Techniques for Proof Compression

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Bruno Woltzenlogel Paleo Techniques for Proof Compression

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Motivations for Proof Compression

• Sat/SMT-solvers, ATPs, proof assistants...

- best techniques to find proofs do not necessarily find the best proofs
- proofs can be redundant

Proof compression techniques may lead to:

- smaller proof libraries
- faster proof checking
- smaller unsat cores
- better interpolants
- easier exchange of knowledge
- discovery of interesting mathematical definitions and lemmas

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- Proof compression techniques may lead to:
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Techniques for Proof Compression

- Sequent Calculus
 - Cut-elimination
 - Cut-introduction
- Natural Deduction
 - Allowing contextual inferences
- Propositional Resolution
 - Recycle Pivots (with Intersection)
 - Lower Units
 - Reduce&Reconstruct
 - Split



Figure: The natural deduction calculus ND

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Double negation elimination axiom schema:

$$dne : \neg \neg F \rightarrow F$$

Deriving $(A \rightarrow B) \rightarrow C$ from $(\neg \neg A \rightarrow B) \rightarrow C$ in **ND**:

$$\underbrace{ \begin{array}{c} A \to B \vdash A \to B \\ \hline (\neg \neg A \to B) \to C \vdash (\neg \neg A \to B) \to C \\ \hline (\neg \neg A \to B) \to C \to (A \to B) \to C, A \to B \vdash C \\ \hline (\neg \neg A \to B) \to C \vdash (A \to B) \to C \\ \hline (\neg \neg A \to B) \to C \vdash (A \to B) \to C \\ \hline \end{array}}_{I} \xrightarrow{F}_{I} \xrightarrow{P}_{I} \xrightarrow{P}_$$

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$$\frac{\Gamma, A \vdash A}{\Gamma, A \vdash A} \text{ axiom}$$

$$\frac{\Gamma, A \vdash C_{\pi}[B]}{\Gamma \vdash C_{\pi}[A \to B]} \to_{I} (\pi)$$

$$\frac{\Gamma \vdash C_{\pi_{1}}^{1}[A \to B] \qquad \Gamma \vdash C_{\pi_{2}}^{2}[A]}{\Gamma \vdash C_{\pi_{1}}^{1}[C_{\pi_{2}}^{2}[B]]} \to_{E}^{-} (\pi_{1}; \pi_{2})$$

$$\frac{\Gamma \vdash C_{\pi_{1}}^{1}[A \to B] \qquad \Gamma \vdash C_{\pi_{2}}^{2}[A]}{\Gamma \vdash C_{\pi_{2}}^{2}[C_{\pi_{1}}^{1}[B]]} \to_{E}^{-} (\pi_{1}; \pi_{2})$$
Note: π, π_{1} and π_{2} must be positive positions.

Figure: The contextual natural deduction calculus NDc

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Double negation elimination axiom schema:

dne :
$$\neg \neg F \rightarrow F$$

Deriving $(A \rightarrow B) \rightarrow C$ from $(\neg \neg A \rightarrow B) \rightarrow C$ in **NDc**:

$$\frac{\vdash \neg \neg A \to A \qquad (\neg \neg A \to B) \to C \vdash (\neg \neg A \to B) \to C}{(\neg \neg A \to B) \to C \vdash (A \to B) \to C} \to_{E}^{\leftarrow} (\varepsilon; 11)$$

And in ND:

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Skolemization axiom schema:

$$sk: \exists x.F[x] \rightarrow F[f_{sk}(x_1,\ldots,x_n)]$$

where x_1, \ldots, x_n free-variables of *F* and f_{sk} new skolem symbol.

Deriving skolemization $(A \rightarrow B) \rightarrow P(c)$ from $(A \rightarrow B) \rightarrow \exists x.P(x)$ in **NDc**:

$$\frac{\vdash \exists x.P(x) \to P(c) \qquad (A \to B) \to \exists x.P(x) \vdash (A \to B) \to \exists x.P(x)}{(A \to B) \to \exists x.P(x) \vdash (A \to B) \to P(c)} \to_{E}^{-} (\epsilon; 0)$$

And in ND:

$$\frac{\dots \vdash A \to B \qquad \dots \vdash (A \to B) \to \exists x.P(x)}{(A \to B) \to \exists x.P(x), A \to B \vdash \exists x.P(x)} \to_{E} \\ \frac{A \to B, (A \to B) \to \exists x.P(x) \vdash P(c)}{(A \to B) \to \exists x.P(x) \vdash \lambda c^{A \to B}.(A \to B) \to P(c)} \to_{I}$$

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$$\frac{\Gamma, a : A \vdash a : A}{\Gamma, a : A \vdash b : B} \rightarrow_{I}$$

$$\frac{\Gamma \vdash f : A \rightarrow B}{\Gamma \vdash (f \ a) : B} \rightarrow_{E}$$

