

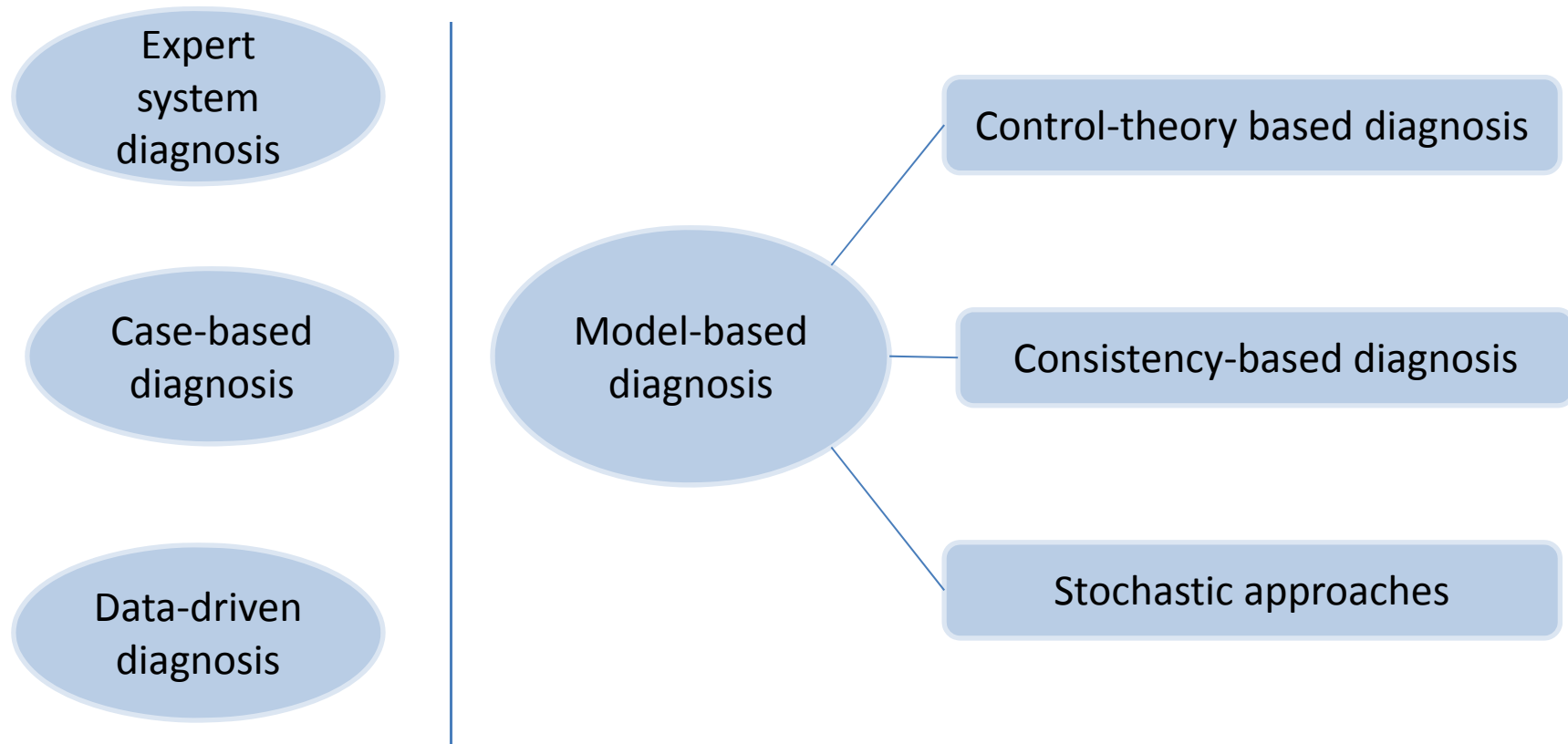


Stern plane

# Sensed data

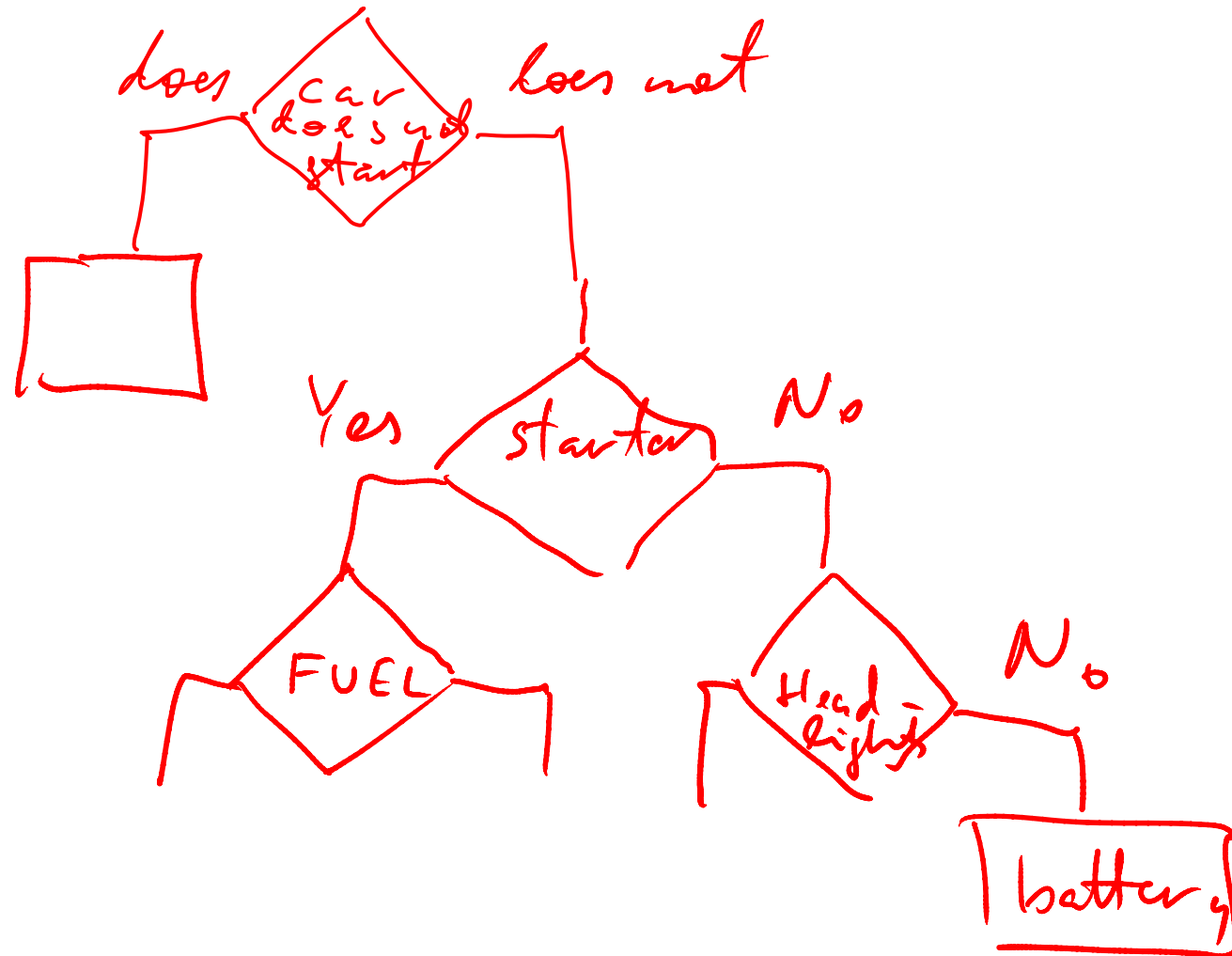
- Depth (pressure)
- Altitude (ADCP)
- Ground speed / water speed (ADCP)
- Power consumption, ground faults, battery faults (various sensors)
- Attitude, pitch, roll (INS)
- GPS (only on surface)
- Temperatures, leaks,
- Propeller RPM, stern plane angle, rudder angle
- ...