Figure: The natural deduction calculus ND

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$$\overline{\Gamma, a: A \vdash a: A} \text{ axiom}$$

$$\frac{\Gamma, a: A \vdash b: C_{\pi}[B]}{\Gamma \vdash \lambda_{\pi} a^{A}.b: C_{\pi}[A \to B]} \to_{I} (\pi)$$

$$\frac{\Gamma \vdash f: C_{\pi_{1}}^{1}[A \to B] \qquad \Gamma \vdash a: C_{\pi_{2}}^{2}[A]}{\Gamma \vdash (f a)_{(\pi_{1};\pi_{2})}^{-}: C_{\pi_{1}}^{1}[C_{\pi_{2}}^{2}[B]]} \to_{E}^{\rightarrow} (\pi_{1}; \pi_{2})$$

$$\frac{\Gamma \vdash f: C_{\pi_{1}}^{1}[A \to B] \qquad \Gamma \vdash a: C_{\pi_{2}}^{2}[A]}{\Gamma \vdash (f a)_{(\pi_{1};\pi_{2})}^{-}: C_{\pi_{2}}^{2}[C_{\pi_{1}}^{1}[B]]} \to_{E}^{\leftarrow} (\pi_{1}; \pi_{2})$$
Note: π, π_{1} and π_{2} must be positive positions.

Figure: The contextual natural deduction calculus NDc

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Deriving $(A \rightarrow B) \rightarrow C$ from $(\neg \neg A \rightarrow B) \rightarrow C$ in **NDc**:

$$\begin{array}{c} \vdash dne: \neg \neg A \to A \qquad a: \ldots \vdash a: (\neg \neg A \to B) \to C \\ \hline a: (\neg \neg A \to B) \to C \vdash (dne \ a)_{(\varepsilon;11)}^{\leftarrow}: (A \to B) \to C \end{array} \to_{E}^{\leftarrow} (\varepsilon; 11)$$

And in ND:

$$\frac{c:\ldots \vdash c:A \rightarrow B}{a:\ldots \vdash a:(\neg \neg A \rightarrow B) \rightarrow C} \xrightarrow{\begin{array}{c} \leftarrow dne: \neg \neg A \rightarrow A & d:\ldots \vdash d: \neg \neg A \\ \hline d:\ldots \vdash (dne d):A \\ \hline c:\ldots \vdash (c (dne d)):B \\ \hline \neg \neg A \rightarrow B \\ \hline a:\ldots,c:\ldots \vdash (a \lambda d.(c (dne d))):C \\ \hline a:(\neg \neg A \rightarrow B) \rightarrow C \vdash \lambda c^{A \rightarrow B}.(a \lambda d^{\neg \neg A}.(c (dne d))):(A \rightarrow B) \rightarrow C \\ \hline \end{array}} \xrightarrow{\begin{array}{c} \leftarrow dne: \neg \neg A \rightarrow A & d:\ldots \vdash d: \neg \neg A \\ \hline d:\ldots \vdash (dne d):A \\ \hline \neg \neg A \rightarrow B \\ \hline \rightarrow_{E} \\ \hline \neg \neg A.(c (dne d))):(A \rightarrow B) \rightarrow C \\ \hline \end{array}}$$

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Deriving skolemization $(A \rightarrow B) \rightarrow P(c)$ from $(A \rightarrow B) \rightarrow \exists x.P(x)$ in **NDc**:

$$\frac{\vdash \mathsf{sk} : \exists x. P(x) \to P(c) \qquad a : \ldots \vdash a : (A \to B) \to \exists x. P(x)}{a : (A \to B) \to \exists x. P(x) \vdash (\mathsf{sk} \ a)_{(\varepsilon; 0)}^{-} : (A \to B) \to P(c)} \to_{E}^{-} (\varepsilon; 0)$$

And in ND:

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Theorem (Completeness)

If T is provable in **ND**, then T is provable in **NDc**.

Definition (Translation of λ -terms into λ^d -terms)

- $\zeta[v] \doteq v$ (for a variable v).
- $\zeta[\lambda v^T.t] \doteq \lambda_{\epsilon} v^T.\zeta[t]$
- $\zeta[(m n)] \doteq (\zeta[m] \zeta[n])_{(\epsilon;\epsilon)}$

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Theorem (Soundness)

If T is provable in **NDc**, then T is provable in **ND**.

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- If *t* is an (→)-application of the form (*f* a)_(π1;π2), the translation is defined by two successive inductions, firstly on the position π₁ and then (when π₁ = ε) on π₂, according to the cases below:
 - If $\pi_1 = 0\pi$, it is the case that *t* matches $(f^{C \to D} a)_{(0\pi;\pi_2)}^{\to}$, and then

$$\boldsymbol{\xi}[t] \doteq \boldsymbol{\lambda} \boldsymbol{c}^{\boldsymbol{C}}.\boldsymbol{\xi}[((f \ \boldsymbol{c}) \ \boldsymbol{a}))_{(\pi;\pi_2)}^{\rightarrow}]$$

• If $\pi_1 = 1\pi'$, then there is at least one occurrence of the digit 1 in π' , since π_1 is positive and π' is negative. Therefore, π_1 is necessarily of the form $10 \dots 01\pi$ and *t* matches $(f^{(C_1 \to \dots C_n \to (T_\pi[A \to B] \to D_1)) \to D_2} a)_{(10 \dots 01\pi;\pi_2)}^{\rightarrow}$. Then

$$\boldsymbol{\xi}[t] \doteq \lambda \boldsymbol{k}^{C_1 \rightarrow \dots C_n \rightarrow (T_\pi[B] \rightarrow D_1)}.(f \lambda \boldsymbol{c}_1^{C_1} \dots \boldsymbol{c}_n^{C_n}.\lambda \boldsymbol{h}^{T_\pi[A \rightarrow B]}.(\boldsymbol{k} \ \boldsymbol{c}_1 \dots \boldsymbol{c}_n \ \boldsymbol{\xi}[(\boldsymbol{h} \ \boldsymbol{a})_{(\pi;\pi_2)}^{\rightarrow}])$$

• If $\pi_1 = \epsilon$ and $\pi_2 = 0\pi$, it is the case that *t* matches $(f \ a^{C \to D})_{(\epsilon;0\pi)}^{\to}$, and then $\xi[t] \doteq \lambda c^C \xi[(f \ (a \ c))_{(\epsilon;\pi)}^{\to}]$

• If $\pi_1 = \epsilon$ and $\pi_2 = 1\pi'$, then there is at least one occurrence of the digit 1 in π' , since π_2 is positive and π' is negative. Therefore, π_2 is of the form $10 \dots 01\pi$ and *t* matches $(f^{A \to B} a^{(C_1 \to \dots C_n \to (T_\pi[A] \to D_1)) \to D_2})_{i=1}^{\leftarrow} 10 \dots 01\pi)$. Then

$$\xi[t] \doteq \lambda k^{C_1 \to \dots C_n \to (T_\pi[B] \to D_1)} . (a \ \lambda c_1^{C_1} \dots c_n^{C_n} . \lambda h^{T_\pi[A]} . (k \ c_1 \dots c_n \ \xi[(f \ h)_{(\varepsilon;\pi)}^{\rightarrow}]))$$

• If $\pi_1 = \pi_2 = \epsilon$, it is the case that *t* matches $(f a)_{(\epsilon;\epsilon)}^{\rightarrow}$, and then

$$\boldsymbol{\xi}[t] \doteq \left(\boldsymbol{\xi}[f] \; \boldsymbol{\xi}[a]\right)$$

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- If *t* is an (←)-application of the form (*f* a)⁻_(π1;π2), the translation is analogous to the previous case for (*f* a)⁻_(π1;π2), but the induction is made firstly on the position π₂ and only then (when π₂ = ε) on π₁. For the sake of clarity, all cases are shown below:
 - If $\pi_2 = 0\pi$, it is the case that *t* matches $(f a^{C \to D})_{(\pi_1;0\pi)}^{-}$, and then

$$\xi[t] \doteq \lambda c^{C}.\xi[(f(a c))_{(\pi_{1};\pi)}^{-}]$$

• If $\pi_2 = 1\pi'$, then there is at least one occurrence of the digit 1 in π' , since π_2 is positive and π' is negative. Therefore, π_2 is necessarily of the form $10 \dots 01\pi$ and t matches ($f a^{(C_1 \to \dots C_n \to (T_\pi[A] \to D_1)) \to D_2})_{(\pi_1; 10 \dots 01\pi)}^{-}$. Then

$$\boldsymbol{\xi}[t] \doteq \lambda \boldsymbol{k}^{C_1 \to \dots C_n \to (T_{\pi}[B] \to D_1)} . (\boldsymbol{a} \ \lambda \boldsymbol{c}_1^{C_1} \dots \boldsymbol{c}_n^{C_n} . \lambda \boldsymbol{h}^{T_{\pi}[A]} . (\boldsymbol{k} \ \boldsymbol{c}_1 \dots \boldsymbol{c}_n \ \boldsymbol{\xi}[(f \ \boldsymbol{h})_{(\pi_1;\pi)}^{-}]))$$

• If $\pi_2 = \epsilon$ and $\pi_1 = 0\pi$, it is the case that t matches $(f^{C \to D} a)_{(0\pi;\epsilon)}^{-}$, and then $\xi[t] \doteq \lambda c^C . \xi[((f c) a)_{(\pi;\epsilon)}^{-}]$