# Automated Diagnosis





# Fault trees



# Problems with fault trees

- Trees can get very large
- Trees are hard to maintain
- Trees cannot be (easily) used for continuous diagnosis

# Case based diagnosis

- A database of previous experience
  - Look for previous cases with similar symptoms in the database
  - If there are any, see what was done and what was the outcome
- Can be very useful for e.g. copiers (Xerox)
- Again, cannot be used continuously.
- Requires feedback to be generated for each case.

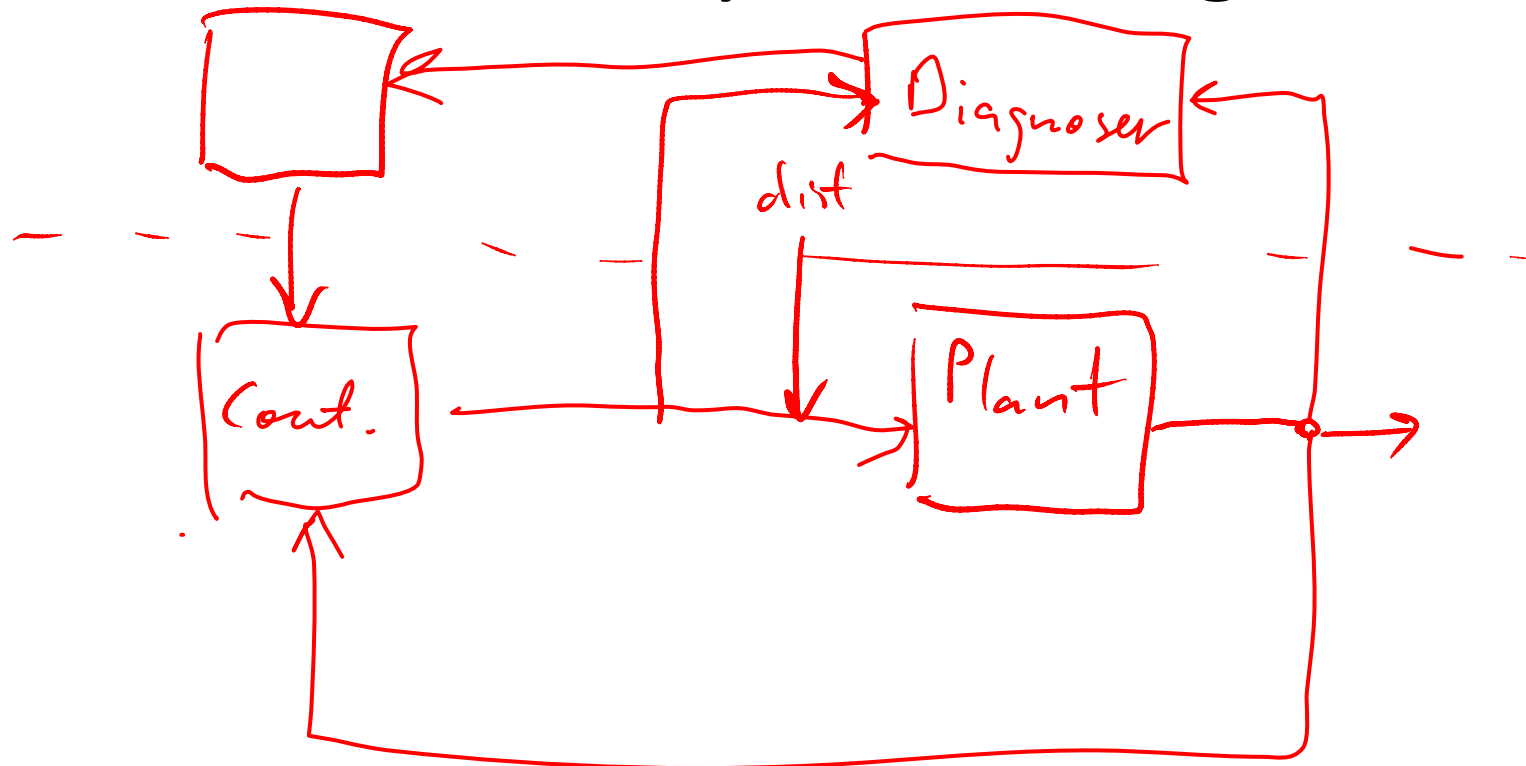
# Data-driven diagnosis

- E.g. Principal Component Analysis (PCA), Fisher discriminant analysis; Partial least squares; Canonical variate analysis
- The idea (PCA):
  - Capture data from a nominally behaving system.
  - Use eigenvector decomposition of the correlation matrix of the process variables.
  - Eigenvectors provide a sensitive means for discovering variances in correlations between different variables.

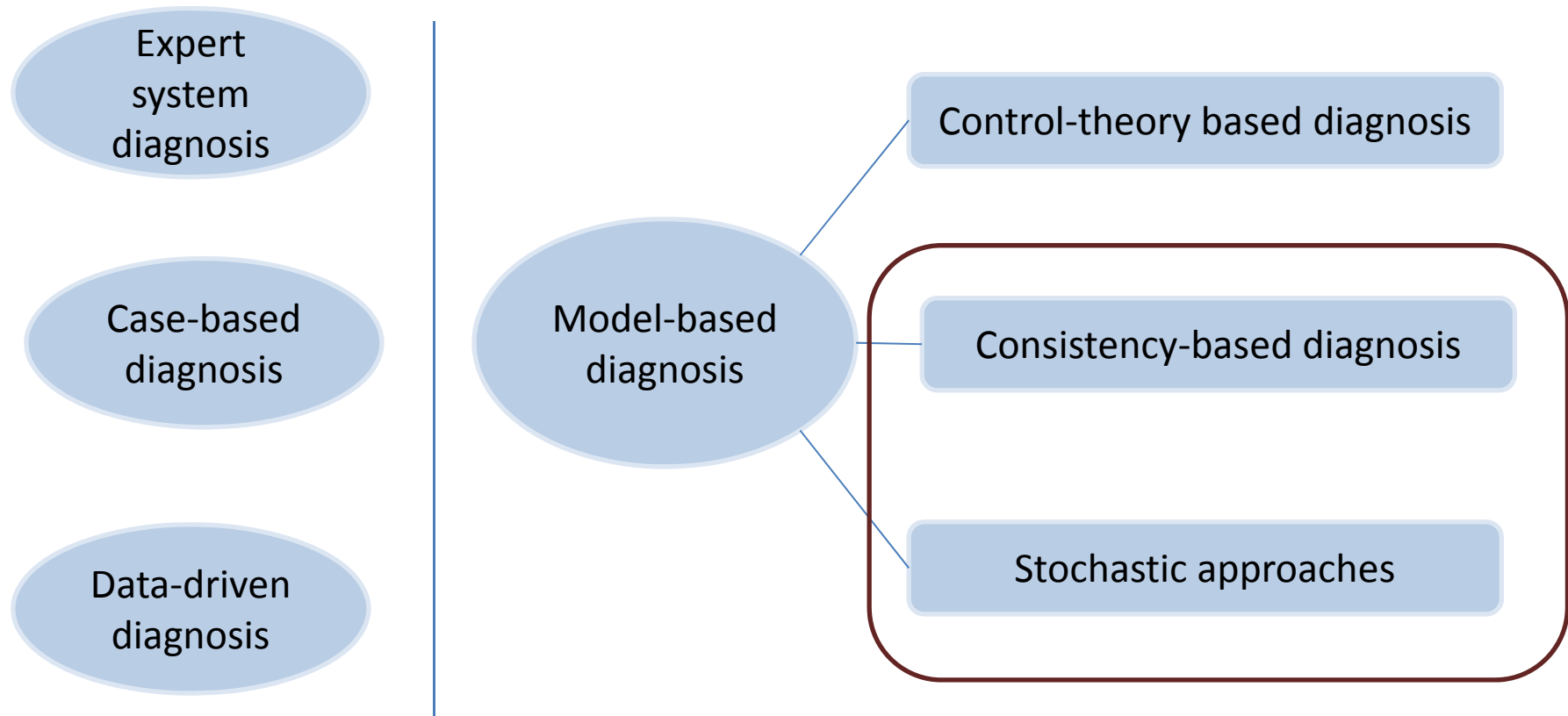
# Data-driven diagnosis

- Can be used for continuous processes
- Are used widely in e.g. chemical plants
- Do not play that well with discrete changes of modes which change the correlation between variables.