• If $\pi_2 = \epsilon$ and $\pi_1 = 1\pi'$, then there is at least one occurrence of the digit 1 in π' , since π_1 is positive and π' is negative. Consequently, π_1 is of the form $10 \dots 01\pi$ and *t* matches $(f^{(C_1 \to \dots C_n \to (T_\pi[A \to B] \to D_1)) \to D_2} a)_{(10..01\pi;\epsilon)}^-$. Then

$$\boldsymbol{\xi}[t] \doteq \boldsymbol{\lambda}\boldsymbol{k}^{C_1 \rightarrow \ldots C_n \rightarrow (T_\pi[B] \rightarrow D_1)}.(f \boldsymbol{\lambda} \boldsymbol{c}_1^{C_1} \ldots \boldsymbol{c}_n^{C_n}.\boldsymbol{\lambda}\boldsymbol{h}^{T_\pi[A \rightarrow B]}.(\boldsymbol{k} \ \boldsymbol{c}_1 \ldots \boldsymbol{c}_n \ \boldsymbol{\xi}[(\boldsymbol{h} \ \boldsymbol{a})_{(\pi;c)}^{\leftarrow}])$$

• If $\pi_2 = \pi_1 = \epsilon$, it is the case that *t* matches $(f a)_{(\epsilon;\epsilon)}^{-}$, and then

- If *t* is a variable, then $\xi[t] \doteq t$
- If *t* is an abstraction of the form $\lambda_{\pi}a^{A}b$, the translation is defined by induction on the position π , according to the cases below:
 - If $\pi = 0\pi'$, it is the case that *t* matches $\lambda_{0\pi'}a^A.b^{C\to D}$, and then

$$\boldsymbol{\xi}[t] \doteq \boldsymbol{\lambda} \boldsymbol{c}^{\boldsymbol{C}}.\boldsymbol{\xi}[\boldsymbol{\lambda}_{\pi'}\boldsymbol{a}^{\boldsymbol{A}}.(\boldsymbol{b}\boldsymbol{c})]$$

• If $\pi = 1\pi'$, then there is at least one occurrence of the digit 1 in π' , since π is positive and π' is negative. Therefore, π is necessarily of the form $10 \dots 01\pi''$ and t matches $\lambda_{10\dots01\pi''}a^{A}.f^{(C_1 \to \dots C_n \to (T_{\pi''}[B] \to D_1)) \to D_2}$. Then

$$\boldsymbol{\xi}[t] \doteq \boldsymbol{\lambda} \boldsymbol{k}^{C_1 \rightarrow \dots C_n \rightarrow (T_{\pi^{\prime\prime}} [A \rightarrow B] \rightarrow D_1)}. (f \boldsymbol{\lambda} \boldsymbol{c}_1^{C_1} \dots \boldsymbol{c}_n^{C_n}. \boldsymbol{\lambda} \boldsymbol{h}^{T_{\pi^{\prime\prime}} [B]}. (\boldsymbol{k} \boldsymbol{c}_1 \dots \boldsymbol{c}_n \boldsymbol{\xi}[\boldsymbol{\lambda}_{\pi^{\prime\prime}} \boldsymbol{a}^A. \boldsymbol{h}])$$

• If $\pi = \epsilon$, it is the case that *t* matches $\lambda_{\epsilon}a.f$, and then

$$\xi[t] \doteq \lambda a.\xi[f]$$

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• If *t* is a variable, then $\xi[t] \doteq t$

• If *t* is an abstraction of the form $\lambda_{\pi}a^{A}.b$, the translation is defined by induction on the position π , according to the cases below:

• If $\pi = 0\pi'$, it is the case that *t* matches $\lambda_{0\pi'}a^A.b^{C\to D}$, and then

$$\boldsymbol{\xi}[t] \doteq \boldsymbol{\lambda} \boldsymbol{c}^{\boldsymbol{C}}.\boldsymbol{\xi}[\boldsymbol{\lambda}_{\pi'}\boldsymbol{a}^{\boldsymbol{A}}.(\boldsymbol{b}\boldsymbol{c})]$$

If π = 1π', then there is at least one occurrence of the digit 1 in π', since π is positive and π' is negative. Therefore, π is necessarily of the form 10...01π" and t matches λ_{10...01π}" a^A.f<sup>(C1→...Cn→(T_π"[B]→D₁))→D₂. Then
</sup>

 $\xi[t] \doteq \lambda k^{C_1 \to \dots C_n \to (T_{\pi''}[A \to B] \to D_1)}.(f \lambda c_1^{C_1} \dots c_n^{C_n}.\lambda h^{T_{\pi''}[B]}.(k c_1 \dots c_n \xi[\lambda_{\pi''}a^A.h])$

• If $\pi = \epsilon$, it is the case that *t* matches $\lambda_{\epsilon}a.f$, and then

 $\boldsymbol{\xi}[t] \doteq \lambda \boldsymbol{a}.\boldsymbol{\xi}[f]$

Intuitionistic Contextual Soundness Condition:

If π contains the digit 1, then *a* is not allowed to occur in *f*.