# Control theory based diagnosis

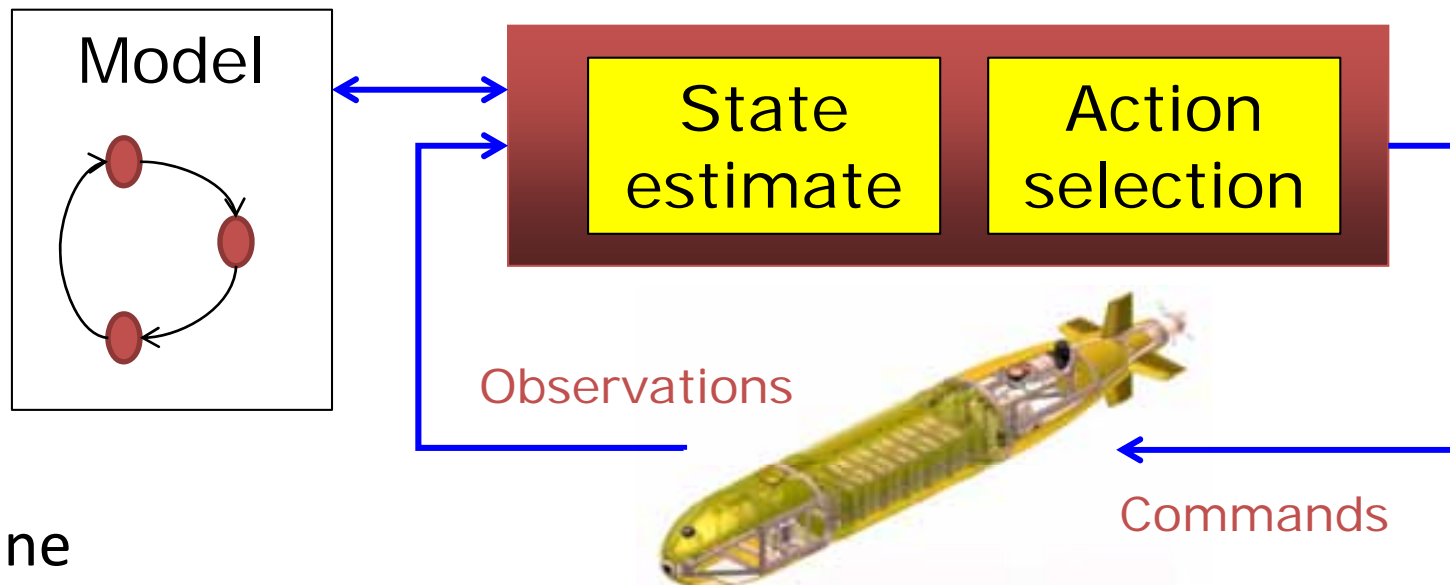


# Automated Diagnosis



# Fault Diagnosis and Recovery

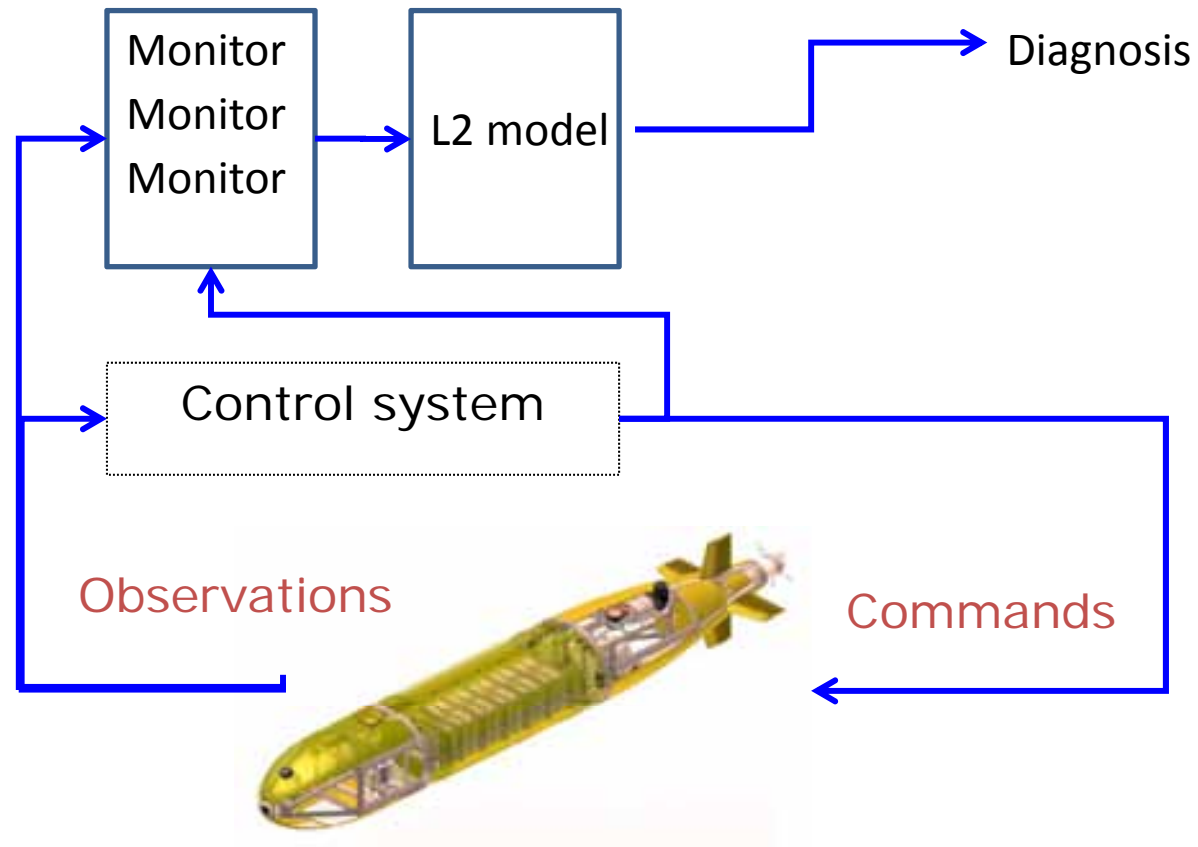
- We use Livingstone 2 model-based diagnosis engine
- Given:
  - A model of a physical system (similar to model programs)
  - The actions taken and observations received thus far



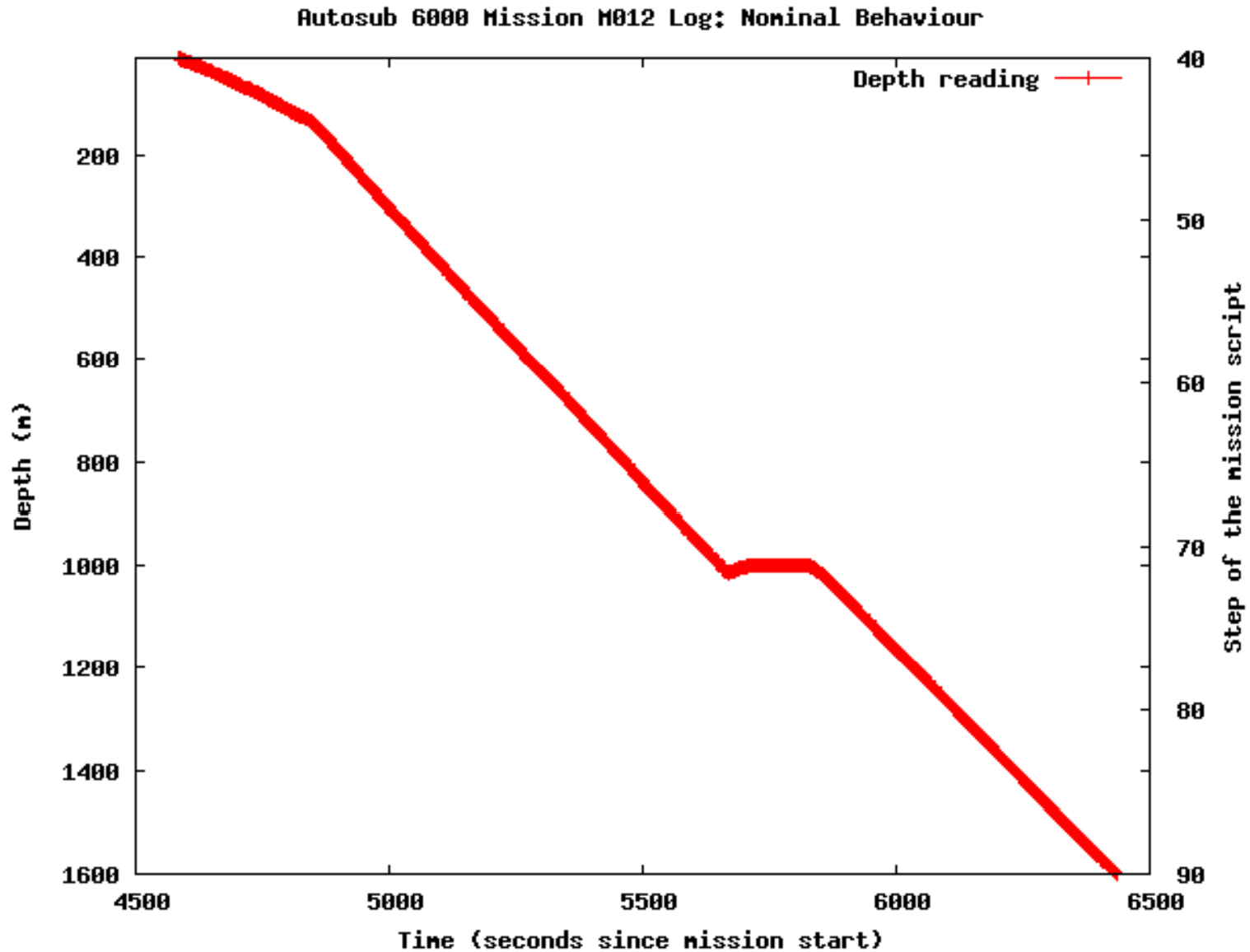
- Determine
  - Most likely states of the system – mode identification
  - Commands needed to move to a desirable state – recovery



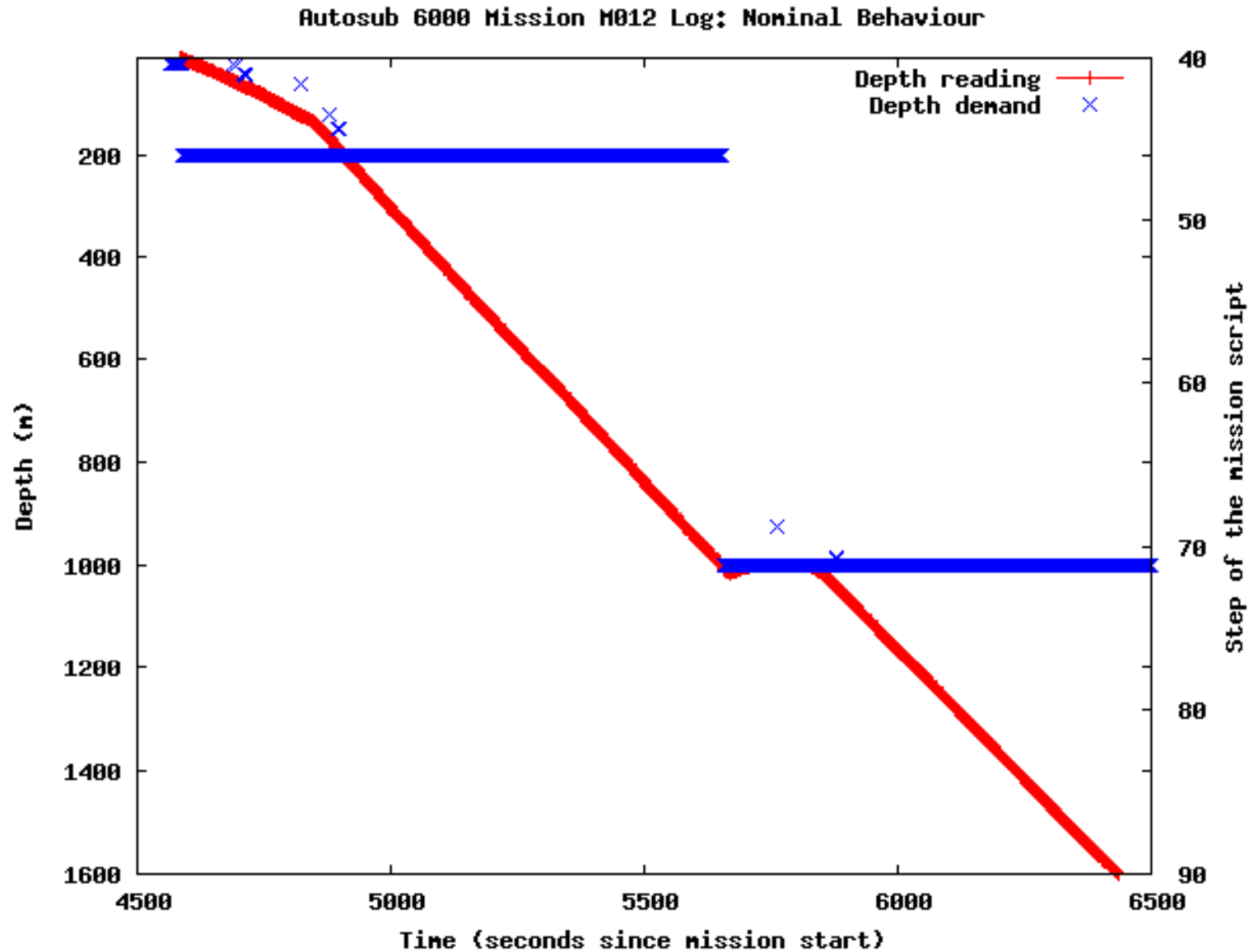
# Livingstone 2 for A6K



# Example: Nominal Behaviour



# Example: Depth Demand



# Example: Role of the Mission Script

```
63: when(      MissionLineTimeout,
              Depth_GT)
```

```
// When Timeout or
// passed the depth set.
```

```
64:   Depth(1000m);
```

```
65:   when( Start)
// start HoldAtDepth macro
```

```
66:   PositionP(N:38:2
               W:10:24)
```

```
67:   Depth( 1000m ),
```

```
68:   MotorPower( 25)
```

```
69:   SetGotoSurfaceT
```

```
56: when (GotPosition)
```

```
//achieves previous demand
```

```
57: when( Start)
```

```
// FixedSternPlaneDive macro
```

```
58:   MotorPower( 300),
```