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$$\frac{\overline{p:P,a:(Q \to P) \vdash p:P} \text{ axiom}}{p:P \vdash \lambda a^{(Q \to P)}.p:(Q \to P) \to P} \to_{I} \to_{I}$$

$$+ \lambda_{11}p^{P}.\lambda a^{(Q \to P)}.p:((P \to Q) \to P) \to P} \to_{I} (11)$$

$$\frac{p:P,a:(\bot\to\bot)\vdash p:P}{p:P\vdash\lambda a^{(\bot\to\bot)}.p:(\bot\to\bot)\to P} \xrightarrow{\rightarrow_{I}} (11)$$

$$+\lambda_{11}p^{P}.\lambda a^{(\bot\to\bot)}.p:((P\to\bot)\to\bot)\to P$$

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- Add classical principles as axioms to shallow natural deduction
- Use a multi-conclusion natural deduction calculus
- Allow unrestricted contextual natural deduction inference rules

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$$(\lambda a^{A}.f t') \rightsquigarrow_{\beta} f[a \setminus t']$$
$$(\lambda_{0}a^{A}.f t')_{(0;\epsilon)} \rightsquigarrow^{?} f[a \setminus t']$$
$$(\lambda b^{B}.\lambda a^{A}.(f b) t')_{(0;\epsilon)} \rightsquigarrow^{?} \lambda b^{B}.(f[a \setminus t'] b)$$

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Unfolding

$$\frac{\frac{\lambda_{0\pi}a^{A}.b^{C\to D}}{\lambda c^{C}.\lambda_{\pi}a^{A}.(bc)}}{\frac{\lambda_{10\dots01\pi}a^{A}.f^{(C_{1}\to\dots C_{n}\to(T_{\pi}[B]\to D_{1}))\to D_{2}}}{\lambda k^{C_{1}\to\dots C_{n}\to(T_{\pi}[A\to B]\to D_{1}).(f\lambda c_{1}^{C_{1}}\dots c_{n}^{C_{n}}.\lambda h^{T_{\pi}[B]}.(k\ c_{1}\dots c_{n}\ \lambda_{\pi}a^{A}.h)}$$

Figure: Unfolding Contextual Abstractions

$$\frac{(f^{C \to D} a)_{(0\pi;\pi_2)}^{\rightarrow}}{\lambda c^C . ((f c) a))_{(\pi;\pi_2)}^{\rightarrow}} \qquad \frac{(f a^{C \to D})_{(\pi_1;0\pi)}^{\rightarrow}}{\lambda c^C . (f (a c))_{(\pi_1;\pi)}^{\rightarrow}}$$
$$\frac{(f a^{C \to D})_{(\epsilon;0\pi)}^{\rightarrow}}{\lambda c^C . (f (a c))_{(\epsilon;\pi)}^{\rightarrow}} \qquad \frac{(f^{C \to D} a)_{(0\pi;\epsilon)}^{\rightarrow}}{\lambda c^C . ((f c) a)_{(\pi;\epsilon)}^{\rightarrow}}$$

Figure: Unfolding Contextual Applications with Position Starting with 0

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Unfolding

$$\frac{(f^{(C_1 \to \dots C_n \to (T_\pi[A \to B] \to D_1)) \to D_2} a)_{(10...01\pi;\pi_2)}^{\to}}{\lambda k^{C_1 \to \dots C_n \to (T_\pi[B] \to D_1)} \cdot (f \ \lambda c_1^{C_1} \dots c_n^{C_n} \cdot \lambda h^{T_\pi[A \to B]} \cdot (k \ c_1 \dots c_n \ (h \ a)_{(\pi;\pi_2)}^{\to})}$$

$$\frac{(f \ a^{(C_1 \to \dots C_n \to (T_\pi[A] \to D_1)) \to D_2})_{(\pi_1;10...01\pi)}^{\to}}{\lambda k^{C_1 \to \dots C_n \to (T_\pi[B] \to D_1)} \cdot (a \ \lambda c_1^{C_1} \dots c_n^{C_n} \cdot \lambda h^{T_\pi[A]} \cdot (k \ c_1 \dots c_n \ (f \ h)_{(\pi_1;\pi)}^{\to}))}$$

$$\frac{(f^{A \to B} \ a^{(C_1 \to \dots C_n \to (T_\pi[A] \to D_1)) \to D_2})_{(\varepsilon;10...01\pi)}^{\to}}{\lambda k^{C_1 \to \dots C_n \to (T_\pi[B] \to D_1)} \cdot (a \ \lambda c_1^{C_1} \dots c_n^{C_n} \cdot \lambda h^{T_\pi[A]} \cdot (k \ c_1 \dots c_n \ (f \ h)_{(\varepsilon;\pi)}^{\to})))}$$