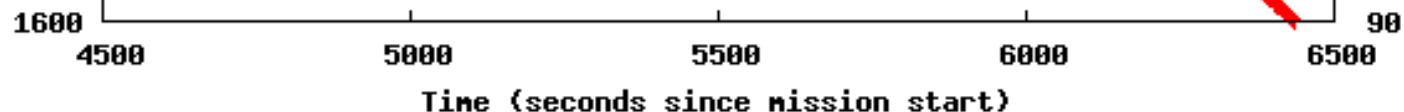
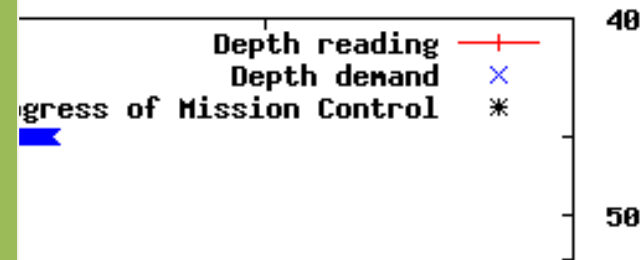
```
59:   SetElementTimer(18 min),
```

```
60:   RudderAngle( 3 deg),
```

```
61:   SetDepthThreshold(1000m),
```

```
62:   SPlaneAngle( -20 deg);
```

g: Nominal Behaviour



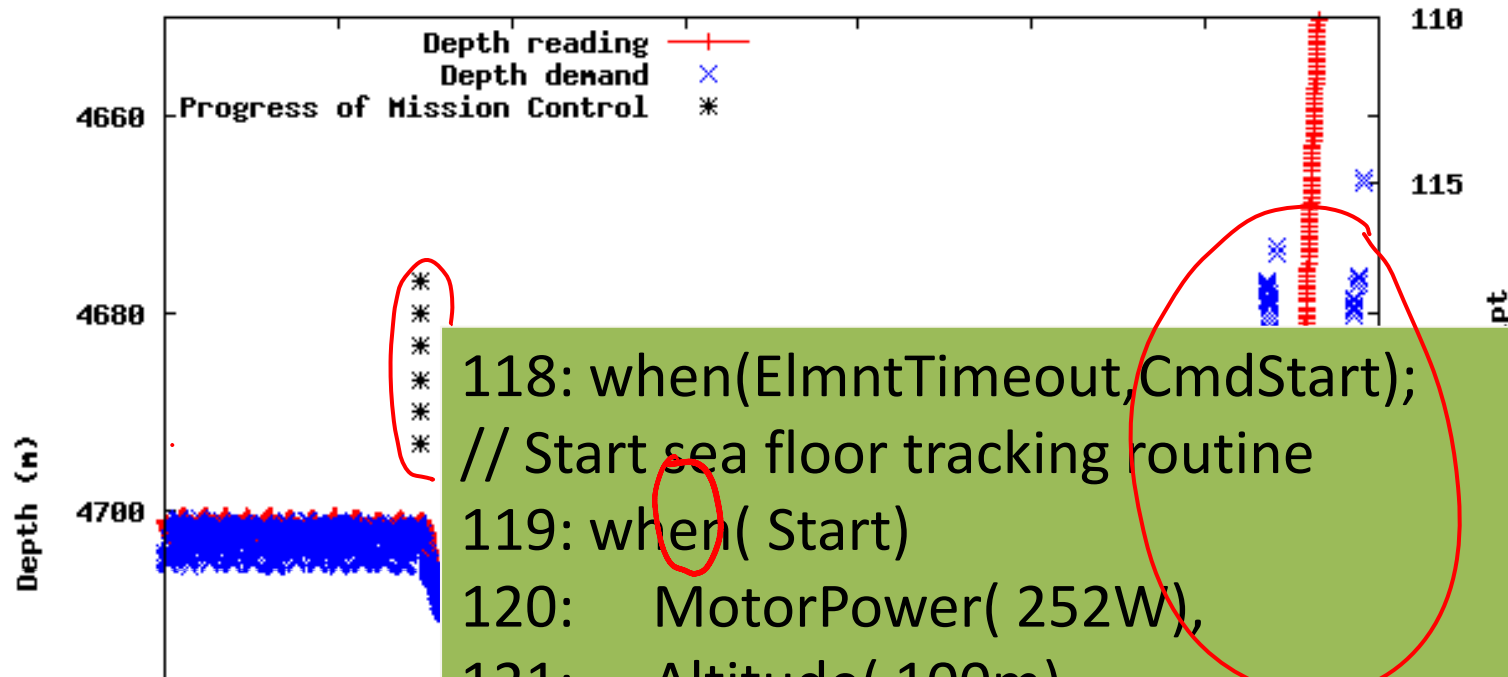
# Configuration

- A number of parameters are set in the **configuration scripts**
- Domain axioms are based on domain knowledge from the engineers
- Example:

Variable Type	Variable name	Invariant condition
UNVT_MaxMinLim	ncSplaneLimits	Stern plane max min limits compared to physical capability of the control surface
UNVT_float_type	ncMaxDepth	ncSafeMaxDepth < Abort Weight Release max depth
UNVT_float_type	ncMinDepth	ncMinDepth < Max depth
UNVT_float_type	ncSafeMaxDepth	ncSafeMaxDepth < Abort Weight Release max depth
UNVT_float_type	ncSafeMinDepth	ncSafeMinDepth < Max depth
UNVT_float_type	ncFwdScaleUp	ncFwdScaleUp $\in [0, 1]$
UNVT_float_type	ncFwdScaleDn	ncFwdScaleDn $\in [0, 1]$
Boolean	nci_sim_mode	Must be false

# Depth Demand Revisited

Autosub 6000 Mission M012 Log: Sea Floor Following Routine



```

118: when(ElmntTimeout, CmdStart);
// Start sea floor tracking routine
119: when( Start)
120:   MotorPower( 252W),
121:   Altitude( 100m)

```

```

124: when( GotPosition, ElmntTimeout)
125:   TrackP( N:38:23.262,
            W:10:24.135,
            N:38:20.672, W:10:24.135),
126:   SetElementTimer(1h 2min);

```

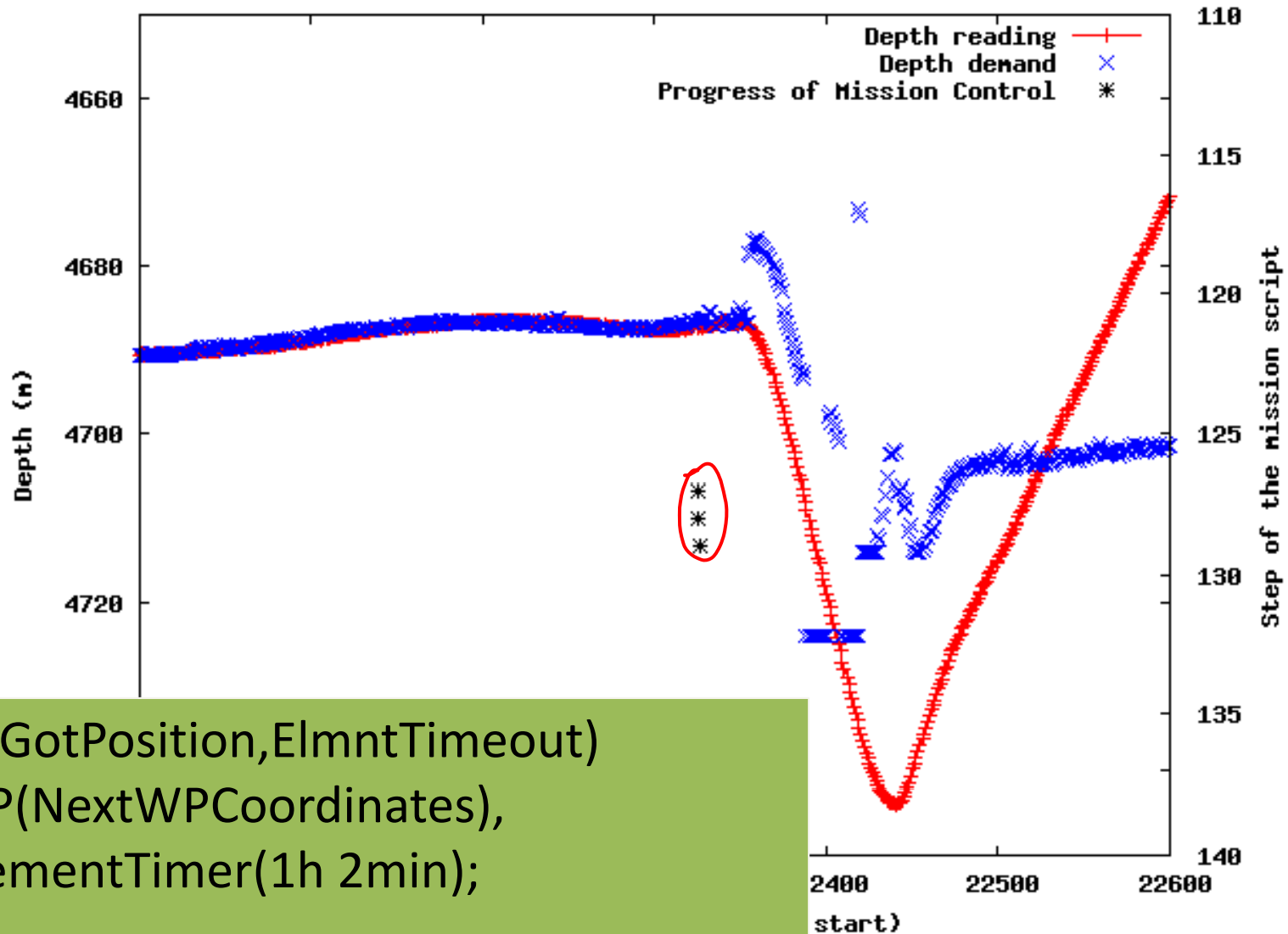
```

62,
10:24.135),
(1h 2min);