$$\frac{(f^{(C_1 \to \dots C_n \to (T_\pi[B] \to D_1)} \cdot (a \ \lambda c_1^{C_1} \dots c_n^{C_n} \cdot \lambda h^{T_\pi[A]} \cdot (k \ c_1 \dots c_n \ (f \ h)_{(\varepsilon;\pi)}^{\to})))}{\lambda k^{C_1 \to \dots C_n \to (T_\pi[B] \to D_1)} \cdot (f \ \lambda c_1^{C_1} \dots c_n^{C_n} \cdot \lambda h^{T_\pi[A \to B]} \cdot (k \ c_1 \dots c_n \ (h \ a)_{(\pi;\varepsilon)}^{\to}))}$$

Figure: Unfolding Contextual Applications with Position Starting with 1

$$\begin{aligned} (\lambda_0 a^A . (\lambda_0 b^B . h a) t')_{(0;\epsilon)} & \longrightarrow_{\delta} & (\lambda b_1^B . \lambda a^A . ((\lambda_0 b^B . h a) b_1) t')_{(0;\epsilon)} \\ & \longrightarrow_{\delta} & \lambda b_2^B . ((\lambda b_1^B . \lambda a^A . ((\lambda_0 b^B . h a) b_1) b_2) t') \\ & \longrightarrow_{\beta} & \lambda b_2^B . (\lambda a^A . ((\lambda_0 b^B . h a) b_2) t') \\ & \longrightarrow_{\beta} & \lambda b_2^B . ((\lambda_0 b^B . h t') b_2) \\ & =_{\eta} & (\lambda_0 b^B . h t') \end{aligned}$$

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• $\rightsquigarrow_{\delta}$ is terminating.

- For all unfolding rules, the sum of the sizes of all positions decreases.
- $\rightsquigarrow_{\delta}$ is locally confluent.
 - There are no critical pairs.
- $\rightsquigarrow_{\delta}$ is confluent.

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- $\rightsquigarrow_{\beta\delta}$ is weakly normalizing.
 - Just unfold first and beta-reduce later.
- $\rightsquigarrow_{\beta\delta}$ is terminating.
- $\rightsquigarrow_{\beta\delta}$ is confluent.

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In the best cases, NDc-proofs can be quadratically smaller than smallest ND-proofs.

There is a sequence of theorems F_n whose smallest ND-proofs ψ_n grow at least quadratically (i.e. $s(\psi_n) \in \Omega(n^2)$), while there are NDc-proofs ψ_n^d of F_n growing at most linearly (i.e. $s(\psi_n^d) \in O(n)$).

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There is a sequence of theorems F_n whose smallest **ND**-proofs ψ_n grow at least quadratically (i.e. $s(\psi_n) \in \Omega(n^2)$), while there are **NDc**-proofs ψ_n^d of F_n growing at most linearly (i.e. $s(\psi_n^d) \in O(n)$).

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Definition (Size of a Type)

- $s(A) \doteq 1$ (if A is an atomic type)
- $s(T_1 \to T_2) \doteq 1 + s(T_1) + s(T_2)$

Definition (Size of a λ -term)

- $s(v) \doteq 1$ (if v is a variable)
- $s(\lambda v^T.t') \doteq 2 + s(T) + s(t')$
- $s((m n)) \doteq 1 + s(m) + s(n)$

Definition (Size of a λ^d -term)

• $s(v) \doteq 1$ (if v is a variable)

•
$$s(\lambda_{\pi}v^T.t') \doteq 2 + s(T) + s(t') + s(\pi)$$

•
$$s((m n)_{\pi_1;\pi_2}) \doteq 1 + s(m) + s(n) + s(\pi_1) + s(\pi_2)$$

• $s((m n)_{\pi_1;\pi_2}) \doteq 1 + s(m) + s(n) + s(\pi_1) + s(\pi_2)$

Let
$$F_n \doteq T^n(A \rightarrow B) \rightarrow (A \rightarrow T^n(B))$$
 where:
 $T^0(F) \doteq F$
 $T^n(F) \doteq (T^{n-1}(F) \rightarrow D_{2n-1}) \rightarrow D_{2n}$

$$t_n^d \doteq \lambda f^{T^n(A \to B)} \cdot \lambda a^A \cdot (f a)_{\underbrace{(11 \dots 1)}_{2n}} \varepsilon$$

Let $\psi_n \doteq \mathcal{I}^{-1}(t_n)$ where:

$$t_n \doteq \xi(t_n^d)$$

Note that ψ_k is a smallest **ND**-proof of F_k . Any **ND**-proof of F_k must (at least) decompose F_k until the subformulas $A \to B$ and A are obtained and then apply $A \to B$ to A. ψ_k does exactly this and nothing more.