```

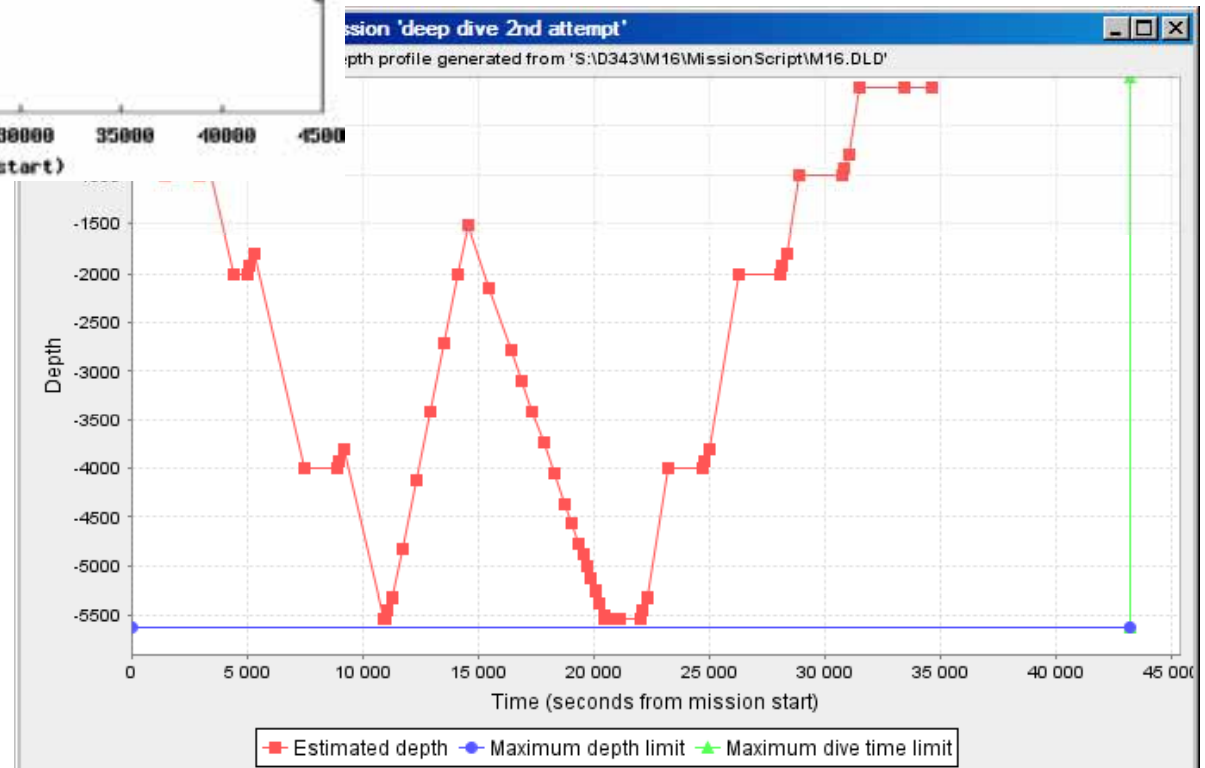
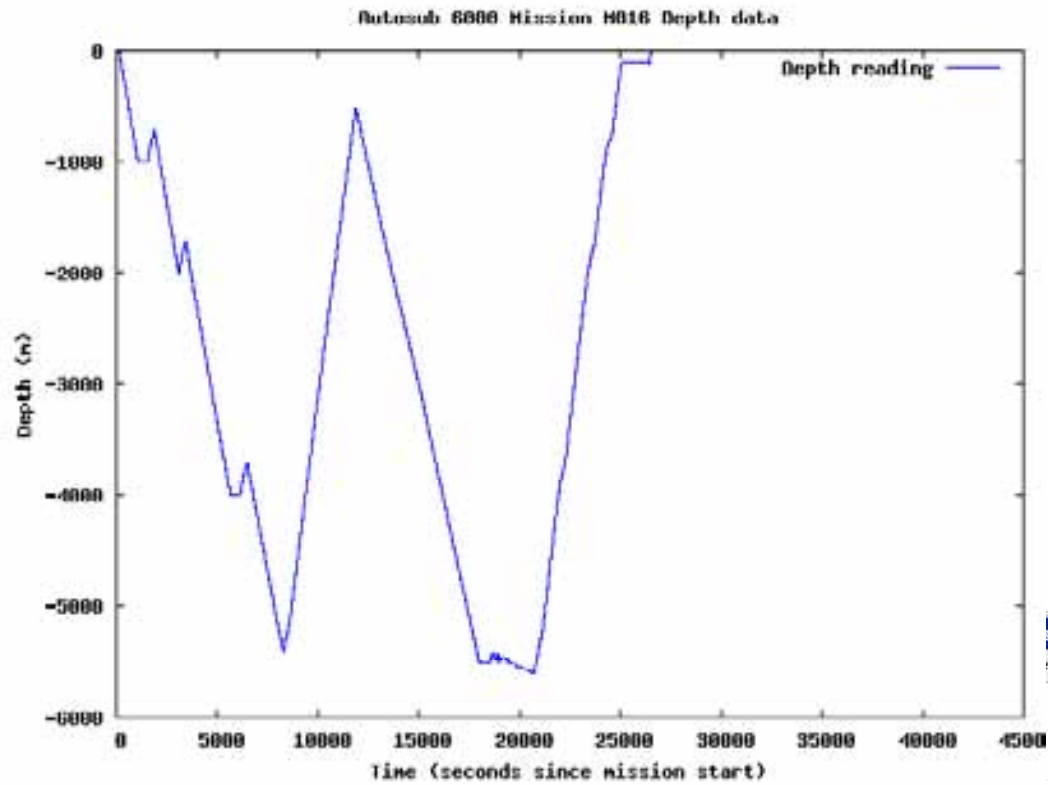
# Mission Script and Fault Context

Autosub 6000 Mission M012 Log: Failure of the Stern Plane Servo Potentiometre



```
when( GotPosition,ElmntTimeout)
  TrackP(NextWPCoordinates),
  SetElementTimer(1h 2min);
```

# Depth profile





# Stochastic approaches: Particle Filters

1. For  $N$  particles  $p^{(i)}$ ,  $i = 1, \dots, N$ , sample discrete modes  $z_0^{(i)}$ , from the prior  $P(Z_0)$ .
2. For each particle  $p^{(i)}$ , set  $\mu_0^{(i)}$  and  $\Sigma_0^{(i)}$  to the prior mean and covariance in state  $z_0^{(i)}$ .
3. For each time-step  $t$  do
  - (a) For each  $p^{(i)} = (z_{t-1}^{(i)}, \mu_{t-1}^{(i)}, \Sigma_{t-1}^{(i)})$  do
    - i. Sample a new mode:

$$\hat{z}_t^{(i)} \sim P(Z_t | z_{t-1}^{(i)})$$

- ii. Perform Kalman update using parameters from mode  $\hat{z}_t^{(i)}$ :

$$(\hat{y}_{t|t-1}^{(i)}, \hat{S}_t^{(i)}, \hat{\mu}_t^{(i)}, \hat{\Sigma}_t^{(i)}) \leftarrow KF(\mu_{t-1}^{(i)}, \Sigma_{t-1}^{(i)}, y_t, \theta(z_t^{(i)}))$$

- iii. Compute the weight of particle  $\hat{p}^{(i)}$ :

$$w_t^{(i)} \leftarrow P(y_t | \hat{y}_{t|t-1}^{(i)}, \hat{S}_t^{(i)}) = N(y_t; \hat{y}_{t|t-1}^{(i)}, \hat{S}_t^{(i)}).$$

- (b) Resample as in step 3.(b) of the PF algorithm (see Figure 1).

# Conclusion

- Autosub 6000 AUV is a great platform automated diagnosis.
- We generate diagnosis components corresponding to mission scripts to infer the internal state of the system
  - During diagnosis component generation we analyse mission scripts and configuration for inconsistencies
  - We provide an estimated depth profile for pre-mission validation.
- Current work: we generate components from the mission script for diagnosis model that work on-board on the vehicle and off-board using telemetry data
- We are looking into ways to write hybrid diagnosis models in a systematic way