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Quadratic Compressibility

By definition, $s(\psi_n^d) = s(t_n^d)$, and $s(t_n^d)$ is computed below:

$$s(t_n^d) = s(\lambda f^{T^n(A \to B)}.\lambda a^A.(f a)_{(\underbrace{11...1}_{2n};\epsilon)})$$

$$= 2 + s(T^n(A \to B)) + s(\lambda a^A.(f a)_{(11...1;\epsilon)})$$

$$= 2 + (3 + 4n) + s(\lambda a^A.(f a)_{(11...1;\epsilon)})$$

$$= 5 + 4n + (2 + s(A) + s((f a)_{(11...1;\epsilon)}))$$

$$= 8 + 4n + s((f a)_{(11...1;\epsilon)})$$

$$= 8 + 4n + (1 + s(f) + s(a) + s(\underbrace{11...1}_{2n}) + s(\epsilon))$$

$$= 8 + 4n + (3 + 2n + 0)$$

$$= 11 + 6n$$

Therefore, $\mathbf{s}(t_n^d) \in O(n)$.

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By definition, $s(\psi_n) = s(t_n)$, and $s(t_n)$ is computed below:

$$s(t_n) = s(\xi(\lambda f^{T^n(A \to B)}.\lambda a^A.(f a)_{(\underbrace{11...1}_{2n};\varepsilon)}))$$

$$= s(\lambda f^{T^n(A \to B)}.\lambda a^A.\xi((f a)_{(11...1;\varepsilon)}))$$

$$= 2 + s(T^n(A \to B)) + 2 + s(A) + s(\xi((f a)_{(11...1;\varepsilon)}))$$

$$= 8 + 4n + s(\xi((f a)_{(\underbrace{11...1}_{z};\varepsilon)}))$$

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Quadratic Compressibility

 $s(t_n) = 8 + 4n + s(\xi((f a)_{(11...1;\epsilon)})).$ Let $q(n) \doteq s(\xi((f a)_{(11...1;\epsilon)})).$ 2n 2n Then: $q(0) = s(\xi((f a)_{(\epsilon;\epsilon)})) = 3$ $q(n) = s(\xi((f a)_{(1111\dots 1;\epsilon)}))$ 2n $= s(\lambda_{\iota}^{T^{n-1}(B) \to D_{2n-1}}.(f \lambda h^{T^{n-1}(A \to B)}.\xi((h a)_{(11 \dots 1;\varepsilon)})))$ 2n-2 $= 2 + s(T^{n-1}(B) \to D_{2n-1}) + s((f \lambda h^{T^{n-1}(A \to B)}.\xi((h a)_{(11...1;\epsilon)})))$ $= 2 + 4(n-1) + 3 + s((f \lambda h^{T^{n-1}(A \to B)}.\xi((h a)_{(11...1;\epsilon)})))$ $= 1 + 4n + s((f \lambda h^{T^{n-1}(A \to B)} . \xi((h a)_{(11,..1;c)})))$ $= 1 + 4n + 2 + s(\lambda h^{T^{n-1}(A \to B)}.\xi((h a)_{(11...1;c)}))$ $= 3 + 4n + s(\lambda h^{T^{n-1}(A \to B)}.\xi((h a)_{(11,..1;\epsilon)}))$ $= 5 + 4n + s(T^{n-1}(A \to B)) + s(\xi((h \ a)_{(11 \dots 1; \epsilon)})) = 4 + 8n + q(n-1)$ 2n - 2

$$s(t_n) = 8 + 4n + q(n)$$

$$q(0) = 3$$

$$q(n) = 4 + 8n + q(n-1)$$

Solving the recurrence relation above gives the following closed-form for q:

$$q(n)=4n^2+8n+3$$

Therefore, $s(\psi_n) \in \Omega(n^2)$.

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Proof Compression by Folding

• $\rightsquigarrow_{\delta}^{-1}$ is terminating

• The term size decreases with every inverse rewriting step.

• \leadsto_{δ}^{-1} is not confluent • Let $f : A \to B$ and $a : (A \to D) \to E$. Then: • $\lambda k^{B \to D}.(a \ \lambda h^A.(k \ (f \ h))) \qquad \leadsto_{\delta}^{-1} \qquad (f \ a)_{(\varepsilon;11)}$ • $\lambda k^{B \to D}.(a \ \lambda h^A.(k \ (f \ h))) \qquad \leadsto_{\delta}^{-1} \qquad \lambda k^{B \to D}.(a \ (k \ f)_{(\varepsilon;0)})$

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• $\rightsquigarrow_{\delta}^{-1}$ is terminating

- The term size decreases with every inverse rewriting step.
- $\rightsquigarrow_{\delta}^{-1}$ is not confluent
 - Let $f : A \to B$ and $a : (A \to D) \to E$. Then:

•
$$\lambda k^{B \to D} . (a \ \lambda h^A . (k \ (f \ h))) \longrightarrow_{\delta}^{-1} (f \ a)_{(\varepsilon; 11)}$$

• $\lambda k^{B \to D} . (a \ \lambda h^A . (k \ (f \ h))) \longrightarrow_{\delta}^{-1} \lambda k^{B \to D} . (a \ (k \ f)_{(\varepsilon;0)})$

$$h_{ab}: A \to B$$
 $h_{bc}: B \to C$ $h_{ade}: (A \to D) \to E$

Normal form w.r.t. $\rightsquigarrow_{\delta}^{-1}$. Yet, there are smaller λ^d -terms:

 $(h_{bc} (h_{ab} h_{ade})_{(\epsilon;11)})_{(\epsilon;11)}$

 $((h_{bc} h_{ab})_{(\epsilon;0)} h_{ade})_{(\epsilon;11)}$

To obtain them, we need folding + beta expansion

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To obtain them, we need folding + beta expansion

$$h_{ab}: A \to B$$
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$$t \doteq \lambda h_{cd}^{C \to D} . (h_{ade} \ \lambda h_a^A . (h_{cd} \ (h_{bc} \ (h_{ab} \ h_a)))) \sim s_{\beta}^{-1} \ \lambda h_{cd}^{C \to D} . (h_{ade} \ \lambda h_a^A . (\lambda k_b^B . (h_{cd} \ (h_{bc} \ k_b)) \ (h_{ab} \ h_a))) \sim s_{\beta}^{-1} \ \lambda h_{cd}^{C \to D} . (\lambda k_{bd}^{B \to D} . (h_{ade} \ \lambda h_a^A . (k_{bd} \ (h_{ab} \ h_a))) \ \lambda k_b^B . (h_{cd} \ (h_{bc} \ k_b))) \sim s_{\delta}^{-1} \ (h_{bc} \ \lambda k_{bd}^{B \to D} . (h_{ade} \ \lambda h_a^A . (k_{bd} \ (h_{ab} \ h_a)))) (\epsilon; 11) \sim s_{\delta}^{-1} \ (h_{bc} \ (h_{ab} \ h_{ade})(\epsilon; 11)) (\epsilon; 11)$$

$$t \doteq \lambda h_{cd}^{C \to D} . (h_{ade} \ \lambda h_a^A . (h_{cd} \ (h_{bc} \ (h_{ab} \ h_a)))) \\ \rightsquigarrow_{\beta}^{-1} \ \lambda h_{cd}^{C \to D} . (h_{ade} \ \lambda h_a^A . (h_{cd} \ (\lambda k_a^A . (h_{bc} \ (h_{ab} \ k_a)) \ h_a))) \\ \rightsquigarrow_{\delta}^{-1} \ (\lambda k_a^A . (h_{bc} \ (h_{ab} \ k_a)) \ h_{ade})_{(\epsilon;11)} \\ \rightsquigarrow_{\delta}^{-1} \ ((h_{bc} \ h_{ab})_{(\epsilon;0)} \ h_{ade})_{(\epsilon;11)}$$

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$$t \doteq \lambda h_{cd}^{C \to D} . (h_{ade} \ \lambda h_a^A . (h_{cd} \ (h_{bc} \ (h_{ab} \ h_a)))) \rightsquigarrow_{\beta}^{-1} \ \lambda h_{cd}^{C \to D} . (h_{ade} \ \lambda h_a^A . (h_{cd} \ (\lambda k_a^A . (h_{bc} \ (h_{ab} \ k_a)) \ h_a))) \sim_{\delta}^{-1} \ (\lambda k_a^A . (h_{bc} \ (h_{ab} \ k_a)) \ h_{ade})_{(\epsilon;11)} \sim_{\delta}^{-1} ((h_{bc} \ h_{ab})_{(\epsilon;0)} \ h_{ade})_{(\epsilon;11)}$$

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• $\rightsquigarrow_{\beta\delta}^{-1}$ is not terminating

- · Because beta expansion is not terminating
- $\rightsquigarrow_{\beta\delta}^{-1}$ is not confluent
 - By the examples in the previous slide

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Propositional Resolution

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go beyond the implicational fragment

- investigate beta-expansion / cut-introduction
- implement and evaluate compressibility in practice
- obtain a syntactic proof of soundness for the classical case
- investigate algorithmic interpretations for the classical case

Propositional Resolution:

- develop efficient subsumption algorithms
- improve lowering of subproofs
- improve split

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• Thanks!

- Some announcements:
 - LowerUnivalents: SMT2013, Helsinki, 8th of July 15:30
 - Proof Compression Workshop: 16th of September, affiliated with Tableaux, Nancy, France
- Questions? Comments? Suggestions?
- www.logic.at/people/bruno/